



# Multiple states of two-dimensional turbulence above topography

Jiyang He<sup>1,2</sup> and Yan Wang<sup>1,2,†</sup>

<sup>1</sup>Department of Ocean Science, The Hong Kong University of Science and Technology, Hong Kong, PR China

<sup>2</sup>Center for Ocean Research in Hong Kong and Macau, The Hong Kong University of Science and Technology, Hong Kong, PR China

(Received 17 May 2024; revised 18 July 2024; accepted 21 July 2024)

The recent work of Siegelman & Young (*Proc. Natl Acad. Sci. USA*, vol. 120, issue 44, 2023, e2308018120) revealed two extreme states reached by the evolution of unforced and weakly damped two-dimensional turbulence above random rough topography, separated by a critical kinetic energy  $E_{\#}$ . The low- and high-energy solutions correspond to topographically locked and roaming vortices, surrounded by non-uniform and homogeneous background potential vorticity (PV), respectively. However, we found that these phenomena are restricted to the particular intermediate length scale where the energy was initially injected into the system. Through simulations initialized by injecting the energy at larger and smaller length scales, we found that the long-term state of topographic turbulence is also dependent on the initial length scale and thus the initial enstrophy. If the initial length scale is comparable to the domain size, the long-term flow field resembles the minimum-enstrophy state proposed by Bretherton & Haidvogel (*J. Fluid Mech.*, vol. 78, issue 1, 1976, pp. 129–154), with very few topographically locked vortices; the long-term enstrophy is quite close to the minimum value, especially when the energy is no larger than  $E_{\#}$ . As the initial length scale becomes smaller, more vortices nucleate and become more mobile; the long-term enstrophy increasingly deviates from the minimum value. Simultaneously, the background PV tends to homogenization, even if the energy is below  $E_{\#}$ . These results complement the phenomenology of topographic turbulence documented by Siegelman & Young, by showing that the minimum-enstrophy and background PV homogenization states can be adequately approached by large- and small-scale initial fields, respectively, with relatively arbitrary energy.

**Key words:** geostrophic turbulence, quasi-geostrophic flows, topographic effects

† Email address for correspondence: [yanwang@ust.hk](mailto:yanwang@ust.hk)

© The Author(s), 2024. Published by Cambridge University Press. This is an Open Access article, distributed under the terms of the Creative Commons Attribution-NonCommercial-NoDerivatives licence (<http://creativecommons.org/licenses/by-nc-nd/4.0/>), which permits non-commercial re-use, distribution, and reproduction in any medium, provided that no alterations are made and the original article is properly cited. The written permission of Cambridge University Press must be obtained prior to any commercial use and/or adaptation of the article.

## 1. Introduction

Two-dimensional, rapidly rotating turbulence above topography (topographic turbulence hereafter) serves as an effective reduced model for studying large-scale oceanic motions over the rough and sloping seafloor. This model has provided insight into the emergence of prevailing undercurrents over continental shelves and slopes (Wang & Stewart 2018), and persistent along-bathymetry flows above topographic depressions and seamounts (Solodoch, Stewart & McWilliams 2021). Topographic turbulence is also relevant to long-lived, anticyclonic vortices locked to large-scale topographic bowls in the ocean, such as the Lofoten Basin Eddy and the Mann Eddy in the North Atlantic (see Köhl 2007; LaCasce, Palóczy & Trodahl 2024). Since current climate models fall short of resolving turbulent flows in the ocean, employing the topographic turbulence model to investigate phenomena arising from it will facilitate the parametrization of ocean turbulence over bumpy seabeds in these models (Holloway 1992; Radko 2023; Eaves *et al.* 2024).

Theories of topographic turbulence aimed to derive the steady states via variational principles. For an energy-conserving system, Bretherton & Haidvogel (1976) conjectured that potential enstrophy is minimized, leading to a linear relation between the potential vorticity (PV hereafter) and geostrophic streamfunction. The other approach is to maximize the entropy and is thus related to the equilibrium statistical mechanics (Salmon, Holloway & Hendershott 1976). The ensembles of PV and streamfunction also satisfy a linear relation. According to Carnevale & Frederiksen (1987), the two solutions are actually consistent with each other, and nonlinearly stable.

Recent numerical experiments show that topographic turbulence shares some common features with flat-bottom two-dimensional turbulence (2-DT). For instance, condensate states commonly found in 2-DT (Boffetta & Ecke 2012) have been identified in topographic turbulence over small-scale topography (Zhang & Xie 2024; Gallet 2024). Siegelman & Young (2023) (SY23 hereafter) showed the coexistence of vortices and a background flow in topographic turbulence, the former of which are prevalent in 2-DT (McWilliams 1984, 1990; Benzi *et al.* 1986; Benzi, Patarnello & Santangelo 1987, 1988; Santangelo, Benzi & Legras 1989). They found that the mobility of vortices and the uniformity of background PV depend on the energy of the system: on the low-energy branch, the vortices are locked to topographic features, and the background PV is spatially non-uniform; on the high-energy branch, the vortices roam throughout the domain, and the background PV is homogenized (Rhines & Young 1982). These two branches are separated by a critical energy.

SY23 examined the minimum enstrophy hypothesis of Bretherton & Haidvogel (1976) against their numerical simulations, and identified significant discrepancies: the high-energy solutions displayed homogeneous background PV that is not predicted by the minimum enstrophy hypothesis at the same high energy; the long-term total enstrophy far exceeded that of the theoretical prediction for almost all energies. SY23 attributed these discrepancies to the presence of long-lived vortices: the roaming of vortices mixes the background PV towards homogeneity, and vortex shielding inhibits enstrophy from cascading to smaller scales.

In this work, we will show that the emergence of vortices might relate to the specific intermediate length scale of the initial fields prescribed by SY23, at which the initial total enstrophy is much higher than the theoretical minimum value and the large margin may serve as the seeds of vortices. As opposed to fixing an initially energized wavenumber, we conduct numerical experiments similar to those of SY23 but initialized by monoscale fields sweeping a broad range of wavenumbers, from scales comparable to the domain size to those much smaller than that chosen by SY23. The purpose here is to investigate how

topographic turbulence evolves in response to different scales of initial conditions: can the emergence of vortices be inhibited and the minimum-*enstrophy* state be approached if the initial scale is adequately large; what would occur if the initial scale is smaller than that of SY23?

## 2. Framework

### 2.1. Theory

As in SY23, we consider an unforced, single-layer quasi-geostrophic (QG) flow on an  $f$ -plane in a doubly periodic domain ( $L \times L$ , with  $L$  denoting the domain size). The governing equation of the QG PV  $q(x, y, t)$  reads

$$\frac{\partial q}{\partial t} + \frac{\partial \psi}{\partial x} \frac{\partial q}{\partial y} - \frac{\partial \psi}{\partial y} \frac{\partial q}{\partial x} = D\zeta, \quad q = \zeta + \eta = \nabla^2 \psi + \eta, \quad (2.1a,b)$$

where  $\psi$  and  $\zeta$  are the geostrophic streamfunction and relative vorticity, respectively. The operator  $D$  stands for the dissipation of PV that will be specified later. Effects from bottom topography are encoded in the topographic PV,  $\eta(x, y) = -f_0 h_1/h_0$ , for a total depth of  $h_0 + h_1(x, y)$  with small fluctuations  $h_1(x, y)$  around a constant average  $h_0$ , scaled by the local Coriolis frequency  $f_0$  (see SY23).

In the absence of dissipation, the system (2.1) conserves the kinetic energy and total enstrophy, defined respectively as

$$E = \frac{1}{2} \langle |\nabla \psi|^2 \rangle = \frac{1}{2L^2} \iint |\nabla \psi|^2 dx dy \quad \text{and} \quad Q = \frac{1}{2} \langle q^2 \rangle = \frac{1}{2L^2} \iint q^2 dx dy. \quad (2.2a,b)$$

Here,  $\langle \cdot \rangle$  represents a domain-average operation. When the added dissipation in the governing equation (2.1) operates at high wavenumbers, the energy is approximately conserved, while the enstrophy drops by a large amount as a result of the forward cascade. This motivated Bretherton & Haidvogel (1976) to predict that an energy-conserving system would evolve into a minimum-*enstrophy* state. Such a state can be obtained by performing variational calculation of the functional  $\mathcal{L} = Q + \mu E$ , with  $\mu$  denoting the Lagrange multiplier. The resulting Euler–Lagrange equation,

$$q_* = \nabla^2 \psi_* + \eta = \mu \psi_*, \quad (2.3)$$

dictates a linear relation between  $q_*$  and  $\psi_*$ . Solutions to (2.3) are inviscid steady solutions to the QG PV equation (2.1).

To solve (2.3), the topographic PV can be Fourier transformed into

$$\eta(x, y) = \sum_k \eta_k e^{ik \cdot x}, \quad (2.4)$$

where  $\mathbf{k}$  represents the wavenumber vector. As in SY23, the topographic PV is chosen to satisfy a power spectrum of  $k^{-2}$  ( $k = |\mathbf{k}|$ ), by which the Fourier amplitudes in the expression (2.4) shall take the form

$$\eta_k = \alpha e^{i\phi_k} k^{-3/2}. \quad (2.5)$$

The normalization parameter  $\alpha$  is adjusted to produce a specific value of the root mean square of the topographic PV, defined as  $\eta_{rms} = \sqrt{\sum_k |\eta_k|^2}$ . Following SY23,

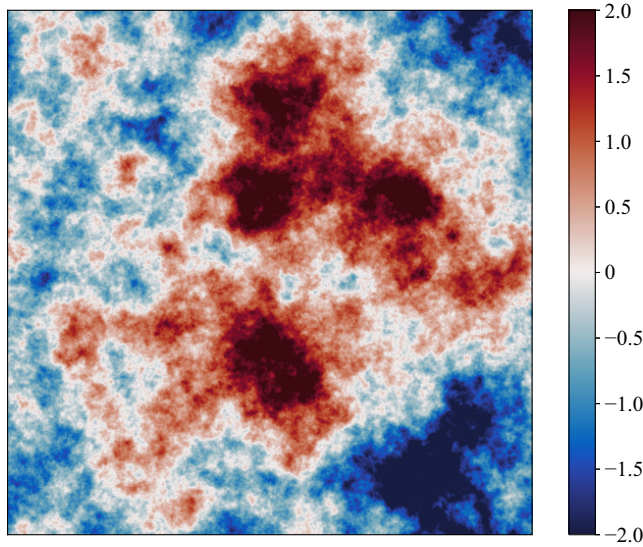


Figure 1. Topographic potential vorticity  $\eta(x, y)$  (unit:  $10^{-6} \text{ s}^{-1}$ ) used throughout this work.

we prescribe  $\eta_{rms} = 10^{-6} \text{ s}^{-1}$ . Meanwhile, the random phase  $\phi_k$  in (2.5) serves to produce a realization of  $\eta$ . Throughout this work, the topography remains fixed, and is shown in figure 1.

With the prescribed topography, the solution to the Euler–Lagrange equation (2.3) can be obtained in spectral space as

$$\psi_*(x, y, \mu) = \sum_k \frac{\eta_k e^{ik \cdot x}}{\mu + k^2}. \quad (2.6)$$

The corresponding energy and minimum enstrophy are then

$$E = \frac{1}{2} \sum_k \frac{k^2 |\eta_k|^2}{(\mu + k^2)^2} \quad \text{and} \quad Q_{min} = \frac{1}{2} \sum_k \frac{\mu^2 |\eta_k|^2}{(\mu + k^2)^2}, \quad (2.7a,b)$$

respectively. With a given energy level  $E$  for an energy-conserving system, the Lagrange multiplier  $\mu$  and minimum enstrophy  $Q_{min}$  can be sought through the above relations.

Figure 2(a,b) shows the resulting  $\mu$  and  $Q_{min}$  as functions of  $E$ , respectively, scaled by  $k_1^2$  ( $k_1 = 2\pi/L$  represents the fundamental wavenumber) and  $Q_\eta = \eta_{rms}^2/2$ . In addition, the energy has been scaled by the critical energy level  $E_\#$ , corresponding to the particular case of  $\mu = 0$  and thus of homogenized PV ( $q_\# = 0$ ). At this critical energy, the minimum enstrophy vanishes ( $Q_{min} = 0$ ; see figure 2b). The critical energy  $E_\#$  thus separates the low- and high-energy branches, which correspond to positive and negative values of  $\mu$ , respectively. These theoretical solutions are identical to those shown in SY23 (see their figure 2a,b), who further found that  $E_\#$  is a good separator of high- and low-energy branches of their numerical experiments.

## 2.2. Numerical set-up

We solve the QG PV equation (2.1) using the open-source pseudo-spectral package GeophysicalFlows.jl running on GPUs (Constantinou *et al.* 2021). Time is stepped forward

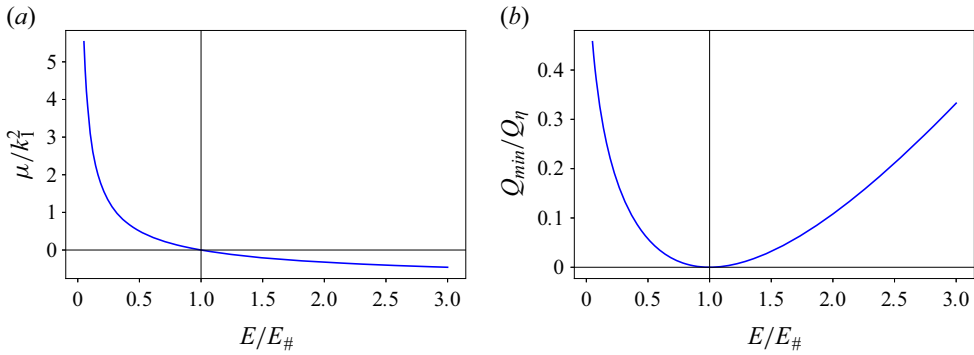


Figure 2. Minimum enstrophy solutions as in SY23 (see their figure 2a,b).

by a fourth-order Runge–Kutta scheme. The dissipation term ( $D\zeta$ ) in (2.1) is implemented via a spectral filter of relative vorticity, which is applied to high wavenumbers at the end of each time step. We choose exactly the same parameter set-ups as in SY23: the domain size is  $10^6$  m; the resolution is  $1024 \times 1024$ , for which the spectral filter is applied to wavenumbers higher than the cutoff wavenumber  $k_{cutoff} (= 2/3 \times 512k_1 \approx 341k_1)$ ; the integration time is  $1.5 \times 10^9$  s (approximately 47.53 years); time step is 1500 s, which is reduced further to 500 s for computationally demanding runs.

We initialize the computations by a series of random monoscale relative vorticity fields with a wide range of initial enstrophy, which is achieved by different combinations of the wavenumber and energy level. For all cases, the Fourier components of the initial relative vorticity  $\zeta(x, y, 0)$  are chosen randomly within the limited bandwidth  $[k_{ini} - 0.5k_1, k_{ini} + 0.5k_1]$ , where  $k_{ini}$  is the wavenumber of the initial monoscale field. Note that the selected  $k_{ini}$  should be much smaller than the cutoff wavenumber  $k_{cutoff} \approx 341k_1$  to avoid direct influence of the spectral filter on the initial condition and to remove enstrophy aggregated at wavenumbers higher than  $k_{cutoff}$ . In practice, we choose a series of

$$k_{ini} \in \{1, 2, 4, 8, 12, 16, 24, 32, 48, 64\}k_1, \quad (2.8)$$

corresponding to large-to-small initial monoscales, and

$$E \in \{0.05, 0.15, 0.25, 0.35, 0.50, 0.75, 1.00, 2.00\}E_{\#}, \quad (2.9)$$

corresponding to low-to-high energy levels. Note that SY23 prescribed the initial monoscale at approximately  $16k_1$ , which is intermediate in our selected range of wavenumbers (2.8). The initial enstrophy for different combinations of  $k_{ini}$  and  $E$  is shown in figure 3, estimated as  $Q(0) \approx k_{ini}^2 E + Q_{\eta}$  (the cross-term between the initial flow and topography is found to be negligible in magnitude). That is, with a prescribed energy level,  $Q(0)$  increases at a rate of approximately  $k_{ini}^2$ , which means that larger amounts of initial enstrophy are contained at smaller scales. As shown in figure 3, the initial enstrophy exceeds the theoretical minimum enstrophy for all cases. However, the former is adequately close to the latter in the small- $k_{ini}$  regime, as opposed to the case of intermediate wavenumber (highlighted by the vertical dash-dotted line in figure 3) considered by SY23. Owing to the initial/minimum enstrophy proximity, small- $k_{ini}$  runs may readily converge to the minimum-enstrophy states.

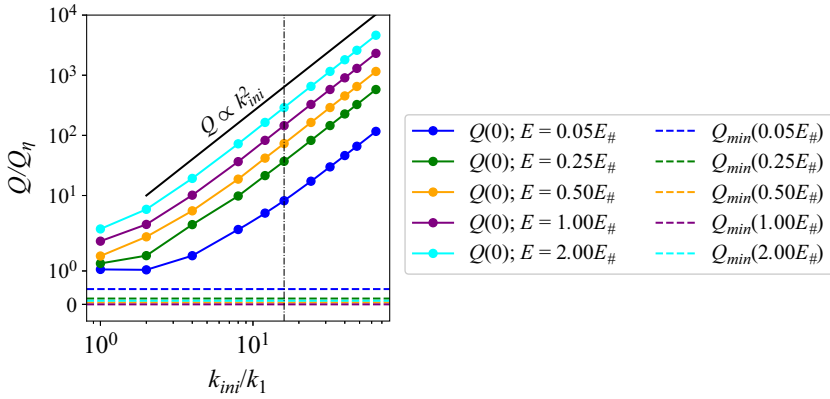


Figure 3. Variations of initial enstrophy  $Q(0)$  with the initial wavenumber  $k_{ini}$  for different energies, compared with the minimum enstrophy  $Q_{min}$ . The vertical axis is in symmetric log scale, which is linear within  $[0, 1]$  and logarithmic beyond it.

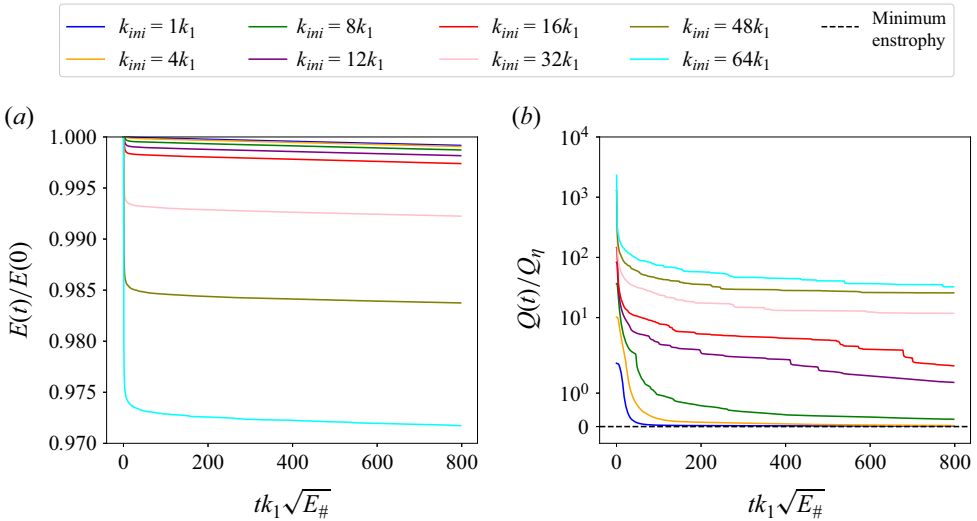


Figure 4. Time evolution of energy (a) and enstrophy (b) for the  $E_{\#}$  runs. The vertical axis of (b) is in symmetric log scale.

### 3. Results

In this section, we analyse the solutions of our numerical experiments. Particular attention is paid to the dependence of the long-term state on the initial wavenumber  $k_{ini}$ . Results of  $k_{ini} = 16k_1$ , consistent with those in SY23, are highlighted in red upon comparison with other wavenumber cases.

#### 3.1. Time evolution of energy and enstrophy

The time evolution of energy and enstrophy is shown in figure 4 for simulations of the critical energy  $E/E_{\#} = 1$  (results of other energies exhibit similar behaviours and are not shown). As shown in figure 4(a), the energy loss during run time is larger for larger  $k_{ini}$ , because the corresponding initial spectral position of energy injection is closer to the



$E$ $k_{ini}$	$0.05E_{\#}$	$0.25E_{\#}$	$0.50E_{\#}$	$E_{\#}$	$2E_{\#}$
theory	1.000; 0.457	1.000; 0.174	1.000; 0.057	1.000; 0.000	1.000; 0.108
$1k_1$	0.997; 0.465	0.999; 0.177	0.999; 0.061	0.999; 0.017	0.999; 0.193
$2k_1$	0.997; 0.472	0.999; 0.184	0.999; 0.064	0.999; 0.006	0.999; 0.299
$4k_1$	0.997; 0.475	0.998; 0.181	0.999; 0.092	0.999; 0.030	0.999; 2.105
$8k_1$	0.997; 0.468	0.998; 0.224	0.998; 0.323	0.999; 0.220	0.999; 2.420
$12k_1$	0.996; 0.480	0.998; 0.605	0.998; 1.173	0.998; 1.321	0.998; 5.238
$16k_1$	0.996; 0.582	0.997; 1.305	0.997; 2.081	0.997; 1.816	0.998; 8.734
$32k_1$	0.990; 1.170	0.992; 3.835	0.992; 5.766	0.992; 11.76	0.992; 20.98
$48k_1$	0.981; 2.022	0.983; 6.945	0.983; 9.439	0.984; 25.73	0.984; 36.76
$64k_1$	0.969; 3.115	0.971; 11.12	0.971; 16.50	0.972; 32.41	0.972; 63.38

Table 1. Energy  $E(47.53 \text{ years})/E(0)$  (first column of numbers) and enstrophy  $Q(47.53 \text{ years})/Q_{\eta}$  (second column of numbers) for runs with different  $k_{ini}$  and  $E$ , compared against the theoretical predictions (first row).

filtering region at high wavenumbers. However, the energy loss at the final time (47.53 years) amounts to a negligible fraction of the initial energy, within 0.3 % for  $k_{ini} \leq 16k_1$  and approximately 3 % for  $k_{ini} = 64k_1$  (see first column of numbers in table 1). Therefore, energy is nearly conserved for all runs, which is the necessary condition for examining the minimum enstrophy hypothesis.

By contrast, the enstrophy decreases drastically during the run time, as shown in figure 4(b). Consistent with the findings of SY23, there is an initial fast drop followed by a slow decrease. Generally, for a fixed energy level, larger- $k_{ini}$  runs, or equivalently, runs with higher initial enstrophy (see figure 3), maintain higher enstrophy during the run time. Runs with small  $k_{ini}$  are intriguing: the enstrophy approaches the minimum value  $Q_{min}$  during the run time. At the final time (see second column of numbers in table 1), the enstrophy  $Q$  of small- $k_{ini}$  ( $1k_1, 2k_1, 4k_1$ ) runs prescribed with low energy ( $E/E_{\#} \leq 1$ ) is extremely close to the corresponding minimum value  $Q_{min}$ . However, for the high-energy case of  $2E_{\#}$ , the final enstrophy of the  $1k_1$  run is almost twice the minimum value. For the intermediate case of  $k_{ini} = 16k_1$  considered by SY23 and cases with larger  $k_{ini}$ , the final enstrophy is much larger than the minimum value, except for the lowest-energy runs ( $0.05E_{\#}$ ). Thus, the minimum-enstrophy states can actually be approached by runs with large-scale initial conditions, especially by those with low energy ( $E/E_{\#} \leq 1$ ). These findings contrast markedly with the conclusions of SY23.

### 3.2. Long-term states

Next we inspect the long-term snapshots in runs with typical energy levels at the final time (47.53 years), and compare those with the minimum-enstrophy states  $\zeta_*$  and  $q_*$  obtained theoretically. The corresponding animations, showing the time evolution to the long-term states, can be found in the supplementary materials available at <https://doi.org/10.1017/jfm.2024.633>.

Figure 5 compares the snapshots of relative vorticity (first row) and PV (second row) from three runs with different  $k_{ini}$  against the minimum-enstrophy state at the low energy of  $E = 0.25E_{\#}$ . The state of the smallest  $k_{ini} = 1k_1$  run (figure 5b,f) resembles the minimum-enstrophy state (figure 5a,e), displaying a non-uniform PV field shaped by the low-pass-filtered topography throughout the domain. This resemblance is consistent with the proximity of the corresponding enstrophy (see table 1), and further demonstrates

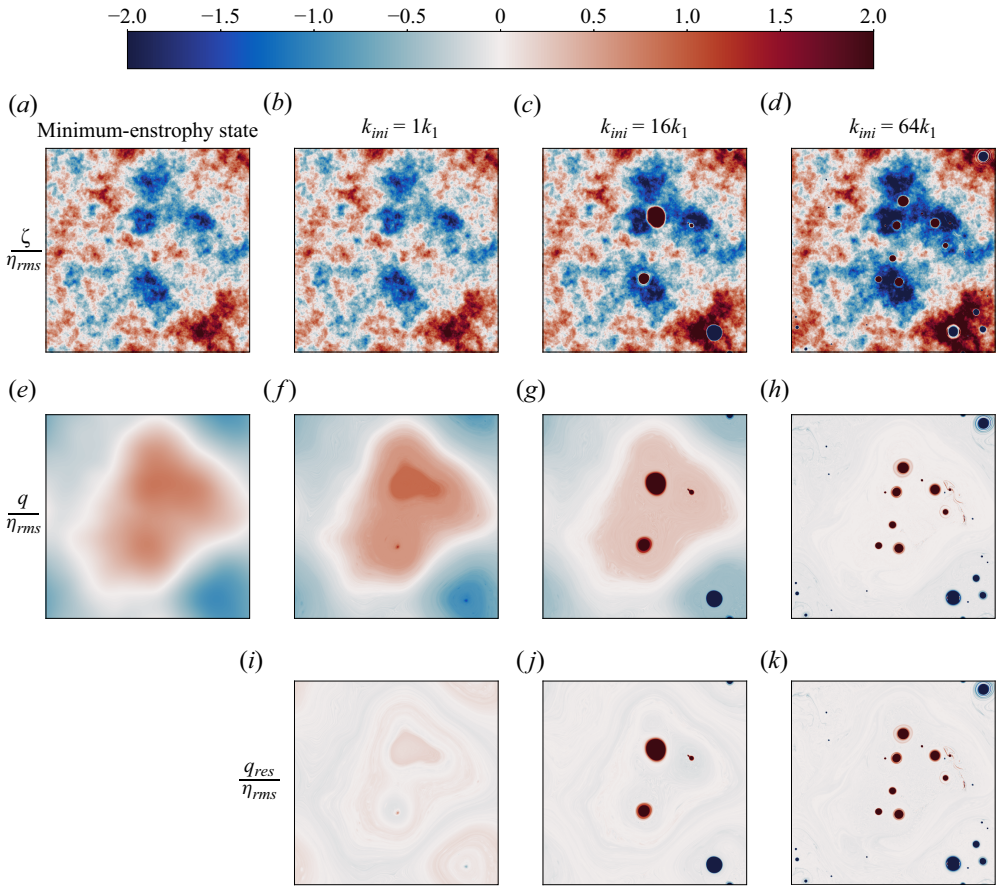


Figure 5. Long-term snapshots of  $0.25E_{\#}$  runs for  $k_{ini} = [1, 16, 64]k_1$  (second–fourth columns) compared with the minimum-entropy state at the same energy level (first column): first row,  $\zeta/\eta_{rms}$ ; second row,  $q/\eta_{rms}$ ; third row,  $q_{res}/\eta_{rms}$ .

that the minimum-entropy state can actually be approached with very large-scale initial conditions. However, although no strong vortices emerge, there exist some weak extrema of PV (see figure 5f) at the topographic depressions and elevations (see figure 1 for topography). As  $k_{ini}$  increases to  $16k_1$ , strong vortices emerge at these locations, with cyclones and anticyclones locked to topographic elevations and depressions, respectively (figure 5g). The background relative vorticity becomes stronger (compare figure 5c with 5b), leading to the weaker background PV (compare figure 5g with 5f). As  $k_{ini}$  increases further to  $64k_1$ , more vortices nucleate. Notably, the background relative vorticity strengthens further and the background PV is nearly homogenized (figure 5d,h). A larger initial wavenumber provides more seeds of vortices; more vortices nucleate afterwards and mix the background PV more efficiently to homogeneity. Note that the vortices in the  $64k_1$  run remain locked to fixed locations but exhibit higher mobility compared with those in the  $16k_1$  run (see supplementary movies of the corresponding runs). In summary, at a low energy level, the resemblance with the minimum-entropy state and the near homogenization of background PV are observed in simulations with two limiting initial length scales, with an emergent transitional state as the initial length scale varies in between. Most of these phenomena cannot be observed in low-energy simulations with a single intermediate wavenumber of  $16k_1$  considered by SY23.



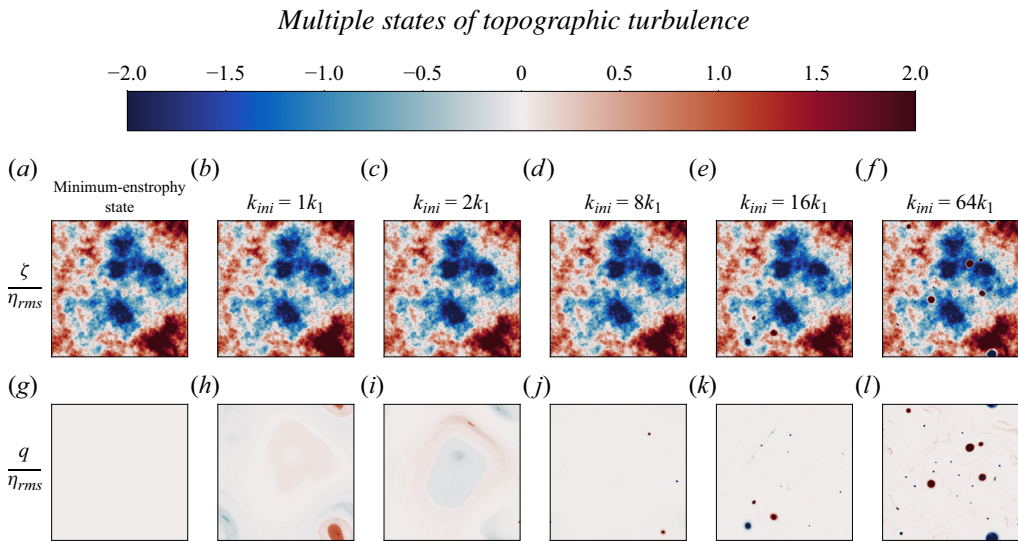


Figure 6. Long-term snapshots of  $E_{\#}$  runs for  $k_{ini} = [1, 2, 8, 16, 64]k_1$  (second–sixth columns) compared with the minimum-ensstrophy state at the same energy level (first column): first row,  $\zeta/\eta_{rms}$ ; second row,  $q/\eta_{rms}$ .

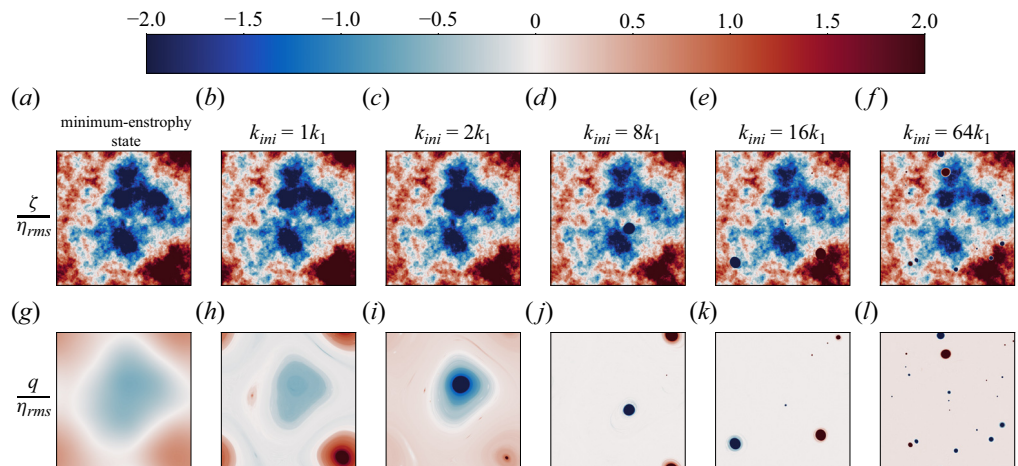


Figure 7. Same as [figure 6](#) but for  $2E_{\#}$  runs.

Now we turn to the runs with the critical energy level of  $E_{\#}$ , as shown in [figure 6](#). Once again, the solutions of the small- $k_{ini}$  runs are similar to the minimum-ensstrophy state, with nearly homogenized PV (see [figure 6h,i](#)). This demonstrates that the minimum-ensstrophy state can be approached, together with the analogous enstrophy produced numerically and theoretically ([table 1](#)). As  $k_{ini}$  increases, more vortices nucleate and roam throughout the domain. The background PV is then completely homogenized.

A parallel comparison is made further among simulations prescribed with the high energy level of  $2E_{\#}$ , shown in [figure 7](#). As discussed earlier, the final-state enstrophy does not approach the minimum value, even for the case of the smallest initial wavenumber  $1k_1$  ([table 1](#)). However, the solution of the  $1k_1$  run is still similar to the minimum-ensstrophy state to some extent ([figure 7g,h](#)), both displaying non-uniform PV fields imprinted by the low-pass-filtered topography. As shown in [figure 7\(h,i\)](#) for runs of  $1k_1$  and  $2k_1$ , a cyclone and an anticyclone are locked respectively to the topographic depression and elevation, exactly opposing the relation between vortex polarity and depth in the low-energy runs.

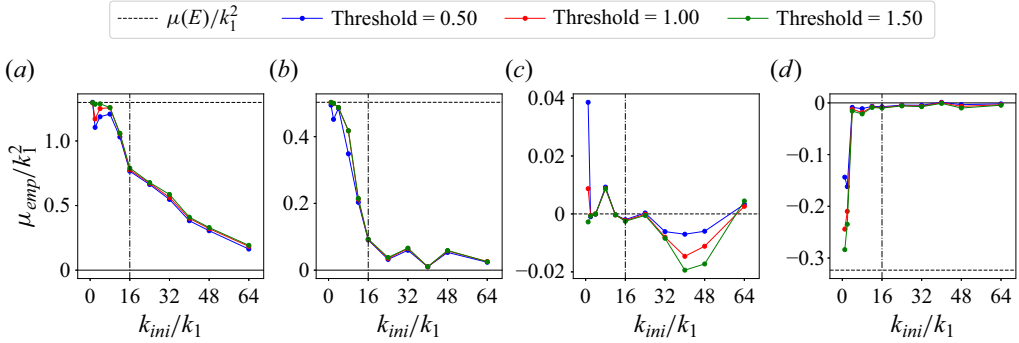


Figure 8. Variations of the empirical slope  $\mu_{emp}$  between  $q$  and  $\psi$  with the initial wavenumber  $k_{ini}/k_1$  at four energy levels: (a)  $E = 0.25E_{\#}$ ; (b)  $E = 0.50E_{\#}$ ; (c)  $E = E_{\#}$ ; (d)  $E = 2E_{\#}$ . Horizontal dashed lines represent the theoretical Lagrange multiplier based on the minimum-entropy principle at the same energy levels. Vertical dash-dotted lines highlight the wavenumber of  $k_{init}/k_1 = 16$  considered in SY23.

These gigantic vortices inhibit enstrophy from further decaying towards the theoretical minimum value, and result likely from some subtle, incomplete adjustments of topographic turbulence suggested by LaCasce *et al.* (2024). Towards the case of  $8k_1$ , the vortices become smaller in size and more localized; they orbit around the large-scale features of topography (see the corresponding supplementary movie). The background PV is essentially homogenized. As  $k_{ini}$  increases further, more vortices emerge and tend to roam throughout the domain. Note that although the background PV of the  $64k_1$  run is homogenized, it is not identically zero.

### 3.3. Empirical background flow

As in SY23, we extract the background flow from the data via linear fitting between  $q$  and  $\psi$ . The vortices in the flow field are outliers and removed by a threshold. The points with  $|q|/\eta_{rms}$  below the threshold are retained to extract the background state. Then applying least-squares fitting to  $q$  and  $\psi$  of the remaining points yields an approximate linear relation,

$$q \approx \mu_{emp}\psi. \quad (3.1)$$

When extracting the empirical slope  $\mu_{emp}$  for each time instant, we found that its value does not vary significantly in time after an initial adjustment phase. The empirical slope  $\mu_{emp}$  should depend on the energy  $E$ , as illustrated by SY23, and the initial wavenumber  $k_{ini}$  based on our experiments. The results of  $\mu_{emp}$  are shown in figure 8. We choose three thresholds for extracting  $\mu_{emp}$ , and find only quantitative differences. The runs with the critical energy  $E_{\#}$  show that  $\mu_{emp}$  is always close to zero, indicating near homogenization of PV. For small- $k_{ini}$  runs, the empirical slope  $\mu_{emp}$  is close to the theoretical  $\mu(E)$  (horizontal dashed line) shown in figure 2(a). Even at the high energy level of  $2E_{\#}$ , a finite and negative  $\mu_{emp}$  is consistent with the theoretical  $\mu(2E_{\#})$ . This is another justification of the existence of the minimum-entropy state approachable by numerical simulations but overlooked by SY23. As the initial wavenumber increases, the magnitude of  $\mu_{emp}$  tends to zero, implying a transition from a non-uniform background PV field imprinted by the low-pass-filtered topography to PV homogenization, consistent with the preceding observations from the long-term snapshots. The numerical solutions of SY23 (see vertical dash-dotted lines) might capture a confined range of the transition regime, particularly in the low-energy cases.

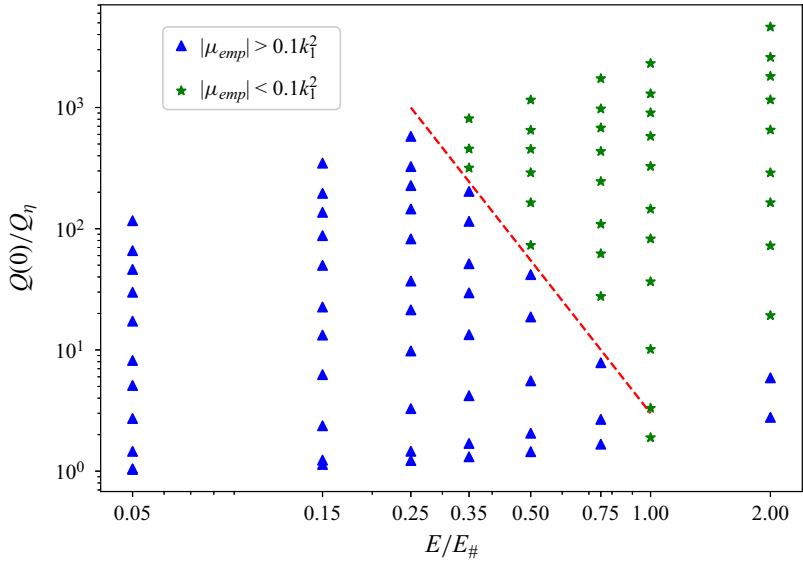


Figure 9. Phase diagram of the runs in the parametric space of the energy level  $E/E_{\#}$  and the initial enstrophy  $Q(0)/Q_{\eta}$  (both in log scales). Markers  $\blacktriangle$  (blue) and  $\star$  (olive green) denote the runs with  $\mu_{emp}$  larger and smaller than  $0.1k_1^2$ , respectively. The red dashed line roughly delineates the regimes of non-uniform and homogeneous background PV in the low-energy region of  $E < E_{\#}$ .

As in SY23, the empirical slope  $\mu_{emp}$  is used to define a ‘residual’ PV field,  $q_{res} = q - \mu_{emp}\psi$ , which is shown in figure 5(i–k). Evidently, the background flow is sufficiently removed, thus confirming the  $q$ – $\psi$  linear relation (3.1).

As shown in figure 3, the initial length scale directly modulates the initial enstrophy. Thus, the dependence of the long-term states on the former can be regarded as to that on the latter. Figure 9 depicts a phase diagram of all runs in the parametric space of the energy level  $E/E_{\#}$  and the initial enstrophy  $Q(0)/Q_{\eta}$ . Two regimes of the long-term states, namely, non-uniform and homogeneous background PV, are delineated by the empirical slope  $\mu_{emp}$  in comparison against a small threshold of  $0.1k_1^2$ . The two regimes are roughly separated by a straight line on the low-energy branch  $E < E_{\#}$ . We can observe that lower-energy runs need higher initial enstrophy to approach homogeneous background PV. This can be understood by the fact that the minimum-enstrophy PV with lower energy is more non-uniform and requires stronger mixing (promoted by higher enstrophy) to approach homogenization.

#### 4. Conclusion

In this work, we study the states of unforced, weakly decaying two-dimensional turbulence above random rough topography, initialized by a series of monoscale fields covering a broad range of length scales and energy levels. Our observations complement those of Siegelman & Young (2023), who took a single, intermediate monoscale for initialization into account. Some of the phenomenological descriptions of topographic turbulence from Siegelman & Young (2023) shall be updated. The minimum-enstrophy solutions of Bretherton & Haidvogel (1976) can be approached by initial fields whose length scales are comparable with the domain size, especially when the energy is no larger than  $E_{\#}$ . As the initial length scale decreases, the higher initial enstrophy provides more seeds for

vortex nucleation. More emerging vortices shield the enstrophy from cascading towards high wavenumbers, and efficiently mix the background PV. A non-uniform background PV field imprinted by large-scale topography, at low or high energy, is thus weakened and tends to homogenization. Our results highlight the sensitivity of topographic turbulence to the length scales of initial conditions. Given that the depth-invariant mode of geostrophic turbulence responsible for driving mass and heat transports was constantly found to be energized across a range of wavenumbers (Chen 2023), this work calls for ongoing efforts for refining parametrizations of ocean turbulence over the bumpy seafloor by taking the energization length scales into account.

Our work has confined the initial conditions of topographic turbulence to monoscales. How the turbulence responds to multi-scale initial conditions, such as a power-law field, is yet to be addressed. For simplicity, if one considers a superposition of two monoscale fields with identical energy and enstrophy to those of a single monoscale field, the energy and enstrophy must be redistributed between the two scales, leading to one scale larger and the other smaller than the single monoscale. According to our monoscale experiments, a large-scale initial field tends to the minimum-enstrophy state whose PV is typically non-uniform, whereas a small-scale counterpart tends to generate vortices that mix the background PV to homogenization. Thus, there could be a competition among different scales, in term of bringing the background PV to non-uniformity or homogenization. Which effect is dominant may depend on the assigned energy to the two monoscales, among other processes. These possibilities open a future research avenue in topographic turbulence.

**Supplementary movies.** Supplementary movies are available at <https://doi.org/10.1017/jfm.2024.633>.

**Acknowledgements.** The authors are grateful to the three anonymous reviewers for their constructive comments which improved this paper a lot.

**Funding.** This work is supported by the Research Grants Council (RGC) of Hong Kong under awards Early Career Scheme 26307720 and General Research Fund 16305321, and by the Center for Ocean Research (CORE), a joint research centre between Laoshan Laboratory and HKUST.

**Declaration of interests.** The authors report no conflict of interest.

#### Author ORCIDs.

 Jiyang He <https://orcid.org/0000-0003-4176-1829>;

 Yan Wang <https://orcid.org/0000-0001-8064-2908>.

#### REFERENCES

- BENZI, R., PALADIN, G., PATARNELLO, S., SANTANGELO, P. & VULPIANI, A. 1986 Intermittency and coherent structures in two-dimensional turbulence. *J. Phys. A: Math. Gen.* **19** (18), 3771.
- BENZI, R., PATARNELLO, S. & SANTANGELO, P. 1987 On the statistical properties of two-dimensional decaying turbulence. *Europhys. Lett.* **3** (7), 811.
- BENZI, R., PATARNELLO, S. & SANTANGELO, P. 1988 Self-similar coherent structures in two-dimensional decaying turbulence. *J. Phys. A: Math. Gen.* **21** (5), 1221.
- BOFFETTA, G. & ECKE, R.E. 2012 Two-dimensional turbulence. *Annu. Rev. Fluid Mech.* **44** (1), 427–451.
- BRETHERTON, F.P. & HAIDVOGEL, D.B. 1976 Two-dimensional turbulence above topography. *J. Fluid Mech.* **78** (1), 129–154.
- CARNEVALE, G.F. & FREDERIKSEN, J.S. 1987 Nonlinear stability and statistical mechanics of flow over topography. *J. Fluid Mech.* **175**, 157–181.
- CHEN, S.-N. 2023 Revisiting the baroclinic eddy scalings in two-layer, quasigeostrophic turbulence: effects of partial barotropization. *J. Phys. Oceanogr.* **53** (3), 891–913.

## Multiple states of topographic turbulence

- CONSTANTINOU, N.C., WAGNER, G.L., SIEGELMAN, L., PEARSON, B.C. & PALÓCZY, A. 2021 GeophysicalFlows.jl: solvers for geophysical fluid dynamics problems in periodic domains on CPUs & GPUs. *J. Open Source Softw.* **6** (60), 3053.
- EAVES, R.E., MADDISON, J.R., MARSHALL, D.P. & WATERMAN, S. 2024 An energy and enstrophy constrained parameterization of barotropic eddy potential vorticity fluxes. ESS Open Archive, 10.22541/essoar.170808428.80423602/v1.
- GALLET, B. 2024 Two-dimensional turbulence above topography: condensation transition and selection of minimum enstrophy solutions. *J. Fluid Mech.* **988**, A13.
- HOLLOWAY, G. 1992 Representing topographic stress for large-scale ocean models. *J. Phys. Oceanogr.* **22** (9), 1033–1046.
- KÖHL, A. 2007 Generation and stability of a quasi-permanent vortex in the Lofoten Basin. *J. Phys. Oceanogr.* **37** (11), 2637–2651.
- LACASCE, J.H., PALÓCZY, A. & TRODAHL, M. 2024 Vortices over bathymetry. *J. Fluid Mech.* **979**, A32.
- MCWILLIAMS, J.C. 1984 The emergence of isolated coherent vortices in turbulent flow. *J. Fluid Mech.* **146**, 21–43.
- MCWILLIAMS, J.C. 1990 The vortices of two-dimensional turbulence. *J. Fluid Mech.* **219**, 361–385.
- RADKO, T. 2023 A generalized theory of flow forcing by rough topography. *J. Fluid Mech.* **961**, A24.
- RHINES, P.B. & YOUNG, W.R. 1982 Homogenization of potential vorticity in planetary gyres. *J. Fluid Mech.* **122**, 347–367.
- SALMON, R., HOLLOWAY, G. & HENDERSHOTT, M.C. 1976 The equilibrium statistical mechanics of simple quasi-geostrophic models. *J. Fluid Mech.* **75** (4), 691–703.
- SANTANGELO, P., BENZI, R. & LEGRAS, B. 1989 The generation of vortices in high-resolution, two-dimensional decaying turbulence and the influence of initial conditions on the breaking of self-similarity. *Phys. Fluids A* **1** (6), 1027–1034.
- SIEGELMAN, L. & YOUNG, W.R. 2023 Two-dimensional turbulence above topography: vortices and potential vorticity homogenization. *Proc. Natl Acad. Sci. USA* **120** (44), e2308018120.
- SOLODOCH, A., STEWART, A.L. & MCWILLIAMS, J.C. 2021 Formation of anticyclones above topographic depressions. *J. Phys. Oceanogr.* **51** (1), 207–228.
- WANG, Y. & STEWART, A.L. 2018 Eddy dynamics over continental slopes under retrograde winds: insights from a model inter-comparison. *Ocean Model.* **121**, 1–18.
- ZHANG, L.-F. & XIE, J.-H. 2024 Spectral condensation and bidirectional energy transfer in quasi-geostrophic turbulence above small-scale topography. *Phys. Fluids* **36** (8), 086601.