

## THE TRACE PROBLEM FOR TOTALLY POSITIVE ALGEBRAIC INTEGERS

YANHUA LIANG and QIANG WU 

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### Abstract

Let  $\alpha$  be a totally positive algebraic integer of degree  $d \geq 2$  and  $\alpha_1 = \alpha, \alpha_2, \dots, \alpha_d$  be all its conjugates. We use explicit auxiliary functions to improve the known lower bounds of  $S_k/d$ , where  $S_k = \sum_{i=1}^d \alpha_i^k$  and  $k = 1, 2, 3$ . These improvements have consequences for the search of Salem numbers with negative traces.

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### 1. Introduction

**1.1. The absolute trace of totally positive algebraic integers.** Let  $\alpha$  be a totally positive algebraic integer of degree  $d \geq 2$ , that is to say, its conjugates  $\alpha_1 = \alpha, \alpha_2, \dots, \alpha_d$  are all positive real numbers, while its minimal polynomial is  $P(x) = a_d x^d + a_{d-1} x^{d-1} + \dots + a_1 x + a_0$ , and  $a_d = 1$ . Let  $S_k = \sum_{i=1}^d \alpha_i^k$ ; then  $S_1$  is the trace of  $\alpha$  and  $S_1/d$  is called the absolute trace of  $\alpha$ . The Schur–Siegel–Smyth trace problem (so called by Borwein [4]) is concerned with  $S_1/d$ .

**PROBLEM 1.** Fix  $\rho < 2$ . Show that all but finitely many totally positive algebraic integers  $\alpha$  have  $S_1/d > \rho$ .

This problem was solved in 1918 by Schur [14] when  $\rho = \sqrt{e}$ , in 1943 by Siegel [15] when  $\rho = 1.737$ , in 1984 by Smyth [17] when  $\rho = 1.7719$ , in 1997 by Flammang *et al.* [6] when  $\rho = 1.7735$ , in 2004 by McKee and Smyth [11] when  $\rho = 1.778\ 378\ 6$ , in 2006 by Aguirre and Peral [1] when  $\rho = 1.784\ 109$ , in 2009 by Flammang [5] when  $\rho = 1.787\ 02$  and recently by McKee [10] when  $\rho = 1.788\ 39$ .

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In this paper, we improve these results.

**THEOREM 1.1.** *If  $\alpha$  is a totally positive algebraic integer of degree  $d \geq 2$ , then*

$$\frac{S_1}{d} > 1.791\,93,$$

*unless the minimal polynomial of  $\alpha$  is one of the following:*

$$\begin{aligned} &x^2 - 3x + 1, \quad x^3 - 5x^2 + 6x - 1, \\ &x^4 - 7x^3 + 13x^2 - 7x + 1, \quad x^4 - 7x^3 + 14x^2 - 8x + 1. \end{aligned}$$

**1.2. Salem numbers of trace  $-4$ ,  $-5$ .** A Salem number is a real algebraic integer greater than 1 whose conjugates all lie in the closed disc  $\{z \in \mathbb{C} : |z| \leq 1\}$ , with at least one on the unit circle. Its minimal polynomial is a reciprocal polynomial of degree  $2d$  with  $d \geq 2$ .

In 1999, Smyth [19] proved that there are Salem numbers of degree  $2d$  and trace  $-1$ , for all  $d \geq 4$ , and the number of such Salem numbers is of larger order than  $d/(\log \log d)^2$ . In 2004, McKee and Smyth [11] provided several examples of trace  $-2$  and established that the minimal degree for a Salem number of trace  $-2$  is 20; in 2005, they showed [12] that for every negative integer  $-T$ , there exists a Salem number with trace  $-T$ , and they gave a bound on the smallest degree. In 2009, Flammang [5] proved that if a Salem number has trace  $-3$ , then its degree is at least 30.

Theorem 1.1 has the following corollaries.

**COROLLARY 1.2.** *If a Salem number has trace  $-4$ , then its degree is at least 40.*

In fact, finding all Salem numbers of degree  $2d$  and trace  $-4$  is equivalent to finding all totally positive algebraic integers  $\alpha$  of degree  $d$  and trace  $2d - 4$  such that  $\alpha > 4$  and all other conjugates of  $\alpha$  are in the interval  $(0, 4)$ . Let

$$P(x) = x^d - (2d - 4)x^{d-1} + \dots$$

be the minimal polynomial of such a totally positive algebraic integer. The transformation  $x = z + 1/z + 2$  produces a reciprocal polynomial

$$Q(z) = z^{2d} + 4z^{2d-1} + \dots + 4z + 1,$$

which is the minimal polynomial of a Salem number of degree  $2d$  and trace  $-4$ , because the roots of  $P(x)$  in the interval  $(0, 4)$  give pairs of roots of  $Q(z)$  on the unit circle and the roots of  $P(x)$  in the interval  $(4, \infty)$  give pairs of reciprocal real positive roots of  $Q(z)$ .

Corollary 1.2 is an easy consequence of Theorem 1.1. As  $1.791\,93 > 34/19$ , there exists no totally positive irreducible polynomial of degree 19 and trace 34 corresponding to a Salem number of trace  $-4$ . Thus, the possible degree for such a polynomial is 20 and so at least degree 40 for the corresponding Salem number.

Similarly, we get the following result.

**COROLLARY 1.3.** *If a Salem number has trace  $-5$ , then its degree is at least 50.*

**1.3. Other invariants  $S_k/d$  of totally positive algebraic integers.** In 1984, Smyth [18] studied the set  $\mathcal{M}_p$  of all  $M_p(\alpha)$ , where

$$M_p(\alpha) = \left( \frac{1}{d} \sum_{i=1}^d |\alpha_i|^p \right)^{1/p},$$

$p > 0$  is fixed and  $\alpha$  varies over the totally real algebraic integers of degree  $d$ . He showed that when  $p = 4$ , the set  $\mathcal{M}_p$  consists of seven isolated points in the interval  $(0, 1.509\ 80)$ , it is everywhere dense in the interval  $(1.565\ 08, \infty)$ , and is undetermined in the interval  $(1.509\ 80, 1.565\ 08)$ . The seven isolated points (called exceptions in this paper) correspond to:

$$x, \quad x - 1, \quad x - 2, \quad x^2 + x - 1, \quad x^3 + x^2 - 2x - 1, \\ x^5 + x^4 - 4x^3 - 3x^2 + 3x + 1, \quad x^6 + x^5 - 5x^4 - 4x^3 + 6x^2 + 3x - 1.$$

It is easy to prove that  $\alpha^2$  is totally positive and  $M_p(\alpha^2) = (M_{2p}(\alpha))^2$  if  $\alpha$  is totally real. Based on this fact and Smyth's results, we can easily see that if  $\alpha$  is a totally positive algebraic integer, then the set  $S_2/d$  consists of six isolated points in the interval  $(0, 5.196\ 10)$ , is everywhere dense in the interval  $(5.999\ 93, \infty)$  and is undetermined in the interval  $(5.196\ 10, 5.999\ 93)$ . The six isolated points correspond to

$$x - 1, x - 2, P_1, P_2, P_3, P_4,$$

where

$$P_1 = x^2 - 3x + 1, \\ P_2 = x^3 - 5x^2 + 6x - 1, \\ P_3 = x^5 - 9x^4 + 28x^3 - 35x^2 + 15x - 1, \\ P_4 = x^6 - 11x^5 + 45x^4 - 84x^3 + 70x^2 - 21x + 1.$$

Similarly, when  $p = 6$ , we see that if  $\alpha$  is a totally positive algebraic integer, then the set  $S_3/d$  consists of five isolated points in the interval  $(0, 16.264\ 81)$ , is everywhere dense in the interval  $(20.000\ 08, \infty)$  and is undetermined in the interval  $(16.264\ 81, 20.000\ 08)$ . The five isolated points correspond to

$$x - 1, x - 2, P_1, P_2, P_3.$$

In this paper, we use auxiliary functions to study the lower bounds of  $S_2/d$  and  $S_3/d$ . For  $S_2/d$ , we improve the left end point of the interval  $(5.196\ 10, 5.999\ 93)$ , increasing 5.196 10 to 5.319 35, and we find a new exception. For  $S_3/d$ , we increase the left end point of the interval  $(16.264\ 81, 20.000\ 08)$  to 17.567 65, and we find three new exceptions.

**THEOREM 1.4.** *If  $\alpha$  is a totally positive algebraic integer of degree  $d \geq 2$ , then*

$$\frac{S_2}{d} > 5.319\ 35,$$

unless the minimal polynomial of  $\alpha$  is one of  $P_1, P_2, P_3, P_4,$  and  $P_5,$  where

$$P_5 = x^4 - 7x^3 + 14x^2 - 8x + 1.$$

**THEOREM 1.5.** *If  $\alpha$  is a totally positive algebraic integer of degree  $d \geq 2,$  then*

$$\frac{S_3}{d} > 17.567\ 65,$$

unless the minimal polynomial of  $\alpha$  is one of  $P_1, P_2, P_3, P_4, P_6,$  and  $P_7,$  where

$$\begin{aligned} P_6 &= x^8 - 15x^7 + 91x^6 - 286x^5 + 495x^4 - 462x^3 + 210x^2 - 36x + 1, \\ P_7 &= x^9 - 17x^8 + 120x^7 - 455x^6 + 1001x^5 - 1287x^4 \\ &\quad + 924x^3 - 330x^2 + 45x - 1. \end{aligned}$$

Note that, compared to Smyth’s results, the polynomial  $P_5$  in Theorem 1.4 and  $P_4, P_6$  and  $P_7$  in Theorem 1.5 are new exceptions.

This paper is organized as follows: in Section 2, we briefly recall the method; and in Section 3, we describe the numerical results.

## 2. Principle of the explicit auxiliary functions

**2.1. Construction of an explicit auxiliary function.** Let  $\alpha$  be a totally positive algebraic integer of degree  $d,$  with minimal polynomial  $P,$  and let  $\alpha_1 = \alpha, \alpha_2, \dots, \alpha_d$  be all its conjugates.

Let  $x > 0,$  let  $\mathbf{e} = (e_1, e_2, \dots, e_n) \in \mathbb{R}^n$  where  $e_i > 0,$  and let  $Q_i \in \mathbb{Z}[x]$  for  $1 \leq i \leq n.$  For  $S_1/d,$  we consider the explicit auxiliary function

$$f_1(x, \mathbf{e}) = x - \sum_{i=1}^n e_i \log |Q_i(x)|.$$

Suppose that  $m(\mathbf{e}) = \min_{x>0} f_1(x, \mathbf{e}).$  Then

$$\alpha_j - \sum_{i=1}^n e_i \log |Q_i(\alpha_j)| \geq m(\mathbf{e}),$$

when  $1 \leq j \leq d,$  and therefore

$$\begin{aligned} \sum_{j=1}^d \alpha_j - \sum_{i=1}^n e_i \log \left| \prod_{j=1}^d Q_i(\alpha_j) \right| &\geq d \cdot m(\mathbf{e}), \\ S_1 - \sum_{i=1}^n e_i \log |\text{Res}(P, Q_i)| &\geq d \cdot m(\mathbf{e}), \end{aligned}$$

where  $\text{Res}$  is the resultant of the two polynomials. If  $P$  does not divide any  $Q_i$ , then  $\text{Res}(P, Q_i)$  is a nonzero integer, thus

$$\frac{S_1}{d} \geq m(\mathbf{e}).$$

Similarly, to treat  $S_k/d$ , let  $\mathbf{c}^{(k)} = (c_1^{(k)}, c_2^{(k)}, \dots, c_{n_k}^{(k)}) \in \mathbb{R}^{n_k}$  where  $c_i^{(k)} > 0$ , and  $P_i^{(k)} \in \mathbb{Z}[x]$ ; here  $1 \leq i \leq n_k$  and  $k = 2, 3$ . We consider the explicit auxiliary function

$$f_k(x, \mathbf{c}^{(k)}) = x^k - \sum_{i=1}^{n_k} c_i^{(k)} \log |P_i^{(k)}(x)|.$$

If  $m_k(\mathbf{c}^{(k)}) = \min_{x>0} f_k(x, \mathbf{c}^{(k)})$  and  $P$  does not divide any of the  $P_i^{(k)}$ , then

$$\frac{S_k}{d} \geq m_k(\mathbf{c}^{(k)}).$$

Clearly, we then have to solve the following optimization problems: determine

$$m = \max_{\mathbf{e}} m(\mathbf{e}) = \max_{(e_i)} \min_{x>0} f_1(x, \mathbf{e})$$

or

$$m = \max_{\mathbf{c}^{(k)}} m_k(\mathbf{c}^{(k)}) = \max_{(c_i^{(k)})} \min_{x>0} f_k(x, \mathbf{c}^{(k)}).$$

Therefore, good  $e_i, c_i^{(k)}$  and  $Q_i, P_i^{(k)}$  are important for us.

**2.2. Explicit auxiliary functions and integer transfinite diameter.** We describe the arguments for the  $Q_i$  only, as they also apply to the  $P_i^{(k)}$ .

As can be seen from the last section, to get a good lower bound of  $S_1/d$  by the semi-infinite linear programming method, we have to make a good choice of polynomials  $Q_i$  in the auxiliary function  $f_1$  so that the value of  $m(\mathbf{e})$  is as large as possible.

In fact, if we replace the real numbers  $e_i$  in the auxiliary function  $f_1$  by rational numbers, then we may write

$$f_1(x) = x - \frac{t}{h} \log |H(x)|,$$

where  $H$  is in  $\mathbb{Z}[x]$  of degree  $h$  and  $t$  is a positive real number. We want a function  $f_1$  whose minimum  $m$  in the interval  $(0, \infty)$  is as large as possible. Thus we search for a polynomial  $H \in \mathbb{Z}[x]$  such that

$$\sup_{x>0} |H(x)|^{t/h} e^{-x} \leq e^{-m}.$$

Now, if we suppose that  $t$  is fixed, then it is clear that we need an efficient upper bound for the quantity

$$t_{\mathbb{Z}, \phi}((0, \infty)) = \liminf_{h \geq 1} \inf_{\substack{H \in \mathbb{Z}[x] \\ \deg H = h}} \sup_{x>0} |H(x)|^{t/h} \phi(x),$$

in which we use the weight  $\phi(x) = e^{-x}$ . To get an upper bound for  $t_{\mathbb{Z},\phi}((0, \infty))$ , it is sufficient to get an explicit polynomial  $H \in \mathbb{Z}[x]$  and then to use the sequence of the successive powers of  $H$ .

This is a generalization of the integer transfinite diameter in the interval  $(0, \infty)$ . With the second author's algorithm [20], we compute a polynomial  $H$  of degree less than 65 which is small on a set of positive control points and take its irreducible factors as the candidates for the  $Q_i$ . As the LLL algorithm (named after A. K. Lenstra, H. W. Lenstra and L. Lovasz) often finds 'smaller' polynomials, it is our main method of finding the candidates for the  $Q_i$ . The basic idea is similar to [5, 8, 13], but it is difficult to find the  $Q_i$  with higher degrees. So we improve the LLL algorithm, with a view to tackling our problem. These improvements enable us to get more  $Q_i$  with higher degrees.

A semi-infinite linear programming method gives good numerical values for the  $e_i$  and  $c_i^{(k)}$ . This method was introduced into number theory by Smyth [18]. More details can be found in [20] or [2].

### 3. Numerical results

**3.1. Computation of the minimum of the explicit auxiliary functions.** To ensure that there is only one local minimum between two consecutive real roots of the polynomials  $Q_i$ , we prove that the auxiliary functions that we present here are convex functions for  $x > 0$ . To prove that  $f_1''(x)$  is positive, we first factorize all the polynomials  $Q_i$  into irreducible real factors. Then  $f_1''(x)$  is a sum of a first term which is 0, 2 or  $6x$  plus a sum of terms of the form  $e_j/(x - \alpha)^2$ , where  $\alpha$  is a real root of a polynomial  $Q_j$  (type 1) and of the form  $2e_k((x - \gamma)^2 - \delta^2)/((x - \gamma)^2 + \delta^2)^2$  where  $\delta > 0$  and  $\gamma + i\delta$  is a complex root of a polynomial  $Q_k$  (type 2). We suppose now that all the real roots  $\alpha$  are taken in increasing order and that the complex roots  $\gamma + i\delta$ , where  $\delta > 0$ , are taken in increasing order of their real parts.

We generalize the algorithm given in [7].

#### 3.2. The algorithm.

*Step 1: the general case.* Let  $\mathcal{S}$  be a sequence of complex roots  $\gamma + i\delta$  with increasing real parts. We add all terms of type 2 related to this sequence  $\mathcal{S}$ . Then we add to this rational function all the terms of type 1, associated with a real root  $\alpha$ , from the greatest  $\alpha$  less than the smallest  $\delta$  of the sequence  $\mathcal{S}$  to the smallest  $\alpha$  greater than the greatest  $\delta$  of the sequence. Let  $F_{\mathcal{S}}$  be the rational function that we obtain. By Sturm's process, we compute the number of real positive zeros of the numerator of the function  $F_{\mathcal{S}}$ . If, for all sequences  $\mathcal{S}$ , there is no positive zero then we are done:  $f_1$  is convex. If this is not the case, then we go to Step 2.

*Step 2: the exceptional cases.* We add to the exceptional functions  $F_{\mathcal{S}}$  one or two terms of type 1 associated with real roots  $\alpha$  which are close to the real roots already used in  $F_{\mathcal{S}}$  such that this new rational function has no positive zeros.

**REMARK 3.1.** For  $S_1$  there are only five exceptional functions and for  $S_2$  there are four. For  $S_3$  it is sufficient to use the first step.

**3.3. Comments on the polynomials which occur in the auxiliary functions.** The complete lists of polynomials  $Q_i$  and coefficients  $e_i$  that occur in the explicit auxiliary function  $f_1$  to obtain Theorem 1.1 are given in Tables 1 and 2.

TABLE 1. The polynomials  $Q_i$ . Those with (\*) are exceptions.

| $i$ | $d$ | $S_1/d$ | Coefficients of $Q_i$ (from $a_0$ to $a_d$ )   |
|-----|-----|---------|------------------------------------------------|
| 1   | 1   | 0.00000 | 0 1                                            |
| 2   | 1   | 1.00000 | -1 1 (*)                                       |
| 3   | 1   | 2.00000 | -2 1                                           |
| 4   | 2   | 1.50000 | 1 -3 1 (*)                                     |
| 5   | 2   | 2.00000 | 1 -4 1                                         |
| 6   | 2   | 2.00000 | 2 -4 1                                         |
| 7   | 3   | 1.66667 | -1 6 -5 1 (*)                                  |
| 8   | 3   | 2.00000 | -1 9 -6 1                                      |
| 9   | 3   | 2.00000 | -3 9 -6 1                                      |
| 10  | 3   | 2.00000 | -1 8 -6 1                                      |
| 11  | 4   | 1.75000 | 1 -7 13 -7 1 (*)                               |
| 12  | 4   | 1.75000 | 1 -8 14 -7 1 (*)                               |
| 13  | 5   | 1.80000 | -1 11 -29 26 -9 1                              |
| 14  | 5   | 1.80000 | -1 12 -31 27 -9 1                              |
| 15  | 5   | 1.80000 | -1 13 -32 27 -9 1                              |
| 16  | 5   | 1.80000 | -1 15 -35 28 -9 1                              |
| 17  | 6   | 1.83333 | 1 -15 53 -73 43 -11 1                          |
| 18  | 6   | 1.83333 | 1 -14 51 -72 43 -11 1                          |
| 19  | 6   | 1.83333 | 1 -12 45 -67 42 -11 1                          |
| 20  | 7   | 1.85714 | -1 18 -89 172 -150 64 -13 1                    |
| 21  | 7   | 1.85714 | -1 16 -78 157 -143 63 -13 1                    |
| 22  | 8   | 1.75000 | 1 -19 111 -277 339 -221 78 -14 1               |
| 23  | 8   | 1.75000 | 1 -21 120 -289 345 -222 78 -14 1               |
| 24  | 8   | 1.87500 | 3 -40 187 -402 445 -269 89 -15 1               |
| 25  | 8   | 1.87500 | 3 -42 200 -428 467 -277 90 -15 1               |
| 26  | 9   | 1.88889 | -3 50 -286 771 -1112 910 -433 118 -17 1        |
| 27  | 9   | 1.88889 | -3 48 -277 759 -1106 909 -433 118 -17 1        |
| 28  | 10  | 1.80000 | 3 -53 342 -1096 1973 -2114 1389 -562 136 -18 1 |
| 29  | 10  | 1.80000 | 1 -24 194 -743 1526 -1798 1265 -537 134 -18 1  |
| 30  | 10  | 1.80000 | 1 -24 200 -766 1560 -1822 1273 -538 134 -18 1  |
| 31  | 10  | 1.80000 | 1 -24 206 -813 1662 -1920 1320 -549 135 -18 1  |
| 32  | 10  | 1.80000 | 1 -22 183 -722 1508 -1791 1264 -537 134 -18 1  |

TABLE I. Continued.

| <i>i</i> | <i>d</i> | $S_1/d$ | Coefficients of $Q_i$ (from $a_0$ to $a_d$ )                                                      |
|----------|----------|---------|---------------------------------------------------------------------------------------------------|
| 33       | 12       | 1.75000 | 1 -27 277 -1432 4216 -7565 8613 -6373 3090 -971 190 -21 1                                         |
| 34       | 12       | 1.75000 | 1 -27 281 -1470 4336 -7742 8750 -6430 3102 -972 190 -21 1                                         |
| 35       | 12       | 1.75000 | 1 -27 283 -1483 4372 -7789 8780 -6439 3103 -972 190 -21 1                                         |
| 36       | 12       | 1.75000 | 1 -27 280 -1462 4318 -7725 8743 -6429 3102 -972 190 -21 1                                         |
| 37       | 12       | 1.75000 | 1 -25 248 -1278 3808 -6954 8068 -6081 2999 -956 189 -21 1                                         |
| 38       | 12       | 1.83333 | 1 -29 316 -1694 5058 -9075 10250 -7484 3562 -1092 207 -22 1                                       |
| 39       | 12       | 1.83333 | 1 -29 318 -1726 5233 -9481 10709 -7760 3652 -1107 208 -22 1                                       |
| 40       | 12       | 1.75000 | 1 -26 263 -1359 4017 -7242 8291 -6178 3021 -958 189 -21 1                                         |
| 41       | 13       | 1.76923 | -1 28 -313 1837 -6338 13689 -19217 17929 -11240 4730<br>-1313 230 -23 1                           |
| 42       | 13       | 1.69231 | -1 33 -392 2284 -7514 15183 -19885 17475 -10496 4318<br>-1196 213 -22 1                           |
| 43       | 13       | 1.76923 | -1 32 -392 2372 -8062 16721 -22332 19867 -11975 4895<br>-1333 231 -23 1                           |
| 44       | 13       | 1.76923 | -1 32 -384 2308 -7880 16475 -22157 19800 -11962 4894<br>-1333 231 -23 1                           |
| 45       | 14       | 1.78571 | 1 -35 459 -3021 11546 -27859 44569 -48654 36815 -19397 7063<br>-1736 274 -25 1                    |
| 46       | 14       | 1.78571 | 1 -33 424 -2816 10964 -26937 43704 -48167 36655 -19369 7061<br>-1736 274 -25 1                    |
| 47       | 14       | 1.78571 | 1 -35 460 -3069 11906 -29027 46627 -50813 38215 -19960 7199<br>-1754 275 -25 1                    |
| 48       | 14       | 1.78571 | 1 -30 369 -2447 9743 -24658 41129 -46348 35850 -19153 7029<br>-1734 274 -25 1                     |
| 49       | 14       | 1.78571 | 1 -32 406 -2701 10625 -26404 43221 -47907 36573 -19355 7060<br>-1736 274 -25 1                    |
| 50       | 14       | 1.71429 | 1 -25 270 -1679 6593 -16961 29208 -34227 27620 -15418 5916<br>-1526 252 -24 1                     |
| 51       | 14       | 1.78571 | 1 -37 502 -3344 12779 -30594 48328 -51961 38697 -20082 7216<br>-1755 275 -25 1                    |
| 52       | 15       | 1.86667 | -5 147 -1728 10848 -41124 101035 -168255 195583 -161640<br>95842 -40758 12291 -2559 349 -28 1     |
| 53       | 15       | 1.80000 | -1 32 -424 3079 -13710 39727 -77645 104703 -98793 65693<br>-30777 10058 -2237 322 -27 1           |
| 54       | 16       | 1.81250 | 2 -67 916 -6835 31441 -95254 197940 -289697 303849 -230770<br>127385 -50911 14533 -2881 376 -29 1 |
| 55       | 16       | 1.81250 | 1 -35 514 -4172 20860 -68201 151556 -235052 259051 -205149<br>117251 -48205 14069 -2835 374 -29 1 |



TABLE 1. Continued.

| $i$ | $d$ | $S_1/d$ | Coefficients of $Q_i$ (from $a_0$ to $a_d$ )                                                                                                  |
|-----|-----|---------|-----------------------------------------------------------------------------------------------------------------------------------------------|
| 56  | 16  | 1.75000 | 1 -37 542 -4272 20579 -64907 139846 -211658 229288 -179856<br>102629 -42458 12563 -2584 350 -28 1                                             |
| 57  | 16  | 1.75000 | 1 -34 482 -3781 18415 -59232 130638 -202419 223884 -178487<br>102947 -42815 12677 -2601 351 -28 1                                             |
| 58  | 16  | 1.68750 | 1 -40 634 -5341 27165 -89616 200702 -314602 352281 -285355<br>168066 -71757 21917 -4656 652 -54 2                                             |
| 59  | 17  | 1.88235 | -5 164 -2264 17457 -84305 271323 -605133 960549 -1105695<br>934867 -584793 270975 -92460 22875 -3983 462 -32 1                                |
| 60  | 17  | 1.76471 | -1 37 -577 5010 -27083 96981 -239493 419445 -531403<br>493528 -338436 171512 -63818 17157 -3233 404 -30 1                                     |
| 61  | 17  | 1.82353 | -3 103 -1469 11605 -57190 187693 -427515 694870 -821490<br>715331 -461883 221279 -78141 20016 -3608 433 -31 1                                 |
| 62  | 18  | 1.72222 | 1 -42 727 -6907 40541 -157376 422880 -812508 1142885<br>-1196694 942699 -561334 252284 -84844 20969 -3688<br>436 -31 1                        |
| 63  | 18  | 1.72222 | 1 -33 478 -4067 22892 -90195 255672 -529107 806985<br>-913525 771460 -487085 229460 -80001 20296 -3633 434 -31 1                              |
| 64  | 18  | 1.77778 | 1 -39 651 -6146 36673 -146885 410245 -820431 1197457<br>-1292914 1041711 -628749 283862 -95083 23227 -4011<br>463 -32 1                       |
| 65  | 19  | 1.84211 | -1 47 -925 10113 -68943 312586 -982483 2203375<br>-3601035 4357487 -3950110 2703247 -1401099 548887<br>-161134 34826 -5369 558 -35 1          |
| 66  | 19  | 1.71053 | -1 46 -885 9487 -63802 287771 -908871 2071144<br>-3479535 4376867 -4166227 3019357 -1668516 700463<br>-221143 51515 -8569 961 -65 2           |
| 67  | 19  | 1.78947 | -1 44 -819 8575 -56670 251719 -781936 1746007<br>-2859126 3485068 -3196547 2221403 -1172621 468992<br>-140843 31193 -4935 527 -34 1           |
| 68  | 19  | 1.68421 | -1 44 -828 8805 -59197 267778 -848640 1939216<br>-3263677 4109455 -3914269 2839101 -1571253 661367<br>-209672 49139 -8241 934 -64 2           |
| 69  | 20  | 1.75000 | 1 -48 962 -10769 75934 -360604 1204274 -2915730 5234658<br>-7087859 7329003 -5836206 3594803 -1712811 628206<br>-175386 36537 -5492 562 -35 1 |
| 70  | 20  | 1.75000 | 1 -46 903 -10004 70208 -333095 1114515 -2709540 4892653<br>-6670720 6950297 -5578677 3463627 -1663128 614448<br>-172686 36182 -5464 561 -35 1 |

TABLE 1. Continued.

| $i$ | $d$ | $S_1/d$ | Coefficients of $Q_i$ (from $a_0$ to $a_d$ )                                                                                                                             |
|-----|-----|---------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 71  | 20  | 1.75000 | 1 -50 1045 -12224 90329 -451016 1588164 -4061153 7700259<br>-10990637 11937184 -9937332 6363821 -3134361 1181511<br>-337149 71416 -10863 1120 -70 2                      |
| 72  | 20  | 1.75000 | 1 -44 826 -8812 60123 -280397 932877 -2279025 4170738<br>-5797043 6176879 -5074124 3220793 -1577448 592638<br>-168801 35724 -5432 560 -35 1                              |
| 73  | 21  | 1.76190 | -1 45 -884 10059 -74300 379059 -1389264 3758545 -7655428<br>11911880 -14312946 13380711 -9775667 5587613 -2492289<br>861331 -227645 45027 -6435 626 -37 1                |
| 74  | 21  | 1.80952 | -1 51 -1093 13170 -100780 524413 -1938661 5247437<br>-10626547 16357099 -19360218 17762885 -12694903 7078377<br>-3072478 1031341 -264374 50677 -7018 662 -38 1           |
| 75  | 21  | 1.76190 | -1 48 -988 11574 -86772 444767 -1626575 4371857 -8822270<br>13577179 -16117217 14874086 -10720947 6043199 -2657659<br>905654 -236128 46121 -6520 629 -37 1               |
| 76  | 21  | 1.80952 | -1 49 -1022 12109 -91779 475365 -1755219 4757487 -9668384<br>14962741 -17834633 16500575 -11903758 6704016 -2939999<br>996915 -258012 49887 -6959 660 -38 1              |
| 77  | 21  | 1.76190 | -1 45 -878 9906 -72717 370329 -1361262 3707186 -7616692<br>11961174 -14494118 13642521 -10012934 5735638 -2557644<br>881750 -232062 45656 -6488 628 -37 1                |
| 78  | 21  | 1.80952 | -3 131 -2473 26822 -187631 902307 -3107178 7882931<br>-15042941 21928842 -24700189 21670417 -14879915<br>8008289 -3370612 1101992 -276352 52049 -7113<br>665 -38 1       |
| 79  | 21  | 1.76190 | -1 47 -951 11026 -82341 422456 -1551385 4194537 -8520973<br>13201104 -15768232 14631953 -10595414 5994942 -2644137<br>902977 -235774 46093 -6519 629 -37 1               |
| 80  | 21  | 1.76190 | -1 47 -955 11134 -83566 430266 -1582897 4280355 -8685147<br>13427552 -15997184 14803141 -10690231 6033609 -2655564<br>905351 -236102 46120 -6520 629 -37 1               |
| 81  | 22  | 1.68182 | 1 -46 919 -10614 79739 -416400 1578704 -4481884 9745042<br>-16494534 21979515 -23223218 19528813 -13079899 6962665<br>-2930012 965712 -245657 47147 -6587 631 -37 1      |
| 82  | 22  | 1.77273 | 1 -51 1125 -14252 116373 -652779 2621343 -7758681 17295813<br>-29517782 39047517 -40404573 32906078 -21161658 10747996<br>-4296863 1341779 -322908 58600 -7743 702 -39 1 |

TABLE 1. Continued.

| $i$ | $d$ | $S_1/d$ | Coefficients of $Q_i$ (from $a_0$ to $a_d$ )                                                                                                                                                                                         |
|-----|-----|---------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 83  | 24  | 1.79167 | 1 -57 1416 -20408 191731 -1251551 5911985 -20793445 55622727<br>-115037530 186332598 -238772580 243924711 -199729262<br>131488158 -69643298 29615965 -10058436 2702879 -566171<br>90344 -10592 859 -43 1                             |
| 84  | 24  | 1.75000 | 3 -158 3616 -48105 419588 -2564356 11453393 -38481612<br>99319618 -200028124 318127194 -403167371 409837747<br>-335650552 221958772 -118502680 50943798 -17532759<br>4783780 -1019205 165667 -19812 1641 -84 2                       |
| 85  | 26  | 1.76923 | 1 -62 1683 -26676 277855 -2030239 10852323 -43703948<br>135521029 -329029059 633676899 -978044645 1219478349<br>-1235752896 1022031531 -691564941 383082531 -173463233<br>63959801 -19074158 4552122 -855646 123684 -13251 990 -46 1 |

For  $k = 2, 3$  the complete lists of polynomials  $P_i^{(k)}$  and coefficients  $c_i^{(k)}$  that occur in the explicit auxiliary function  $f_k$  to obtain Theorems 1.4 and 1.5 are not given in this paper. They can be obtained on request from the authors. For  $k = 2$ , the list of  $P_i^{(2)}$  contains 76 polynomials and the largest degree is 25. Most of them are different from the ones in Table 1. There are 65 polynomials in the list of  $P_i^{(3)}$  with the largest degree 24. Most of them are also different from  $Q_i$  and  $P_i^{(2)}$ .

Of the polynomials listed in Table 1 for  $S_1/d$ , 57 are new compared to Flammang [5]. Five of these are minimal polynomials of totally positive algebraic integers, while the other 52 polynomials have at least two complex roots. This phenomenon was encountered by Habsieger and Salvy [9] and Flammang [5]. The real parts of the roots of  $Q_i$  all lie in  $[0, 6.179)$ . For  $S_2/d$  and  $S_3/d$ , most of the  $P_i^{(k)}$  have at least two complex roots; a few of them are totally real with higher degrees, but have negative roots. Surprisingly, the real parts of the roots of  $P_i^{(2)}$  and  $P_i^{(3)}$  are less than 5.065 and 4.342, respectively.

From Flammang’s result, we know that there are no other exceptions in the totally positive algebraic integers for degree less than 19 and  $S_1/d < 1.8$ . From Theorem 1.1, we can see that there are no other exceptions in the totally positive algebraic integers of degree less than 29 such that  $S_1/d < 1.8$ , because there is no integer  $a_{d-1}$  such that  $1.791\ 93d < a_{d-1} < 1.8d$  when  $d \leq 28$ .

For the new exceptions, note that  $(2 \cos(2\pi/13))^2$ ,  $(2 \cos(2\pi/60))^2$ ,  $(2 \cos(2\pi/17))^2$  and  $(2 \cos(2\pi/19))^2$  are roots of  $P_4$ ,  $P_5$ ,  $P_6$ , and  $P_7$ , respectively. This phenomenon was encountered by Smyth [18].

TABLE 2. The  $e_i$  (when  $1 \leq i \leq 85$ ).

|                             |                             |                             |
|-----------------------------|-----------------------------|-----------------------------|
| $e_1 = 0.54667828587271$    | $e_2 = 0.47871800200563$    | $e_3 = 0.06555339063836$    |
| $e_4 = 0.17687800003332$    | $e_5 = 0.00448219377598$    | $e_6 = 0.00820674276069$    |
| $e_7 = 0.06668043722377$    | $e_8 = 0.00125946611406$    | $e_9 = 0.00326334656865$    |
| $e_{10} = 0.00066732703529$ | $e_{11} = 0.02219899039435$ | $e_{12} = 0.02050231566957$ |
| $e_{13} = 0.00596323763882$ | $e_{14} = 0.00680493233212$ | $e_{15} = 0.00161758504819$ |
| $e_{16} = 0.00649102555420$ | $e_{17} = 0.00126784014151$ | $e_{18} = 0.00036740931954$ |
| $e_{19} = 0.00009486044026$ | $e_{20} = 0.00107768512029$ | $e_{21} = 0.00040808070426$ |
| $e_{22} = 0.00050557086357$ | $e_{23} = 0.00068294267031$ | $e_{24} = 0.00171765600696$ |
| $e_{25} = 0.00161646860599$ | $e_{26} = 0.00000217826900$ | $e_{27} = 0.00025053345069$ |
| $e_{28} = 0.00035776659865$ | $e_{29} = 0.00258586799662$ | $e_{30} = 0.00152524181426$ |
| $e_{31} = 0.00261187979809$ | $e_{32} = 0.00009450860731$ | $e_{33} = 0.00180760919958$ |
| $e_{34} = 0.00381998708930$ | $e_{35} = 0.00292108445484$ | $e_{36} = 0.00000923432980$ |
| $e_{37} = 0.00030623185520$ | $e_{38} = 0.00069348522644$ | $e_{39} = 0.00004317611411$ |
| $e_{40} = 0.00010987249521$ | $e_{41} = 0.00024101680608$ | $e_{42} = 0.00026920860606$ |
| $e_{43} = 0.00009068781304$ | $e_{44} = 0.00032741880442$ | $e_{45} = 0.00019139938162$ |
| $e_{46} = 0.00246025331757$ | $e_{47} = 0.00022043537382$ | $e_{48} = 0.00100870070303$ |
| $e_{49} = 0.00123232114596$ | $e_{50} = 0.00028646838749$ | $e_{51} = 0.00020015964760$ |
| $e_{52} = 0.00028158653190$ | $e_{53} = 0.00005028470678$ | $e_{54} = 0.00046725062302$ |
| $e_{55} = 0.00028920824667$ | $e_{56} = 0.00033453149956$ | $e_{57} = 0.00005504355305$ |
| $e_{58} = 0.00013876361417$ | $e_{59} = 0.00016196280403$ | $e_{60} = 0.00077617405057$ |
| $e_{61} = 0.00176509412348$ | $e_{62} = 0.00006934560638$ | $e_{63} = 0.00021949303306$ |
| $e_{64} = 0.00003368631008$ | $e_{65} = 0.00069694015272$ | $e_{66} = 0.00008453104496$ |
| $e_{67} = 0.00017644422693$ | $e_{68} = 0.00002003197599$ | $e_{69} = 0.00086131382526$ |
| $e_{70} = 0.00025937930484$ | $e_{71} = 0.00043707111183$ | $e_{72} = 0.00037001316339$ |
| $e_{73} = 0.00019992139283$ | $e_{74} = 0.00007015239147$ | $e_{75} = 0.00096360138366$ |
| $e_{76} = 0.00050566472131$ | $e_{77} = 0.00102203952795$ | $e_{78} = 0.00136209986730$ |
| $e_{79} = 0.00146924699630$ | $e_{80} = 0.00030962306340$ | $e_{81} = 0.00018949632484$ |
| $e_{82} = 0.00020938118202$ | $e_{83} = 0.00084741810993$ | $e_{84} = 0.00028969646642$ |
| $e_{85} = 0.00090543409795$ |                             |                             |

We conjecture that the next exception of  $S_2/d$  is  $P_6$ , for which  $S_2/d = 5.375\ 00$ , and the next exception of  $S_3/d$  is the polynomial

$$x^9 - 17x^8 + 120x^7 - 456x^6 + 1011x^5 - 1324x^4 + 986x^3 - 376x^2 + 57x - 1,$$

for which  $S_3/d = 17.888\ 89$ , but its root is neither of the form  $(2 \cos(2\pi/n))^2$  nor of the form  $\beta_n^2$  for any  $n$ . Here  $\beta_n$  is a root of the  $n$ th Gorshkov–Wirsing polynomial, defined as in [16].

All the computations in this paper were performed using the Pascal programming language and Pari/GP [3].

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YANHUA LIANG, Department of Mathematics, Southwest University of China,  
2 Tiansheng Road Beibei, 400715 Chongqing, PR China  
e-mail: [happy08@swu.edu.cn](mailto:happy08@swu.edu.cn)

QIANG WU, Department of Mathematics, Southwest University of China,  
2 Tiansheng Road Beibei, 400715 Chongqing, PR China  
e-mail: [qiangwu@swu.edu.cn](mailto:qiangwu@swu.edu.cn)