

# ON TWO-SIDED ARTINIAN QUOTIENT RINGS

by P. F. SMITH

(Received 3 December, 1970)

Djabali [1] has proved that, if  $R$  is a right and left noetherian ring with an identity and if the proper prime ideals of  $R$  are maximal, then  $R$  has a right and left artinian two-sided quotient ring. Robson [5, Theorem 2.11] and Small [6, Theorem 2.13] have proved independently that, if  $R$  is a commutative noetherian ring, then  $R$  has an artinian quotient ring if and only if the prime ideals of  $R$  that belong to the zero ideal are all minimal. We shall generalise these results by proving the

**THEOREM.** *Let  $R$  be a right and left noetherian ring with a regular element. Then  $R$  has a right and left artinian two-sided quotient ring if and only if each prime ideal of  $R$  consisting of zero-divisors is minimal.*

**1. Small's Theorem.** Let  $S$  be a non-empty subset of a ring  $R$ . Then the *right annihilator* of  $S$  in  $R$ , denoted by  $r(S)$ , is  $\{r \in R : Sr = 0\}$ . The *left annihilator*,  $l(S)$ , is  $\{r \in R : rS = 0\}$ . If  $c \in R$  we shall denote the right and left annihilators of  $\{c\}$  by  $r(c)$  and  $l(c)$ , respectively.

An element  $c$  of  $R$  is *right regular* if  $r(c) = 0$  and is *left regular* if  $l(c) = 0$ . If  $c \in R$  and  $l(c) = r(c) = 0$ , then  $c$  is called *regular*. For any ideal  $I$  of  $R$  we set

$$\mathcal{C}(I) = \{r \in R : [r+I] \text{ is a right regular element of } R/I\}$$

and

$$\mathcal{C}'(I) = \{r \in R : [r+I] \text{ is a left regular element of } R/I\}.$$

In addition, we set  $\mathcal{C}(I) = \mathcal{C}(I) \cap \mathcal{C}'(I)$ . In this notation  $\mathcal{C}(0)$  denotes the set of regular elements of  $R$ . If  $r \in R$  and  $r \notin \mathcal{C}(0)$ , then  $r$  is called a *zero-divisor* of  $R$ .

From now on "ring" will mean "ring with a regular element".

A ring  $Q$  with an identity is said to be the *right quotient ring* of a ring  $R$  if

- (i)  $R \subseteq Q$ ,
- (ii) every regular element of  $R$  has an inverse in  $Q$ , and
- (iii) every element of  $Q$  has the form  $rc^{-1}$  with  $r \in R$  and  $c \in \mathcal{C}(0)$ .

There is an analogous definition of the left quotient ring of  $R$ . If a ring  $R$  has a right quotient ring  $Q_1$  and a left quotient ring  $Q_2$ , then  $Q_1 = Q_2$  and we call  $Q_1$  the *two-sided quotient ring* of  $R$ .

Let  $M$  be a multiplicatively closed set of elements of a ring  $R$ . Let  $I$  be any ideal of  $R$ . We shall say that  $R$  satisfies the *right Ore condition with respect to  $M$  modulo  $I$*  if, for given  $r \in R$ ,  $m \in M$ , there exist  $r_1 \in R$ ,  $m_1 \in M$  such that  $rm_1 - mr_1 \in I$ . In the special case when  $I = 0$ , we shall say simply that  $R$  satisfies the *right Ore condition with respect to  $M$* . There are analogous left-handed definitions.

**THEOREM 1.1.** (See [4, p. 118].) *A necessary and sufficient condition for a ring  $R$  to have a right (left) quotient ring is that  $R$  satisfies the right (left) Ore condition with respect to  $\mathcal{C}(0)$ .*

A ring  $R$  will be called *right artinian* if  $R$  has an identity and  $R$  satisfies the minimum condition for right ideals. There is an analogous definition for left artinian.

The sum of all the nilpotent ideals of a ring  $R$  is called the *nilpotent radical* of  $R$ . A prime ideal  $P$  of  $R$  is *minimal* if  $P \supseteq P'$ , with  $P'$  a prime ideal of  $R$ , implies  $P = P'$ . If  $R$  is a right noetherian ring (i.e., if  $R$  satisfies the maximum condition for right ideals), then the family of proper ideals  $\{r(T) : T \text{ is a nonzero ideal of } R\}$  has maximal members and these ideals are called the *maximal right annihilators* of  $R$ . There is an analogous definition of the *maximal left annihilators* of  $R$ . The next result can easily be checked.

**LEMMA 1.2.** *Let  $R$  be a right noetherian ring. Let  $P$  be a maximal right annihilator of  $R$ . Then*

- (i)  $P$  is a prime ideal of  $R$ , and
- (ii)  $P$  consists of zero-divisors of  $R$ .

We recall the following results.

**THEOREM 1.3.** (See [3, Theorem 1.5].) *Let  $R$  be a right noetherian ring and let  $N$  be the nilpotent radical of  $R$ . Then  $\mathcal{C}'(0) \subseteq \mathcal{C}(N)$ . When  $r \in R$ ,  $c \in \mathcal{C}'(0)$ , there exist  $r_1 \in R$ ,  $c_1 \in \mathcal{C}(N)$  with  $rc_1 = cr_1$ .*

**COROLLARY 1.4.** *If  $\mathcal{C}'(0) = \mathcal{C}(N)$ , then  $R$  satisfies the right Ore condition with respect to  $\mathcal{C}(N)$ .*

**THEOREM 1.5.** (See [6, Theorems 2.11 and 2.12].) *Let  $R$  be a right noetherian ring with nilpotent radical  $N$ . Then  $R$  has a right artinian right quotient ring if and only if  $\mathcal{C}(N) \subseteq \mathcal{C}(0)$ .*

**THEOREM 1.6.** (See [3, 1.11].) *Let  $R$  be a right noetherian ring with nilpotent radical  $N$ . Let  $P$  be a prime ideal of  $R$ . Then  $P$  is minimal if and only if  $P$  does not meet  $\mathcal{C}(N)$ .*

Combining Theorems 1.5 and 1.6, we have

**COROLLARY 1.7.** *Let  $R$  be a right noetherian ring which has a right artinian right quotient ring. Let  $P$  be a prime ideal of  $R$  consisting of zero-divisors. Then  $P$  is a minimal prime ideal.*

**LEMMA 1.8.** *Let  $R$  be a right and left noetherian ring with nilpotent radical  $N$ . Suppose that  $R$  satisfies the right Ore condition with respect to  $\mathcal{C}(N)$ . Let  $J = \{a \in R : ac = 0 \text{ for some } c \in \mathcal{C}(N)\}$ . Then*

- (i)  $J$  is an ideal of  $R$  and  $\mathcal{C}(N) \subseteq \mathcal{C}(J)$ ,
- (ii) there exists  $c \in \mathcal{C}(N)$  such that  $Jc = 0$ .

*Proof.* (i) See [2, Chapter 5, Notes on Chapters 3, 4, 5, Propositions 1 and 3].

(ii) Choose  $c \in \mathcal{C}(N)$  such that  $l(c)$  is maximal in the family of left ideals  $\{l(e) : e \in \mathcal{C}(N)\}$ . If  $a \in J$ , then there exists  $d \in \mathcal{C}(N)$  such that  $ad = 0$ . Since  $R$  satisfies the right Ore condition with respect to  $\mathcal{C}(N)$ , it follows that there exist  $d_1 \in \mathcal{C}(N)$ ,  $c_1 \in R$  such that  $cd_1 = dc_1$ . Then  $acd_1 = 0$ . By the maximality of  $l(c)$ ,  $l(c) \subseteq l(cd_1)$  implies that  $l(c) = l(cd_1)$ . Therefore  $ac = 0$ . It follows that  $Jc = 0$ .

**2. Artinian quotient rings.** Throughout this section we shall suppose that  $R$  is a right and left noetherian ring (with a regular element).

**LEMMA 2.1.** *Let  $N$  be the nilpotent radical of  $R$ . Suppose that  $R$  satisfies the right Ore condition with respect to  $\mathcal{C}(N)$ . Suppose also that each prime ideal of  $R$  consisting of zero-divisors is minimal. Then  $R$  has a right and left artinian two-sided quotient ring.*

*Proof.* Let  $J = \{a \in R : ac = 0 \text{ for some } c \in \mathcal{C}(N)\}$ . By Lemma 1.8,  $J$  is an ideal of  $R$  and  $Jc = 0$  for some  $c \in \mathcal{C}(N)$ . If  $J \neq 0$ , then  $r(J)$  is contained in some maximal right annihilator  $P$  of  $R$ . By Lemma 1.2(i),  $P$  is a prime ideal of  $R$ . Since  $P$  meets  $\mathcal{C}(N)$ , Theorem 1.6 shows that  $P$  is not minimal. Hence, by hypothesis,  $P$  does not consist of zero-divisors. This contradicts Lemma 1.2(ii). It follows that  $J = 0$  and, by Lemma 1.8, that  $\mathcal{C}(N) \subseteq \mathcal{C}(0)$ . Finally Theorem 1.5 shows that the right and left noetherian ring  $R$  has a right and left artinian two-sided quotient ring.

**THEOREM 2.2.** *Let  $R$  be a right and left noetherian ring. Then  $R$  has a right and left artinian two-sided quotient ring if and only if each prime ideal of  $R$  consisting of zero-divisors is minimal.*

*Proof.* The necessity is proved by Corollary 1.7.

Conversely, suppose that each prime ideal consisting of zero-divisors is minimal. Let  $N$  be the nilpotent radical of  $R$ . By Levitzki's Theorem,  $N$  is nilpotent. Therefore there exists a positive integer  $s$  such that  $N^{s-1} \neq 0, N^s = 0$ .

By Lemma 2.1, to prove that  $R$  has a right and left artinian two-sided quotient ring it is sufficient to prove that  $R$  satisfies the right Ore condition with respect to  $\mathcal{C}(N)$ . By Theorem 1.3,  $R$  satisfies the right Ore condition with respect to  $\mathcal{C}(N)$  modulo  $N$ . Suppose that  $1 \leq k \leq s-1$  and that  $R$  satisfies the right Ore condition with respect to  $\mathcal{C}(N)$  modulo  $N^k$ . We shall prove that  $R$  satisfies the right Ore condition with respect to  $\mathcal{C}(N)$  modulo  $N^{k+1}$ . The proof will be given in a series of lemmas.

**LEMMA 2.3.** *Let  $K = \{r \in R : rc \in N^{k+1} \text{ for some } c \in \mathcal{C}(N)\}$ . Then  $K$  is an ideal of  $R$ .*

*Proof.* Note first that  $K \subseteq N$ . Let  $r_1, r_2 \in K$ . Then there exist  $c_1, c_2 \in \mathcal{C}(N)$  such that  $r_1 c_1 \in N^{k+1}, r_2 c_2 \in N^{k+1}$ . Moreover, there exist  $d \in \mathcal{C}(N), a \in R, b \in N^k$  such that  $c_1 d - c_2 a = b$ . Therefore

$$(r_1 - r_2)c_1 d = r_1 c_1 d - r_2(c_2 a + b) = (r_1 c_1) d - (r_2 c_2)a - r_2 b \in N^{k+1}$$

since  $r_2 \in N$ . Let  $r \in K, x \in R$ . Then clearly  $rx \in K$ . On the other hand, there exists  $c \in \mathcal{C}(N)$  such that  $rc \in N^{k+1}$ . In addition there exist  $e_1 \in \mathcal{C}(N), x_1 \in R$  such that  $xe_1 - cx_1 \in N^k$ . Then  $rx_1 \in N^{k+1}$ . This implies that  $rx \in K$ . It follows that  $K$  is an ideal of  $R$ .

**LEMMA 2.4.**  $\mathcal{C}(0) \subseteq \mathcal{C}(K)$ .

*Proof.* By Theorem 1.3,  $\mathcal{C}(0) \subseteq \mathcal{C}(N)$ . Hence  $\mathcal{C}(0) \subseteq \mathcal{C}(K)$ . Suppose that  $r \in R, c \in \mathcal{C}(0)$  and  $cr \in K$ . For each positive integer  $t$  we set  $L_t = \{r \in R : c^t r \in K\}$ . Since  $R$  is right noetherian, the ascending chain of right ideals  $L_1 \subseteq L_2 \subseteq \dots$  must terminate. That is, there exists  $n$  such that  $L_n = L_{n+1}$ . By Theorem 1.3, there exist  $c_1 \in \mathcal{C}(N), r_1 \in R$  such that  $c^n r_1 = rc_1$ .

Now  $cr \in K$  implies  $c^{n+1}r_1 \in K$ . By the choice of  $n$ ,  $c^n r_1 \in K$  and hence  $rc_1 \in K$ . It follows that  $r \in K$ . In this way,  $\mathcal{C}(0) \subseteq \mathcal{C}'(K)$ . Hence  $\mathcal{C}(0) \subseteq \mathcal{C}(K)$ .

**LEMMA 2.5.** *Let  $T = \{r \in R : cr \in K \text{ for some } c \in \mathcal{C}(N)\}$ . Then  $T$  is an ideal of  $R$  and there exists  $c \in \mathcal{C}(N)$  such that  $cT \subseteq K$ .*

*Proof.* Since  $K \subseteq N$ , the ideal  $N/K$  is the nilpotent radical of the ring  $R/K$ . In addition, it is clear that  $\mathcal{C}(N) \subseteq \mathcal{C}(K)$ . By Theorem 1.3,  $\mathcal{C}(K) = \mathcal{C}(N)$ . Then Corollary 1.4 shows that  $R/K$  satisfies the left Ore condition with respect to  $\mathcal{C}(N/K)$ . The result now follows by Lemma 1.8.

*Note.* The proof of Lemma 2.5 uses the left hand versions of Theorem 1.3 and Lemma 1.4.

**LEMMA 2.6.**  $T = K$ .

*Proof.* Note first that  $K \subseteq T$ . Let  $V = \{r \in R : rT \subseteq K\}$ . Then  $V$  is an ideal of  $R$  and  $K \subseteq V$ . If  $T \neq K$ , then  $V/K$  is contained in a maximal left annihilator  $P'$  of  $R/K$ . By Lemma 1.2(i),  $P'$  is a prime ideal of  $R/K$ . Therefore  $P' = P/K$  for some prime ideal  $P$  of  $R$  with  $K \subseteq V \subseteq P$ . By Lemma 2.5,  $P$  meets  $\mathcal{C}(N)$  and, by Theorem 1.6,  $P$  is not minimal. By hypothesis,  $P$  meets  $\mathcal{C}(0)$ . Hence, by Lemma 2.4,  $P$  meets  $\mathcal{C}(K)$ . That is,  $P'$  does not consist of zero-divisors of  $R/K$ . This contradicts Lemma 1.2(ii). Hence  $T = K$ .

**COROLLARY 2.7.**  $\mathcal{C}(N) \subseteq \mathcal{C}(K)$ .

*Proof.* Let  $r \in R$ ,  $c \in \mathcal{C}(N)$ . If  $rc \in K$ , then clearly  $r \in K$ . On the other hand, if  $cr \in K$ , then  $r \in T = K$ .

**LEMMA 2.8.**  $R$  satisfies the right Ore condition with respect to  $\mathcal{C}(N)$  modulo  $N^{k+1}$ .

*Proof.* Recall that  $N/K$  is the nilpotent radical of  $R/K$ . By Theorem 1.3 and Corollary 2.7,  $\mathcal{C}(N) = \mathcal{C}(K)$ . Then Theorem 1.3 also shows that  $R/K$  satisfies the right Ore condition with respect to  $\mathcal{C}(N/K)$ . In other words,  $R$  satisfies the right Ore condition with respect to  $\mathcal{C}(N)$  modulo  $K$ . It follows easily that  $R$  satisfies the right Ore condition with respect to  $\mathcal{C}(N)$  modulo  $N^{k+1}$ .

We recall that  $N^s = 0$ . Therefore, by induction,  $R$  satisfies the right Ore condition with respect to  $\mathcal{C}(N)$ . As we remarked earlier, this allows us to conclude that  $R$  has a right and left artinian two-sided quotient ring. This completes the proof of Theorem 2.2.

It might be conjectured that, if  $R$  satisfies the condition that

$$\text{each prime ideal which does not meet } \mathcal{C}'(0) \text{ is minimal,} \tag{*}$$

then  $R$  has a right and left artinian two-sided quotient ring. The following example of Small [7] shows that this conjecture is false.

*Example.* Let  $Z$  denote the ring of integers. Let  $p$  be a prime in  $Z$ . Let  $S$  be the ring of all two-by-two "matrices" of the form

$$\begin{pmatrix} a & 0 \\ b & c \end{pmatrix}$$

with  $a \in Z$ ,  $b \in Z/(p)$  and  $c \in Z/(p)$ . Addition in  $S$  is defined component-wise and multiplication is given by

$$\begin{pmatrix} a & 0 \\ b & c \end{pmatrix} \begin{pmatrix} a' & 0 \\ b' & c' \end{pmatrix} = \begin{pmatrix} aa' & 0 \\ ba' + cb' & cc' \end{pmatrix}$$

where  $Z$  acts on  $Z/(p)$  in the usual way. Then  $S$  has the following properties (see [7]).

- (i)  $S$  is a right and left noetherian ring with an identity.
- (ii) If  $N$  is the nilpotent radical of  $S$ , then

$$N = \left\{ \begin{pmatrix} 0 & 0 \\ b & 0 \end{pmatrix} : b \in Z/(p) \right\}.$$

- (iii)  $S$  has a two-sided quotient ring  $Q$  but  $Q$  is neither right nor left artinian.

It is not hard to prove that  $S$  has the further property:

$$(iv) \mathcal{C}(N) = \mathcal{C}'(0) = \left\{ \begin{pmatrix} a & 0 \\ b & c \end{pmatrix} : a \neq 0 \text{ and } c \neq 0 \right\}.$$

Combining (iv) with Theorem 1.6 we have immediately:

- (v)  $S$  satisfies (\*).

Finally, let

$$r = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \in S \quad \text{and} \quad c = \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix} \in \mathcal{C}(N).$$

Then there does not exist  $c' \in \mathcal{C}(N)$  such that  $c'r \in Sc$ . Using this fact it is not hard to prove that

- (vi)  $S$  satisfies the right Ore condition with respect to  $\mathcal{C}(N)$  but  $S$  does not satisfy the left Ore condition with respect to  $\mathcal{C}(N)$ .

#### REFERENCES

1. M. Djabali, Anneaux de fractions généralisés artiniens, *C. R. Acad. Sci. Paris* **268** (1969), 2138–2140.
2. A. W. Goldie, *Rings with maximum condition*, Mimeographed lecture notes, Yale University, 1961.
3. A. W. Goldie, *Lectures on non-commutative noetherian rings*, Canad. Math. Congress, York University, Toronto, 1967.
4. N. Jacobson, *The theory of rings*, Amer. Math. Soc. Surveys No. 2 (New York, 1943).
5. J. C. Robson, Artinian quotient rings, *Proc. London Math. Soc.* (3) **17** (1967), 600–616.
6. L. W. Small, Orders in artinian rings, *J. Algebra* **4** (1966), 13–41.
7. L. W. Small, On some questions in noetherian rings, *Bull. Amer. Math. Soc.* **72** (1966), 853–857.

UNIVERSITY OF GLASGOW  
GLASGOW, G12 8QQ