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1 INAHTA Member Agency Stories Of Engaging, Adaptable, And Impactful HTA

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3 **Running title** :INAHTA Stories of Engaging, Adaptable, And Impactful HTA

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36 **ABSTRACT**

37 Health technology assessment (HTA) agencies have the challenging role of assessing evidence to support
38 decision making about which technologies to provide and pay for in the health system. HTA impact is
39 understood as the influence that HTA report findings can have at various points in the health system,
40 which could include impacts on reimbursement decisions, as well as further downstream changes to
41 health outcomes or broader system or societal impacts. The International Network of Agencies for Health
42 Technology Assessment (INAHTA) is a network of publicly funded HTA agencies. INAHTA's mission, in
43 part, is to advance the impact of HTA to support optimal reimbursement decisions and use of health
44 technologies and health system resources.

45

46 At the annual INAHTA Congress, INAHTA member agencies share stories about the impact of a HTA report
47 produced by their agency to compete for the *David Hailey Award for Best Impact Story*. The intent of the
48 story sharing is to contribute to a deeper, shared understanding of what works well (or not so well) in
49 achieving HTA impact. This paper provides a summary of six impact stories that were finalists for the 2021
50 and 2022 *David Hailey Impact Award for Best Impact Story*. The stories are from INAHTA member
51 agencies: the Institut national d'excellence en santé et en services sociaux (INESSS) in Québec, Canada;
52 the Health Technology Assessment Section, Ministry of Health Malaysia (MaHTAS); Ontario Health (OH),
53 Ontario, Canada; the Center for Drug Evaluation (CDE), Taiwan, Republic of China; the National Institute
54 for Health and Care Excellence (NICE), and Health Technology Wales (HTW) in the United Kingdom. These
55 stories demonstrate that HTA agencies can, in differing ways, support governments in their efforts to place
56 evidence at the centre of decision making.

57

58

59 **MeSH KEYWORDS**

60

61 Technology assessment, Biomedical; Decision making; Health Care Quality, Access, and Evaluation

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63

64 **INTRODUCTION**

65

66 Health technology assessment (HTA) agencies have the challenging role of assessing evidence to support
67 decision making about which technologies to provide and pay for in the health system. HTA reports are
68 typically used in multistakeholder environments to provide evidentiary support for decisions about health
69 technology reimbursement and use.

70

71 HTA impact is understood as the influence or impact of HTA report findings on decision making or
72 outcomes at various points in the health system. Impacts may be observed in the use of the HTA report
73 by particular decision makers, but also in the changes observed in clinical practice, patient outcomes, or
74 more broadly in society such as the utilization of social services, that result from decisions that are made
75 based on the HTA. HTA can have a broad range of impacts that improve health system quality and
76 sustainability, although establishing causal links with further downstream effects can be challenging.^{1,2}

77

78 The International Network of Agencies for Health Technology Assessment (INAHTA) is a network of fifty-
79 four publicly funded HTA agencies from thirty-three countries (2024). Part of INAHTA's mission is to bring
80 leadership and expertise to advance the science, practice, and impact of HTA. By better understanding
81 how HTA impact is achieved, INAHTA contributes to better uptake and use of this important policy tool to
82 support health system optimization and sustainability.³ INAHTA has long recognized the importance of

83 measuring and demonstrating the impact of HTA as one of the top ten important challenges facing HTA
84 agencies.⁴

85
86 At the annual INAHTA Congress, INAHTA members share stories of HTA impact from their agencies to
87 compete for a chance to win the *David Hailey Award for Best Impact Story*. This is an award granted by
88 fellow members of INAHTA, who listen to the impact stories and select the best one to receive the *David*
89 *Hailey Impact Award*. This collegial competition has been running since 2015, with the intent of fostering
90 a deeper shared understanding about what works well (or not so well) in achieving HTA impact.⁵

91
92 This paper provides a summary of six impact stories that were selected as finalists for the 2021 and 2022
93 *David Hailey Impact Award*. It continues INAHTA's earlier publications of impact stories^{6,7} and describes
94 how different HTA agencies around the globe have been successful in achieving HTA impact. The stories
95 hail from Asia, North America, and Europe and refer to different technologies such as genome-wide
96 sequencing, advanced cancer therapy, and surgical techniques. Some stories describe successes in
97 preventing complications from COVID-19 symptoms as well as countering the negative effects of
98 misinformation during the pandemic.

99
100 The stories that follow are authored by representatives of the six INAHTA member agencies that were
101 finalists in the competition, namely: the Institut national d'excellence en santé et en services sociaux
102 (INESSS) in Québec, Canada; the Health Technology Assessment Section, Ministry of Health Malaysia
103 (MaHTAS); Ontario Health (OH) in Ontario, Canada; the Center for Drug Evaluation (CDE), Taiwan, Republic
104 of China; the National Institute for Health and Care Excellence (NICE); and, Health Technology Wales
105 (HTW) in the United Kingdom.

106

107 **Story 1: Facilitating Paxlovid^{MD} Integration, Clinical Use and Real-World Evidence Monitoring in Quebec**

108 At the end of 2021, midway between the fourth and fifth waves of COVID-19, officials in the healthcare
109 system in Quebec were surprised by the transmission speed and magnitude of the Omicron variant.
110 Although vaccination was well underway in the province, Quebec was facing difficulties in the supply of
111 neutralizing antibodies and remdesivir, and intra-hospital administration was almost impossible due to
112 the risk of contamination and the lack of staff.

113 It was also difficult for SARS-CoV-2 positive outpatients at high risk of developing COVID-19 complications
114 to make informed clinical decisions because only limited quantities of the few existing treatment options
115 were available. Furthermore, uncertainties remained regarding the effectiveness of existing treatments
116 against emerging variants. There was a dire need for access to medicines that could reduce
117 hospitalizations and deaths. Fortunately, preliminary efficacy results were disclosed that convinced the
118 U.S. Food and Drug Administration (FDA) to authorize nirmatrelvir/ritonavir (Paxlovid^{MD}) for use in high-
119 risk outpatients. That decision put pressure on the Canadian drug regulator, Health Canada, to conduct a
120 priority assessment.

121 INESSS worked very closely with Health Canada and Pfizer representatives to obtain an aligned review
122 process (i.e., of HTA review by INESSS with the regulatory review by Health Canada) enabling access to
123 the latest available clinical data.

124 With confidence, the INESSS COVID-19 special team, supported by a group of experts working closely
125 together since the beginning of the pandemic, worked relentlessly over the winter holiday break preparing
126 for the issuance of Health Canada's notice of compliance expected in early 2022. As such, INESSS was
127 ready for the arrival of Paxlovid^{MD}.

128 On January 18, INESSS published a preliminary clinical position.⁸ In that paper, INESSS defined, according
129 to current knowledge, the categories of patients who should be prioritized to receive Paxlovid^{MD}, taking

130 into account ethical concerns about limited product availability that could be a barrier to access by
131 patients who could benefit from treatment. At the same time, INESSS released the original version of a
132 clinical tool⁹ that included a description of the laboratory tests to be done before and after initiating
133 Paxlovid^{MD} along with a description of the drug and the alternative treatment options.

134 To guide prescribers in determining patient eligibility to receive the drug, at the end of January, INESSS
135 produced a prescription template¹⁰ to facilitate and standardize prescription writing and data collection.

136 Lastly, in mid-March, INESSS posted a webinar recording on the agency's website that addressed
137 frequently asked questions, and clinicians (doctors and pharmacists) could obtain continuing education
138 credits by sending their professional associations the answers to a few questions.

139 The Government of Quebec chose to make Paxlovid^{MD} accessible by creating a program enabling all
140 outpatients at risk of developing COVID-19 complications to receive the antiviral free of charge. Patients
141 could receive their treatment at a pharmacy, regardless of whether they had private or public insurance.
142 This implementation model facilitated the creation of a retrospective cohort of people treated with
143 Paxlovid^{MD}. Patient information was compiled from different clinical-administrative databases of the
144 Ministry of Health and Social Services (MSSS) and the Régie de l'assurance maladie du Québec (RAMQ),
145 and this was compared to a control group in the same retrospective cohort of persons with a positive test
146 for COVID-19 receiving no treatment.

147 With this data, INESSS could describe the characteristics of patients who had been treated with Paxlovid^{MD}
148 in Quebec; the proportion of individuals hospitalized from all causes and those whose hospitalization was
149 due to COVID-19, and to assess the relative risk of hospitalization in individuals who have been treated
150 with Paxlovid^{MD} compared to the control group.

151 From March 15 to June 12, 2022, 7,726 patients received Paxlovid^{MD} in Quebec. Pharmacists represented,
152 by far, the most frequent prescribers. This was not a surprise; in addition to being the access point for

153 medications, the pharmacists were well equipped to conduct a profile analysis for the patient and to
154 manage drug interactions. The results of the comparative cohort study showed that Paxlovid^{MD} treatment
155 was associated with a significant reduced risk of hospitalization among incompletely primary vaccinated
156 high-risk outpatients and among completely vaccinated high-risk outpatients aged 70 years and older
157 regardless of the time since the last dose of the vaccine. The study suggested that Paxlovid^{MD} may have
158 reduced the risk of all-cause hospitalization in immunosuppressed people, although the statistical power
159 of these analyses is insufficient to draw firm conclusions.

160 As of October 2023, INESSS updated its living literature review on different therapies and maintained
161 discussions with many stakeholders to monitor the impacts of Paxlovid^{MD} in the Quebec population.

162

163 **Story 2: HTA Riding Out the Storm: An Insight Story - MaHTAS' Defining Role in the Face of the COVID-**
164 **19 Infodemic in Malaysia**

165

166 When the COVID-19 pandemic spread to Malaysia, the local health system faced challenges on an
167 unprecedented scale to manage the crisis. The COVID-19 pandemic experience made a significant impact
168 in demonstrating the crucial national role of the Malaysian Health Technology Assessment Section
169 (MaHTAS).

170

171 In 2020, MaHTAS experienced a sudden increase in requests for rapid assessment of health technologies,
172 quadruple the amount of the pre-COVID-19 era. The requests, which came to MaHTAS from officials
173 within the Ministry of Health such as the Director General of Health, Health Minister, and hospital
174 directors, were received through various communication channels, mostly via digital platforms (69
175 percent, 279 requests). In rapid response, MaHTAS assessed various COVID-19 health technologies,
176 including screening and diagnostic technologies (20.3 percent, fifteen reviews), clinical management

177 techniques (36.5 percent, twenty-seven reviews), public health interventions (12.2 percent, nine reviews)
178 as well as disinfection and sterilization technologies (31.0 percent, twenty-three reviews). Most of these
179 assessments were generated within one week, including some within 24 hours, in order to inform decision
180 makers regarding various COVID-19 management issues pertaining to procurement, clinical management,
181 hospital preparedness, infection control and prevention, innovation investment, and “infodemic” (i.e., the
182 epidemic of misinformation in particular) management.

183

184 Perhaps the most significant and impactful role MaHTAS played was in reducing the influence of the
185 infodemic on health behaviors during the pandemic. The ubiquitous word-of-mouth communications and
186 widespread reliance on social media facilitated an uncontrolled spread of misinformation. The highly
187 damaging social perception and response to the misinformation disrupted the arduous efforts of the
188 Malaysian government to manage the COVID-19 pandemic.

189

190 The pandemic presented MaHTAS with an opportunity to further demonstrate the usefulness of HTA to
191 counter misinformation, as illustrated in the MaHTAS review of non-contact infrared thermometers
192 (NCIT)¹¹ and disinfection tunnels¹². Among non-pharmacological interventions, NCITs were used as in
193 screening for COVID-19. A rumor emerged claiming that the use of infrared laser from the thermometer
194 could cause a brain tumor. This misinformation “went viral” across social media to the extent that many
195 Malaysians accepted it as fact and refused NCIT screening. In response, a 24-hour rapid review was
196 produced by MaHTAS that was referenced in a media press statement by the Malaysian Director-General
197 of Health to rebut the rumor and gain public trust.¹³

198

199 As the COVID-19 pandemic unfolded, the business community seized opportunities to develop
200 innovations intended to help tackle the pandemic; however, some product developers capitalized on the

201 public fear of the virus with unproven interventions. For example, an aggressive campaign to promote
202 disinfection tunnels for sterilization and disinfection in COVID-19 management misled the public.^{14,15} As
203 determined by MaHTAS, there was no evidence to suggest that the use of a disinfection box, chamber,
204 tunnel, booth, partition or gate could reduce COVID-19 infection, as the 20- and 30-second application
205 process was insufficient for disinfection, and the chemical used in this process may be harmful if in contact
206 with the eyes or mouth.¹⁶ MaHTA's evidence-based analysis of the technology addressed the
207 misinformation and helped to create public awareness about the importance of evidence-based
208 information.

209
210 MaHTAS emerged from the experience of Covid-19 as an agency able to achieve a new level of
211 responsiveness. A transformation that otherwise might have taken a few years actually occurred in only
212 a few months during the pandemic. MaHTAS worked within the limitations it had and re-asserted itself
213 as an influential HTA agency that could derive new knowledge through collaboration and take on a central
214 role in countering the COVID-19 infodemic.

215

216 **Story 3: How HTA Helped Ontario Families Get Answers: A Genome-Wide Sequencing story**

217 Unexplained developmental disabilities and multiple congenital anomalies are considered rare conditions.
218 Although each rare condition affects only a small number of people, collectively they affect about 6–8
219 percent of the population.^{17,18} Unexplained developmental disabilities and multiple congenital anomalies
220 are difficult to diagnose, given their complex and overlapping symptoms, and about half of all congenital
221 anomalies cannot be linked to a specific cause or diagnosis based on clinical presentation and
222 environmental factors alone.¹⁹ As a result, people with unexplained developmental disabilities or multiple
223 congenital anomalies often spend many years seeking a diagnosis and undergo many diagnostic tests and

224 procedures, commonly referred to as the “diagnostic odyssey.”²⁰ The lack of a diagnosis causes extreme
225 stress for patients and families.²⁰

226 For rare conditions with a suspected genetic cause, genome-wide sequencing (GWS) is the most
227 comprehensive test for diagnosis and is used particularly when traditional genetic testing approaches
228 (e.g., single-gene tests or targeted gene panels) have failed to identify or rule out a diagnosis. GWS
229 examines the entire genetic makeup of a person in a single test and can be conducted as whole-exome or
230 whole-genome sequencing. A genetic diagnosis can be key to understanding the cause and expected
231 progression of a condition, avoiding unnecessary testing, and facilitating appropriate support systems for
232 patients and families.²¹

233 In 2019, Ontario Health conducted a HTA²² to inform a recommendation about publicly funding GWS in
234 Ontario (Canada's most populous province).²³ At the time, no Ontario laboratory was licensed to perform
235 GWS as a clinical test for patient care, although some large academic centers were conducting GWS for
236 research purposes. Access to GWS was limited, and the test was funded only through the Province's Out
237 of Country Prior Approval Program, which requires case-by-case approval. Eligible patients often waited
238 six months or longer for testing to be completed, and little was known about the costs, quality, or
239 outcomes of the testing. Additionally, guidelines on the use of GWS were unclear regarding optimal timing
240 to offer the test in the clinical care pathway.

241 To answer these questions, the HTA evaluated the clinical evidence of GWS on diagnostic yield and clinical
242 utility through a systematic review and pooled-effect estimate calculations of the clinical literature and
243 assessed the economic evidence on cost-effectiveness and potential budget impact. Importantly, the HTA
244 also evaluated the experiences, preferences, and values of people with unexplained developmental
245 disabilities or multiple congenital anomalies and those of family members. Patients and family members
246 were interviewed to understand the lived experiences of patients' conditions and care journeys. In

247 addition, published quantitative and qualitative evidence on patient, family, and provider preferences and
248 values was reviewed. An ethics analysis was also conducted to identify and reflect upon key ethical
249 concerns related to GWS.

250 The HTA found that GWS has a higher diagnostic yield than standard genetic testing and, for some who
251 receive a diagnosis, prompts changes to medications or treatments and facilitates specialist referrals.
252 Direct patient engagement found that patients and families in Ontario want to receive a diagnosis through
253 genetic testing and greatly value the support and information they receive through genetic counselling
254 when considering GWS and learning of a diagnosis. The economic analysis showed that using whole-
255 exome sequencing as a second-tier test (after the first-tier test, chromosomal microarray, fails to result in
256 a diagnosis) would be the most efficient use of resources, being cost-saving and resulting in more
257 diagnoses.

258 After reviewing the findings of the HTA, Ontario Health, based on guidance from the Ontario Health
259 Technology Advisory Committee²⁴, recommended publicly funding whole-exome sequencing as a second-
260 tier test.

261 The HTA report was posted online in 2020, and the HTA program routinely collects data to monitor its
262 impact. As of September 2023, the HTA report had 1,183 page views and 373 downloads on the website
263 and has been cited by other journal articles. The cost-effectiveness analysis was published in the journal
264 *Genetics in Medicine*, which further disseminates HTA findings to the research and clinical communities.

265 The HTA and associated recommendation provided the foundation for an implementation project led by
266 Genome-wide Sequencing Ontario²⁵ to offer GWS to Ontario patients suspected of having a genetic
267 component to their otherwise unexplained condition(s). This project has helped Ontario establish a GWS
268 program for the long term. As of September 1, 2023, 5,394 people in Ontario have received GWS
269 (including 2,085 patients and 3,309 family members), 29 percent of families have received a clear or

270 partial diagnosis of their child's condition, the test has been repatriated (no longer to be sent to another
271 country for administration) into local laboratories and, as a result, turnaround time has been reduced by
272 half. For patients and families who received a diagnosis from GWS, this information has offered much
273 needed support and the opportunity to connect with relevant worldwide social support groups, clinical
274 specialists, and emerging treatments. Interestingly, the real-world diagnostic yield is very similar to the
275 findings of the HTA, which estimated a yield of 34 percent for whole-exome sequencing based on the
276 published clinical evidence.

277 Ontario Health's HTA has led to the successful implementation of a new GWS program, which is now
278 helping many Ontario families get answers and pursue appropriate care. HTA will continue to play an
279 important role in the lifecycle of GWS, as this technology is quickly evolving and can be used to diagnose
280 many other conditions.

281

282 **Story 4: Lifecycle Health Technology Assessment and Real-World Evidence for High-Cost Medicine in** 283 **Taiwan**

284

285 This story describes the impact of Taiwan's HTA on its reimbursement and health technology
286 reassessment (HTR) policy for immune checkpoint inhibitors (ICIs), providing a perspective on improving
287 the quality, consistency, and transparency of decision making.

288 ICIs are a major advancement in cancer treatment, but their cost-effectiveness remains uncertain, resulting
289 in financial risk for the National Health Insurance Administration (NHIA). Taiwan started conducting HTA
290 in 2007 to support the NHIA's coverage decision making, focusing mainly on the introduction of new drugs
291 into the NHI system. The Ministry of Health and Welfare (MOHW) authorized the Division of HTA in the
292 Center of Drug Evaluation, Taiwan (CDE/HTA), to support the HTA program²⁶. Following the NHIA's

293 request, in 2017 the CDE/HTA reviewed the managed entry agreements (MEAs) programs of other
294 countries implemented between 2015 and 2017, and it conducted clinical and economic assessments of
295 four ICIs to facilitate policy making²⁷.

296 In 2017, through the INAHTA Listserv, HTA agencies that were members of INAHTA shared various
297 experiences, noting that MEA programs were one of the most common approaches for dealing with high-
298 cost treatments²⁷. By referencing other INAHTA members' experiences and conducting a series of
299 stakeholder meetings and communications in 2018, the NHIA adapted the MEA mechanisms to cover ICIs
300 and proposed a set of general rules for reimbursement of high-cost drugs. As part of this scheme, the
301 NHIA collects and assesses real-world evidence such as case registration data to adjust the benefit
302 packages for each medication, to increase payment of benefits related to ICIs, and to present
303 opportunities for improved NHI sustainability.

304 The adapted MEA scheme was a nationwide, multicenter, retrospective cohort study that assessed the
305 real-world utilization, effectiveness, and safety of ICIs reimbursed by the NHI for treating multiple
306 advanced cancers in Taiwan. Real-world data and real-world evidence collected from the National
307 Immune Checkpoint Inhibitor Registry Database developed by the NHIA. Real-world data and evidence
308 from a certain period after NHI reimbursement will be collected and evaluated by the CDE/HTA.

309 Between April 1, 2019, and March 31, 2020, a total of 1,644 patients received at least one dose of ICIs.
310 The overall response rate to ICIs was 29.1 percent in the total population. Patients with metastatic
311 urothelial carcinoma who were ineligible for chemotherapy showed the highest response rates. The
312 estimated median progression-free survival (PFS) was 2.8 months (95 percent CI, 2.7-3.0 months) in the
313 total population²⁸. Based on the real-world evidence, the reimbursement policy for immuno-oncology
314 drugs was updated in March 2020. Initially, three immuno-oncology drugs reimbursed by the NHIA—
315 atezolizumab, pembrolizumab, and nivolumab—received extended coverage from one year to two years,

316 excluding advanced or metastatic hepatocellular carcinoma (HCC) and metastasized gastric
317 adenocarcinoma because of the relative lack of payment benefits in existing treatments. After failing to
318 achieve risk-sharing agreements, the NHIA unprecedentedly suspended new applications in April²⁸.

319 In April 2021, the U.S. FDA Oncologic Drugs Advisory Committee (ODAC) opposed nivolumab for second-
320 line advanced HCC and pembrolizumab third-line indication in gastric/ gastroesophageal junction
321 cancer²⁹. That decision is consistent with the results obtained by the CDE/HTA using real-world evidence
322 one year later. Under the national registration tracking system, Taiwan's high-cost drug policy has enabled
323 access to new medicines and maximized patient benefits. To date, an evaluation of Taiwan's
324 reimbursement policy for such therapies indicates it is beneficial for patients, clinical personnel,
325 manufacturers, and other stakeholders. In the coming years, close monitoring and evaluation will be
326 required to analyze the effects of the current ICI treatment and the inevitable trade-offs between
327 expenditures and improved patient access.

328 **Story 5: Enhancing Uptake of a Minimally Invasive Procedure for Treating Lower Urinary Tract**
329 **Symptoms of Benign Prostatic Hyperplasia: The Impact of a NICE Recommendation and NHS Funding**
330 **Schemes**

331 Benign prostatic hyperplasia (BPH) is a non-cancerous enlargement of the prostate which can cause lower
332 urinary tract symptoms (LUTS). These symptoms include difficulty emptying the bladder, weak or
333 intermittent urinary stream and increased frequency of urination. The usual approach for managing LUTS
334 associated with BPH is drug treatment followed by surgery, if needed. BPH incidence increases with age,
335 with an estimated increase from 50 percent of people with a prostate between the ages of 50 and 60
336 years, to 90 percent of those aged 80 years or over.³⁰

337 NICE's Medical Technologies Evaluation Programme (MTEP) identifies medical technologies that could
338 offer a substantial benefit to patients or the health and social care system. After assessing the clinical and

339 economic evidence, a decision is made whether to recommend a technology for routine adoption in the
340 NHS. This story describes the impact of MTEP's evaluation of a prostatic urethral lift technology, a
341 minimally invasive surgical option for reducing LUTS associated with BPH.

342 The technology's journey through NICE began in 2014 with a recommendation from the Interventional
343 Procedures program stating that the technology was safe and clinically effective³¹. The technology was
344 then evaluated by MTEP in 2015 to consider whether it offered value for money compared with current
345 practice. The evaluation showed that the technology was clinically effective in relieving LUTS, while
346 avoiding the risk to sexual function. Moreover, the procedure was cost-saving when compared to more
347 invasive surgical procedures as it can be done as a day case rather than as an inpatient procedure, thereby
348 reducing the costs associated with a hospital stay.

349 Despite MTEP's positive recommendation in 2015, there was slow adoption of this technology in the NHS.
350 This was in part because a positive recommendation from MTEP does not come with a legal obligation to
351 fund the technology. Commissioners at individual hospital trusts make the decisions as to whether they
352 use a NICE-recommended medical device. However, NHS funding and uptake schemes have been created
353 to aid the increased adoption of selected innovative technologies where warranted. This prostatic urethral
354 lift technology is an example of an MTEP recommendation supported by such schemes. It was added to
355 the Innovation Technology Tariff in 2017 and selected as a Rapid Uptake Product by the NHS Accelerated
356 Access Collaborative in 2018. Hospital episode statistics for prostatic urethral lift procedures in England
357 between 2017 and 2020 showed an increase in uptake, with a total of eighty NHS trusts providing the
358 procedure in 2020.³²

359 During this time, a review of the 2015 guidance determined that an update to the evaluation was needed.
360 The update, completed in 2021, utilized evidence from newly published randomized controlled trials and
361 real-world evidence to demonstrate that the technology was still clinically effective and cost-saving in the

362 recommended population, with longer-term studies showing that the technology could reduce LUTS for
363 up to 5 years.^{33,34} Six NICE shared-learning case studies also suggested that the technology was beneficial
364 when used in the NHS, resulting in improved symptom and quality-of-life scores, reduced surgery times
365 and reduced hospital stay.³⁴ Additionally, the update expanded the recommended (or indicated)
366 population to include people with BPH with an obstructive median lobe. The update also captured the
367 growing trend of using this procedure in an outpatient setting, which would further reduce pressure on
368 bed capacity. Following this update, the technology was added to the newly formed MedTech Funding
369 Mandate, which aimed to accelerate equitable access to clinically effective, cost-saving medical
370 technologies.

371 In addition to NHS funding support, the minimally invasive nature of the technology allowed for BPH
372 procedures to be conducted in community hospitals during the COVID-19 pandemic. A case study from
373 one NHS hospital trust demonstrated that this approach helped to reduce waiting lists and free theater
374 and inpatient bed capacity in the main hospital for other procedures.³⁵ This effectively eliminated waiting
375 lists for people eligible for the technology treatment who would have otherwise had to wait for a more
376 invasive surgical procedure.

377 Overall, this case study demonstrates how continued data-collection, including real-world data, can
378 enable HTA bodies to broaden their recommendations. Moreover, it demonstrates how support from
379 funding and uptake schemes can help drive routine adoption of procedures and devices that have
380 otherwise had slow uptake following positive NICE medical technologies guidance.

381 **Story 6: Measuring the Impact of Health Technology Wales Guidance for Autologous Hematopoietic**
382 **Stem Cell Transplantation – One-Year Post-Publication**

383 Multiple sclerosis can be a highly disabling condition, having a significant impact on quality of life for the
384 person with the condition, their family, and carers. Symptoms are wide ranging, including visual and
385 sensory disturbances, limb weakness, gait problems, and bladder and bowel symptoms.³⁶ Approximately
386 85 percent of people diagnosed with multiple sclerosis are diagnosed with relapsing remitting multiple
387 sclerosis (RRMS), making it the most common type of multiple sclerosis. Over time, RRMS disability can
388 get worse, and most RRMS cases develop to secondary progressive multiple sclerosis. Disease modifying
389 therapies (DMTs) are used to treat RRMS, but for a small number of people DMTs stop being effective.
390 Autologous hematopoietic stem cell transplantation (AH SCT) offers a potential alternative treatment
391 option for people with RRMS where DMTs are no longer effective at controlling symptoms.

392 This story summarises the impact of Health Technology Wales (HTW) guidance on AH SCT for RRMS up to
393 one year after publication, following an outcome evaluation process developed by the organization
394 Matter of Focus.³⁷

395 In 2019, the Welsh Health Specialised Services Committee (WHSSC) proposed AH SCT for RRMS as a topic
396 for HTW appraisal. Following HTW's rapid review process, the appraisal adapted and updated advice
397 produced by the Scottish Health Technologies Group (SHTG).³⁸ HTW produced a *de novo* cost-utility
398 analysis based on the key randomized controlled trial³⁹ comparing AH SCT with DMTs, which showed that
399 AH SCT was dominant over DMTs. HTW subsequently recommended the routine adoption of AH SCT for
400 people with RRMS, where symptoms have recurred despite previous treatment with DMTs. The HTW
401 guidance and accompanying evidence appraisal report were published in July 2020.⁴⁰

402 HTW engaged with stakeholders throughout the appraisal process and following publication of the
403 guidance. During the consultation period, the evidence appraisal report was shared with UK-based
404 consultant neurologists, hematologists, lecturers and professors. Other national HTA bodies, such as SHTG
405 and the Irish Health Information and Quality Authority (HIQA) were also asked to review the report.

406 Following advice from the HTW patient and public involvement standing group, HTW sought engagement
407 from two patient organizations as part of the appraisal process: MS Society Cymru and MS Trust. Both
408 organizations provided independent patient submissions to reflect patient experiences and opinions. At
409 the HTW Appraisal Panel meeting, a patient representative from MS Society Cymru gave a verbal account
410 of their individual experience, including their experience of receiving AHSCT outside of the UK.

411 Following publication of the guidance, positive feedback from both clinical and patient stakeholders was
412 received. The guidance had been viewed online more than 480 times, and was featured in multiple media
413 articles. Patient groups welcomed the findings of the appraisal as an important step forward in recognizing
414 the needs of people with RRMS, and the benefits of AHSCT.

415 At the time of sharing this story with INAHTA, HTW had undertaken a small pilot survey to measure the
416 impact of its work. Those who responded said that the AHSCT appraisal and guidance had a major, positive
417 impact in the wider health and social care context of Wales.

418 The Welsh Health Specialised Service Committee, who proposed the topic for HTW appraisal, reports that
419 their prioritisation panel had recommended AHSCT for RRMS as high priority for funding in 2021, and that
420 a WHSCC commissioning policy was in development.

421 This story demonstrates how using a structured evaluation process can help HTA organizations like HTW
422 evaluate the impact of their work, and build a picture of the reach of HTA guidance and its influence
423 through various measures.

424 **DISCUSSION**

425 The six HTA impact stories in this paper demonstrate many ways in which HTA can impact healthcare. The
426 stories are as varied as the health system contexts they describe, with impacts observed on decision
427 making, policy development, health services quality and value for money.

428

429 The INESSS and MaHTAS stories tell of the sharp increase in demand for HTA from health system decision
430 makers during the COVID-19 pandemic, which is an indication of the value of HTA as a trusted source of
431 evidence and recommendations for health system decision making. HTA agencies faced demands for
432 ultra-rapid HTA to support governments in making the well-founded decisions to manage the pandemic.
433 The MaHTAS story describes their role in producing multiple, ultra-rapid assessments of the current state
434 of evidence to inform government decisions about screening and treatment of COVID-19 as well as to
435 repudiate misinformation that was circulating in the public domain about these technologies. The HTA
436 work conducted during the COVID-19 pandemic was also an important catalyst for multistakeholder
437 collaboration and alignment. The INESSS story tells of their review of Paxlovid^{MD} that aligned HTA,
438 industry, and regulatory processes to provide rapid access for patients in need. These stories
439 demonstrate that HTA production can accelerate beyond normal timelines to meet the urgent
440 requirements of decision makers during the pandemic. Furthermore, the level of implementation
441 response to decisions based on HTA evidence (and therefore HTA impact) was elevated, as most health
442 systems provided free, immediate, universal access to technologies. In this case, the uptake and
443 adherence to the implementation decision was supported by public mandates that are not typical outside
444 of a public health emergency.

445

446 HTA is a policy tool to support health system decision making, and one indicator of HTA impact is the
447 extent to which decision makers' recommendations or directions align with HTA findings. The CDE story
448 shows such an impact where changes to policy were made in response to the HTA findings and results of
449 a managed entry agreement. The Ontario Health story shows how the HTA report was used to support
450 public funding for a genetic test that led to the establishment of a province-wide diagnostic testing
451 program, thereby improving patient quality of life by shortening the diagnostic journey for many. The

452 HTW account showed how that agency's appraisal and guidance was based on a structured impact
453 evaluation process, and the major positive impact it has had in the wider health and social care context.

454

455 The stories also describe some of the challenges to achieving HTA impact. Jurisdictions that do not have
456 a legal framework for HTA can experience slowed uptake and use of HTA findings as there is no supporting
457 structure guiding or requiring the use of the HTA report. To help foster the use of HTA reports to improve
458 health system quality and sustainability, some agencies provide supports to those who are leading the
459 implementation of the findings. The NICE and INESSS stories describe tools and techniques (for example,
460 prescription templates, continuing education, and ongoing data collection) utilized alongside the HTA to
461 support the implementation and use of the findings in the health system. The provision of additional
462 funding or in-kind supports may be required to enable the health system decision makers seeking to use
463 the HTA findings to implement the recommended changes and adjust accordingly to the use of any new
464 care pathways or technologies. In addition, the HTW story shows how agencies can adapt and update
465 advice from other trusted HTA bodies to rapidly and efficiently prepare reports to inform local decisions.

466

467 **CONCLUSION**

468

469 HTA agencies evaluate the uptake, use, and effects of their HTA reports to understand what works well
470 (or not so well) in achieving meaningful impact. The INAHTA impact story sharing activity and the *David*
471 *Hailey Impact Award* competition exemplify and advance the science and practice of HTA across diverse
472 healthcare systems.

473

474 HTA agencies support governments and other decision makers in their use of evidence in decision making
475 to improve health system quality and value for the populations they serve. INAHTA member agencies

476 have shown their adaptability to the changing demands of decision makers through public health
477 emergencies and other challenging circumstances, as well as everyday decisions about resource
478 allocation. HTA agencies can provide timely, credible, transparent, evidence-based findings and
479 recommendations in response to urgent and high priority requests.

480

481 The importance of stakeholder involvement at key points in the HTA process was noted in most of the
482 stories, with accounts of engagement with patients and clinical experts, as well as leveraged opportunities
483 to align HTA processes with regulatory review. The insights derived from these stories expand and enrich
484 the knowledge base for achieving and sustaining meaningful impacts of HTA.

485

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