

Central Sequence Algebras of a Purely Infinite Simple C^* -algebra

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Abstract. We are concerned with a unital separable nuclear purely infinite simple C^* -algebra A satisfying UCT with a Rohlin flow, as a continuation of [12]. Our first result (which is independent of the Rohlin flow) is to characterize when two central projections in A are equivalent by a central partial isometry. Our second result shows that the K -theory of the central sequence algebra $A' \cap A^\omega$ (for an $\omega \in \beta\mathbf{N} \setminus \mathbf{N}$) and its fixed point algebra under the flow are the same (incorporating the previous result). We will also complete and supplement the characterization result of the Rohlin property for flows stated in [12].

1 Introduction

When A is a unital separable nuclear purely infinite simple C^* -algebra, Kirchberg and Phillips showed in [8] that $A' \cap A^\omega$ is purely infinite and simple, where A^ω is the ultrapower of A for an $\omega \in \beta\mathbf{N} \setminus \mathbf{N}$ (see the definition below). If α is a flow (or continuous action of \mathbf{R}) on A , α induces a non-continuous action of \mathbf{R} on A^ω and we can take the α -continuous part A_α^ω of A^ω . When α has the Rohlin property, we have shown in [12] that the α -fixed point algebra $(A' \cap A_\alpha^\omega)^\alpha$ is again purely infinite and simple and the embedding $(A' \cap A_\alpha^\omega)^\alpha \subset A' \cap A^\omega$ induces an isomorphism $K_0((A' \cap A_\alpha^\omega)^\alpha) \cong K_0(A' \cap A^\omega)$. We will continue to study these objects. First we characterize when two projections in $A' \cap A^\omega$ (or hence in $(A' \cap A_\alpha^\omega)^\alpha$) are equivalent. Second we will show that the embedding $(A' \cap A_\alpha^\omega)^\alpha \subset A' \cap A^\omega$ also induces an isomorphism $K_1((A' \cap A_\alpha^\omega)^\alpha) \cong K_1(A' \cap A^\omega)$. Finally we will complete the proof of the main result of [12], which is an attempt to characterize the Rohlin property for flows. The result includes that α has the Rohlin property if and only if the crossed product $A \rtimes_\alpha \mathbf{R}$ is purely infinite and simple and the dual flow $\hat{\alpha}$ has the Rohlin property. See 4.6 for details. We will also show that the trivial flow is obtained as a limit of cocycle perturbations of a Rohlin flow. In particular the Rohlin flow has a cocycle perturbation whose fixed point algebra contains the image of a unital endomorphism.

We recall ultrapowers of a C^* -algebra A . We denote by $\ell^\infty(A)$ the C^* -algebra of bounded sequences $x = (x_n)_{n=1}^\infty$ in A . For a free ultrafilter $\omega \in \beta\mathbf{N} \setminus \mathbf{N}$, we define

$$c^\omega(A) = \{ x \in \ell^\infty(A) \mid \lim_{n \rightarrow \omega} \|x_n\| = 0 \},$$

which is a closed ideal of $\ell^\infty(A)$ and set $A^\omega = \ell^\infty(A)/c^\omega(A)$. We embed A into A^ω as constant sequences. It is known [8] that if A is a unital separable nuclear purely infinite simple C^* -algebra, then $A' \cap A^\omega$ is a unital purely infinite simple C^* -algebra. For

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each projection $e \in A^\omega$ we can choose a sequence (e_n) in $\mathcal{P}(A)$, the set of projections in A , such that (e_n) represents e , which will sometimes be denoted by $e = (e_n)$.

We denote by $\mathcal{U}(A)$ the group of unitaries of A (or $A + \mathbf{C}1$ if A is non-unital) and by $\mathcal{P}(A)$ the set of projections in A as above. If $e, p \in \mathcal{P}(A)$ almost commute with each other, then ep is close to a projection, whose (Murray-von Neumann) equivalence class is denoted by $[ep]_0$. If $e \in \mathcal{P}(A)$ almost commutes with $u \in \mathcal{U}(A)$, then $eu + 1 - e$ is close to a unitary, whose equivalence class (i.e., homotopy class in $\mathcal{U}(A)$) is denoted by $[eu]_1$. Our first result, which is independent of flows, is as follows.

Corollary 1.1 *Let A be a unital separable nuclear purely infinite simple C^* -algebra satisfying the Universal Coefficient Theorem and let $\omega \in \beta\mathbf{N} \setminus \mathbf{N}$.*

Let $e_0, e_1 \in \mathcal{P}(A^\omega \cap A')$ and let $(e_{\sigma,n})$ be a sequence in $\mathcal{P}(A)$ representing e_σ . Then e_0 and e_1 are equivalent if and only if for any finite subsets $\mathcal{P} \subset \mathcal{P}(A)$ and $\mathcal{U} \subset \mathcal{U}(A)$ there is an $\Omega \in \omega$ such that for any $n \in \Omega$, it follows that $[e_{\sigma,n}, p] \approx 0$ and $[e_\sigma, u] \approx 0$ and

$$[e_{0,n}p]_0 = [e_{1,n}p]_0, [e_{0,n}u]_1 = [e_{1,n}u]_1$$

for all $p \in \mathcal{P}$ and $u \in \mathcal{U}$.

This will follow from Theorem 2.1 of Section 2.

If α is a flow on A , we can define an action $\bar{\alpha}$ of \mathbf{R} on $\ell^\infty(A)$ by $t \mapsto \bar{\alpha}_t((x_n)) = (\alpha_t(x_n))$. We set $\ell^\infty_\alpha(A) = \{x \in \ell^\infty(A) \mid t \mapsto \bar{\alpha}_t(x) \text{ is continuous}\}$, which is the maximal C^* -subalgebra of $\ell^\infty(A)$ on which $\bar{\alpha}$ is strongly continuous. For an $\omega \in \beta\mathbf{N} \setminus \mathbf{N}$, we set $A^\omega_\alpha = \ell^\infty_\alpha(A) / c^\omega(A) \cap \ell^\infty_\alpha(A)$. Note that $\bar{\alpha}$ induces a flow on A^ω_α , which we will denote by α . The flow α leaves $A' \cap A^\omega_\alpha$ invariant; the C^* -subalgebra of α -invariant elements there will be denoted by $(A' \cap A^\omega_\alpha)^\alpha$.

Corollary 1.2 *Let A be a unital separable nuclear purely infinite simple C^* -algebra satisfying the Universal Coefficient Theorem and let $\omega \in \beta\mathbf{N} \setminus \mathbf{N}$. Let α be a Rohlin flow on A . Then the embedding $(A' \cap A^\omega_\alpha)^\alpha \subset A' \cap A^\omega$ induces an isomorphism $K_*((A' \cap A^\omega_\alpha)^\alpha) \cong K_*(A' \cap A^\omega)$ for $*$ = 0, 1.*

For $*$ = 0 this is shown in [12]. The case for $*$ = 1 will follow from 4.2 and 4.4.

2 Projections

We choose a small $\delta_0 > 0$ satisfying: If e, f are projections in the C^* -algebra A such that $\|[e, f]\| < \delta_0$ then $\chi_{[1/2, \infty)}(efe)$ defines a projection whose equivalence class is denoted by $[ef]_0$, where χ_C is the characteristic function of $C \subset \mathbf{R}$. Furthermore if $e \in \mathcal{P}(A)$ and $u \in \mathcal{U}(A)$ are such that $\|[e, u]\| < \delta_0$, then $ue + 1 - e$ is invertible, whose equivalence class is denoted by $[ue]_1$.

Theorem 2.1 *Let A be a separable nuclear purely infinite simple C^* -algebra satisfying the Universal Coefficient Theorem.*

For any finite subset \mathcal{F} of A and $\epsilon > 0$, there exist a finite subset \mathcal{P} of $\mathcal{P}(A)$, a finite subset \mathcal{U} of $\mathcal{U}(A)$, a finite subset \mathcal{G} of A , and $\delta \in (0, \delta_0)$ satisfying: For any pair e_0, e_1 in $\mathcal{P}(A) \setminus \{0\}$ such that

$$\|[e_\sigma, x]\| < \delta, x \in \mathcal{P} \cup \mathcal{U} \cup \mathcal{G}$$

for $\sigma = 0, 1$ and

$$[pe_0]_0 = [pe_1]_0, p \in \mathcal{P},$$

$$[ue_0]_1 = [ue_1]_1, u \in \mathcal{U},$$

there is a partial isometry $v \in A$ such that $v^*v = e_0, vv^* = e_1$, and

$$\|[v, x]\| < \epsilon, x \in \mathcal{F}.$$

Remark 2.2 If $K_0(A)$ is finitely generated, we may take a fixed finite set $\{p_1, p_2, \dots, p_n\}$ for \mathcal{P} in the above theorem, by enlarging \mathcal{G} if necessary, such that $\{[p_1], [p_2], \dots, [p_n]\}$ generates $K_0(A)$. To see this we first note that any projection in A can be expressed in terms of $q \in \mathcal{P}(A)$ with $[q] = 0$ and $q \in \mathcal{P}(A)$ with $[q] = [p_i]$ for some i . If $[q] = 0$, then there are partial isometries $u, v \in A$ such that $u^*u = q = v^*v$ and $uu^* + vv^* = q$. Hence if $[e_\sigma, u] \approx 0$ and $[e_\sigma, v] \approx 0$, then it follows that $[e_\sigma q] = [e_\sigma uu^*] + [e_\sigma vv^*] = 2[e_\sigma q]$, i.e., $[e_0 q] = 0 = [e_1 q]$. If $[q] = [p_i]$, then there is a partial isometry $u \in A$ such that $u^*u = q$ and $uu^* = p_i$. Hence if $[e_\sigma, u] \approx 0$, then $[e_\sigma q] = [e_\sigma p_i]$, i.e., $[e_0 q] = [e_0 p_i] = [e_1 p_i] = [e_1 q]$. Thus, if $q \in \mathcal{P}(A)$ and if e_σ almost commutes with some finite set of elements associated with q as above, we can conclude that the equality $[e_0 q] = [e_1 q]$ follows from the conditions $[e_0 p_i] = [e_1 p_i]$ for $i = 1, \dots, n$. The same remark applies to \mathcal{U} .

Remark 2.3 We show that the conditions concerning \mathcal{P} and \mathcal{U} are necessary in the above theorem.

Assume that $K_0(A) = \mathbf{Z}$ and $[1_A] = 0$. Let e_0 and e_1 be non-zero projections in the Cuntz algebra \mathcal{O}_∞ such that $[e_0] = 0$ and $[e_1] = 1$. Then $1_A \otimes e_\sigma$ is a projection in $A \otimes \mathcal{O}_\infty \cong A$ such that $[1_A \otimes e_\sigma] = 0$. If p is a projection in A such that $[p] = 1$, then

$$[p \otimes e_0] = 0, [p \otimes e_1] = 1.$$

This implies that if $v \in A \otimes \mathcal{O}_\infty$ satisfies that $v^*v = 1 \otimes e_0$ and $vv^* = 1 \otimes e_1$, then $\|[v, p \otimes 1]\| \geq 1$. Hence this shows that however central $1 \otimes e_\sigma$ is for $\sigma = 0, 1$, we cannot choose a partial isometry $v \in A \otimes \mathcal{O}_\infty$ with initial projection $1 \otimes e_0$ and final projection $1 \otimes e_1$, almost commuting with this particular p . The above assertion is shown as follows. If $\|[v, p \otimes 1]\| < 1$, then $\|v(p \otimes e_0)v^* - p \otimes e_1\| \leq \|[v, p \otimes 1](1 \otimes e_0)v^*\| = \|[v, p \otimes 1]\| < 1$, which implies that $p \otimes e_0$ and $p \otimes e_1$ are mutually equivalent, a contradiction.

Assume that $K_0(A) = 0$ and $K_1(A) = \mathbf{Z}$. Let e_0 and e_1 be non-zero projections in \mathcal{O}_∞ such that $[e_0] = 0$ and $[e_1] = 1$. Then $1 \otimes e_\sigma$ is a projection in $A \otimes \mathcal{O}_\infty \cong A$ such that $[1 \otimes e_\sigma] = 0$. Let u be a unitary in A such that $[u] = 1$. Then $[u \otimes e_0] = 0$ and $[u \otimes e_1] = [u \otimes 1] = 1$. This implies that if $v \in A \otimes \mathcal{O}_\infty$ satisfies that $v^*v = 1 \otimes e_0$ and $vv^* = 1 \otimes e_1$, then $\|[v, u \otimes 1]\| \geq 2$. Because if $\|[v, u \otimes 1]\| < 2$, then $v^*(u \otimes e_1)v$ and $u \otimes e_0$ would be equivalent as unitaries in $(1 \otimes e_0)A \otimes \mathcal{O}_\infty(1 \otimes e_0)$, which is a contradiction.

By the uniqueness theorem proved in [8, 9] a unital separable nuclear purely infinite simple C^* -algebra with UCT is obtained as an inductive limit of finite direct

sums of a C^* -algebra of the form $\mathcal{O} \otimes C^*(z)$, where \mathcal{O} is a corner of a Cuntz algebra and $C^*(z)$ is the C^* -algebra generated by a unitary z with full spectrum (see [2]); we may further assume that the connecting maps are all injective. The above result is shown for (a corner of) the Cuntz algebra \mathcal{O}_n with $n < \infty$ in [10, 3.5], where $\mathcal{P} = \{1\}$ and $\mathcal{U} = \emptyset$ suffice. The following lemma, as a generalization of this result, is a special case of the above theorem.

Lemma 2.4 *The above theorem is valid for a corner of a Cuntz algebra, where $\mathcal{U} = \emptyset$ suffices.*

Proof A corner of a Cuntz algebra can be given as $e(B \times_\alpha \mathbf{Z})e$, where B is a stable AF C^* -algebra with $K_0(B) \subset \mathbf{R}$, e is a projection in B , and α is an automorphism of B which does not preserve the trace τ , where τ is defined by $\tau(p) = [p]$ for $p \in \mathcal{P}(A)$. We may suppose that $\tau\alpha(e) < \tau(e)$.

We may suppose that there is an increasing sequence (B_n) of finite-dimensional C^* -subalgebras of B with dense union such that $\alpha(B_n) \subset B_{n+1}$, $B_n \subset \alpha(B_{n+1})$, $e \in B_1$, $\alpha(e) \in B_1$, $\alpha(e) \leq e$, $\alpha(e)$ has central support e in eB_1e , and any direct summand of B_n has a copy in any direct summand in B_{n+1} for any n . Note that $A = e(B \times_\alpha \mathbf{Z})e$ is a unital separable nuclear purely infinite simple C^* -algebra with $K_1(A) = 0$ [15]. Note also that α has the Rohlin property and is determined up to cocycle conjugacy by the number $\tau(\alpha(e))/\tau(e)$ [6, 3].

Let U denote the canonical unitary multiplier of $B \times_\alpha \mathbf{Z}$ implementing α and let $S = Ue \in A$. Then A is generated by the isometry S and the AF C^* -subalgebra eBe . We define an endomorphism λ of A by $\lambda(x) = SxS^*$, $x \in A$. Let $n \geq 2$. Since $A \cap (eB_n e)^\prime = e(B \times_\alpha \mathbf{Z} \cap B_n^\prime)e$, we have, for any $x \in A \cap (eB_n e)^\prime$, an $\hat{x} \in (B \times_\alpha \mathbf{Z}) \cap B_n^\prime$ such that $\hat{x}e = x$, from which $U\hat{x}U^*\alpha(e) = \lambda(x)$. Since $U\hat{x}^*U^* \in B_{n-1}^\prime$, we have that $\lambda(x) \in (A \cap (eB_{n-1} e)^\prime)\alpha(e)$. Thus, by using the fact that the multiplication by $\alpha(e)$ on $A \cap (eB_1 e)^\prime$ is an isomorphism and that $B_1 \subset \alpha(B_2)$, we define a unital homomorphism $\tilde{\lambda}$ of $A \cap B_2^\prime$ into $A \cap B_1^\prime$ by $\tilde{\lambda}(x)\alpha(e) = \lambda(x)$, where $A \cap B_n^\prime$ should be understood as $A \cap (eB_n e)^\prime$ with e the identity of A , or we should say we often use B_n to denote $eB_n e$ if it is clear from the context. Note that $\tilde{\lambda}(A \cap B_n^\prime)$ is contained in $A \cap B_{n-1}^\prime$ and contains $A \cap B_{n+1}^\prime$. Since $\|[S, y]\| = \|SyS^* - y\alpha(e)\| = \|\tilde{\lambda}(y) - y\| = \|\tilde{\lambda}(y^*) - y^*\|$ for $y \in A \cap B_2^\prime$, we have that $y \in A \cap B_2^\prime$ almost commutes with S and S^* if and only if $\|\tilde{\lambda}(y) - y\| \approx 0$. In this way we may try to choose the desired v from $A \cap B_N^\prime$ such that $\|\tilde{\lambda}(v) - v\| < \epsilon$ for any prescribed N and ϵ .

By the Rohlin property of α , we have, for any $N, n \in \mathbf{N}$ and $\epsilon' > 0$, a Rohlin partition $e_{10}, e_{11}, \dots, e_{1,n-1}, e_{20}, e_{21}, \dots, e_{2,n}$ of unity by projections in $e(B_M \cap B_N^\prime)e$ for a large $M > N$ such that

$$\max \{ \|\tilde{\lambda}(e_{\sigma,i}) - e_{\sigma,i+1}\| \mid i = 0, 1, \dots, n - 3 + \sigma, \sigma = 1, 2 \} < \epsilon'.$$

We assume that N and n are sufficiently large and choose M as above.

Let $\{E_i; i = 1, 2, \dots, K\}$ denote the set of minimal central projections in $eB_{M+2n+2}e$ and let p_i be a minimal projection in $E_i B_{M+2n+2} E_i$.

Let e_0, e_1 be non-zero projections in $A \cap B_{M+2n+2}^\prime$ such that $\tilde{\lambda}(e_\sigma) \approx e_\sigma$ for $\sigma = 0, 1$ and $[e_0 p_i]_0 = [e_1 p_i]_0$ in $K_0(A)$ for $i = 1, 2, \dots, K$. That is, we have set

$\mathcal{P} = \{p_i \mid i = 1, 2, \dots, K\}$. Let $\{F_j \mid j = 1, \dots, K'\}$ denote the set of minimal central projections in $eB_{M+2n+1}e$. Since the condition $[e_0 p_i] = [e_1 p_i]$ implies that

$$[e_0 F_j] = [e_1 F_j] \text{ in } K_0(F_j(A \cap B'_{M+2n+1})F_j),$$

and since $e_\sigma F_j \neq 0$, we have a partial isometry $w \in A \cap B'_{M+2n+1}$ such that $w^*w = e_0$ and $ww^* = e_1$.

Since $\tilde{\lambda}(e_\sigma) \approx e_\sigma$, there is a $v_\sigma \in \mathcal{U}(A \cap B'_{M+2n})$ such that $v_\sigma \approx 1$ and $\text{Ad } v_\sigma \tilde{\lambda}(e_\sigma) = e_\sigma$. Then $x = wv_0 \tilde{\lambda}(w^*)v_1^*$ is a unitary in $e_1(A \cap B'_{M+2n})e_1$. We set $x_0 = e_1, x_1 = x$, and $x_k = x \text{Ad } v_1 \tilde{\lambda}(x_{k-1})$ for $k = 1, 2, \dots$. Since $x_k \in e_1(A \cap B'_{M+2n+1-k})e_1$ and $K_1(e_1(A \cap B'_{M+n})e_1) = 0$, there is a rectifiable path w_k from e_1 to x_k in $\mathcal{U}(e_1(A \cap B'_{M+n})e_1)$ of length about π for $k = n, n + 1$, i.e., $w_k(0) = e_1, w_k(1) = x_k$, and $\|w_k(s) - w_k(t)\| < 2\pi|s - t|$ for $0 \leq s < t \leq 1$. By using those paths applied with $\tilde{\lambda}^{-k}$ with $k = 0, 1, \dots, n$ and the Rohlin partition in $e(B_M \cap B'_N)e$, one defines a unitary $z \in e_1(A \cap B'_N)e_1$ such that $x = wv_0 \tilde{\lambda}(w^*)v_1^* \approx z\tilde{\lambda}(z^*)$ (up to the order of $1/n$) [6]. More concretely we define

$$z = \sum_{k=0}^{n-1} x_{k+1} \tilde{\lambda}^{k-n+1} \left(w_n (k/(n-1))^* \right) + \sum_{k=0}^n x_{k+1} \tilde{\lambda}^{k-n} \left(w_{n+1} (k/n)^* \right),$$

where we should note that $\tilde{\lambda}^{-1}$ maps $A \cap B'_m$ into $A \cap B'_{m-1}$. Then $w_1 = z^*w$ is a partial isometry in $A \cap B'_N$ such that $\tilde{\lambda}(w_1) \approx w_1$. Since $w_1^*w_1 = e_0$ and $w_1w_1^* = e_1$, this concludes the proof. ■

Proof of Theorem 2.1 We may assume that A is unital by finding a projection E such that EAE almost contains \mathcal{F} and by restricting everything to EAE .

As noted before (Lemma 2.4), we may assume that there is an increasing sequence (A_n) of unital C^* -subalgebras of A such that $A = \bigcup_n A_n, A = \bigoplus_{k=1}^{K_n} A_{nk}$, and $A_{nk} = D_{nk} \otimes C^*(z_{nk})$, where D_{nk} is of the form $e(B \times_\alpha \mathbf{Z})e$ as in the previous lemma and z_{nk} is a unitary with full spectrum.

Let \mathcal{F} be a finite subset of A and $\epsilon > 0$. We may suppose that \mathcal{F} equals

$$\bigcup_{k=1}^{K_n} \mathcal{F}_{nk} \cup \{z_{nk}\}$$

for some n , where $\mathcal{F}_{nk} \subset D_{nk}$. We choose $\mathcal{P}_{nk} \subset \mathcal{P}(D_{nk}), \mathcal{G}_{nk} \subset D_{nk}$, and $\delta_{nk} > 0$ by applying 2.4 to D_{nk} with $(\mathcal{F}_{nk}, \epsilon)$.

Let E_{nk} denote the identity of A_{nk} . We approximate z_{nk} by

$$w \oplus w \oplus y^* \in \mathcal{U}(E_{nk}AE_{nk}),$$

where $[w] = [z_{nk}] = [y], [w^*w] = [E_{nk}], [y^*y] = -[E_{nk}]$, and $\text{Spec}(w) = \mathbf{T} = \text{Spec}(y)$ (in case $[z_{nk}] = 0$). Let v_{nk} be a self-adjoint unitary in $\mathcal{U}(E_{nk}AE_{nk})$ which switches the first two components of $w \oplus w \oplus y^*$ and is the identity on the support of the third.

We approximate $0 \oplus w \oplus y^*$ by a unitary on $0 \oplus 1 \oplus 1$ with finite spectrum

$$\sum_{k=0}^{N-1} e^{2\pi i k/N} f_k$$

for a large N with $[f_k] = 0$ and $f_k \neq 0$ (cf. [12, 2.5]). We note that $F = \sum_{k=0}^{N-1} f_k$ satisfies that $F + vFv = 1 \oplus 1 \oplus 2$. We find a family $(f_{ij}^{(nk)})$ of matrix units $E_{nk}AE_{nk}$ such that $f_{jj}^{(nk)} = f_j$. We set

$$\begin{aligned} \mathcal{P} &= \bigcup_{k=1}^{K_n} \mathcal{P}_{nk}, \\ \mathcal{U} &= \bigcup_{k=1}^{K_n} \{z_{nk}p + 1 - p \mid p \in \mathcal{P}_{nk}\}, \\ \mathcal{G} &= \bigcup_{k=1}^{K_n} \mathcal{G}_{nk} \cup \{E_{nk}, f_{ij}^{(nk)}, v_{nk}\}. \end{aligned}$$

We will take a sufficiently small $\delta > 0$.

Let e_0, e_1 be a pair in $\mathcal{P}(A) \setminus \{0\}$ such that

$$\|[e_\sigma, x]\| < \delta, \quad x \in \mathcal{P} \cup \mathcal{U} \cup \mathcal{G}$$

and

$$\begin{aligned} [pe_0]_0 &= [pe_1]_0, \quad p \in \mathcal{P}, \\ [ue_0]_1 &= [ue_1]_1, \quad u \in \mathcal{U}. \end{aligned}$$

Since e_σ almost commutes with E_{nk} , we can discuss the pairs e_0E_{nk} and e_1E_{nk} in $E_{nk}AE_{nk}$ separately. Thus we have the following situation: $e(B \times_\alpha \mathbf{Z})e \otimes C^*(z)$ is a unital C^* -subalgebra of A , where (B, α) is as described as in the proof of Lemma 2.4, and the two non-zero projections $e_0, e_1 \in \mathcal{P}(A \cap B'_m)$ are equivalent in $A \cap B'_m$ for a sufficiently large m , and satisfy

$$\begin{aligned} [e_\sigma, z] &\approx 0, \quad [e_\sigma, f_{ij}] \approx 0, \quad [e_\sigma, v] \approx 0, \quad \tilde{\lambda}(e_\sigma) \approx e_\sigma, \\ [zpe_0]_1 &= [zpe_1]_1, \quad p \in \mathcal{P}, \end{aligned}$$

where we have used the notation in the proof of 2.4. In particular, \mathcal{P} is the set of minimal projections each of which is chosen from a direct summand of $eB_m e$. From the last condition it follows that $[ze_0]_1 = [ze_1]_1$ in $K_1(A \cap B'_{m-1})$. The second and third conditions imply that even if $[ze_\sigma]_1 = 0$, the spectrum of (a unitary in $e_\sigma A e_\sigma$ close to) ze_σ is almost dense in \mathbf{T} (because $e_\sigma F \neq 0$ or $e_\sigma vFv^* \neq 0$ where $F = \sum_k f_k$). Hence it follows [5] that there is a $w \in A \cap B'_{m-1}$ such that $w^*w = e_0, ww^* = e_1$, and

$wze_0w^* \approx ze_1$. Note also that if $e_\sigma \neq 1$, then the spectrum of $z(1 - e_\sigma)$ is also almost dense in \mathbf{T} .

We make another assumption on the choice of the increasing sequence (B_m) of finite-dimensional C^* -subalgebras of B : For any $m = 1, 2, \dots$ there is a $v \in \mathcal{U}(e(B_{m+1} \cap B'_m)e)$ such that $vS \in A \cap (eB_me)'$ and that for any $p \in \mathcal{P}(e(B_{m+1} \cap B'_m)e)$ with $p \leq vS^*v^*$ the projection $q = (vS)^*p(vS) \in e(B_{m+2} \cap B'_m)e$ satisfies that $[q] \geq [p]$ in $K_0(e(B_{m+2} \cap B'_m)e)$. We can see that this does not cause the loss of generality as follows. Let $\{E_{mk} \mid k = 1, 2, \dots, K_m\}$ denote the set of minimal central projections of eB_me . By passing to a subsequence, we may suppose that $\alpha(E_{mk}) = SE_{mk}S^*$ is equivalent to a subprojection of E_{mk} in $eB_{m+1}e$. Then there is a $v \in \mathcal{U}(eB_{m+1}e)$ such that $p_k = vSE_{mk}S^*v^* \leq E_{mk}$ for any k . Note that $E_{m+1,\ell}p_k$ is a projection in $E_{m+1,\ell}E_{mk}eB_{m+1}eE_{mk}$ (which is a full matrix algebra) and has dimension divisible by $[m, k]$, where $[m, k]$ is given by $E_{mk}eB_me \cong M_{[m,k]}$. Hence, by changing v if necessary, we may suppose that $E_{m+1,\ell}p_k \in E_{m+1,\ell}E_{mk}eB_{m+1}eE_{mk} \cap (E_{m+1,\ell}E_{mk}eB_me)'$ for any ℓ , which says that

$$p_k = vSE_{mk}S^*v^* \in E_{mk}eB_{m+1}eE_{mk} \cap (E_{mk}eB_me)'$$

Define a homomorphism $\phi_k: p_kE_{mk}eB_me \rightarrow p_kE_{m+1,\ell}E_{mk}eB_{m+1}eE_{mk}p_k$ by

$$\phi_k(p_kx) = E_{m+1,\ell}vSxS^*v^*, x \in E_{mk}eB_me.$$

Since this is a unital isomorphism of a full matrix algebra into a full matrix algebra, this must be unitarily equivalent to the inclusion

$$p_kE_{mk}eB_me \subset p_kE_{m+1,\ell}E_{mk}eB_{m+1}eE_{mk}p_k.$$

Hence there is a unitary w_k in $p_kE_{mk}eB_{m+1}eE_{mk}p_k$ such that

$$w_kvSxS^*v^*w_k^* = p_kx, x \in E_{mk}eB_me.$$

Let $w = \sum_k w_k + (e - \sum_k p_k)$ and replace v by $wv \in \mathcal{U}(eB_{m+1}e)$. Then it follows that $p_k = vSE_{mk}S^*v^*$ and $vSxS^*v^* = vS^*v^*x = xvS^*v^*$ for $x \in E_{mk}eB_me$. The latter condition implies that $[x, vS] = 0$, $x \in eB_me$, i.e., $vS \in A \cap (eB_me)'$. The other condition can be met by passing to a subsequence if necessary.

We shall show first that there is no loss of generality to assume that $e_0e_1 = 0$.

If $e_0 = 1 = e_1$, then there is nothing to prove in the first place. Hence suppose that $e_1 \neq 1$. Since $\tilde{\lambda}(e_\sigma) \approx e_\sigma$ and $e_\sigma, \tilde{\lambda}(e_\sigma) \in A \cap B'_{m-1}$, there is a $v_\sigma \in \mathcal{U}(A \cap B'_{m-1})$ for $\sigma = 0, 1$ such that $v_\sigma \approx 1$ and $\text{Ad } v_\sigma \tilde{\lambda}(e_\sigma) = e_\sigma$. By using the Rohlin property for α on B , we get a Rohlin partition of unity $\{p_{10}, p_{11}, \dots, p_{1,n-1}, p_{20}, \dots, p_{2n}\}$ by projections in $e(B_{m-2} \cap B'_{\ell+1})e$ for $n \gg 1$ and $m \gg \ell \gg 1$ such that $\tilde{\lambda}(p_{\sigma,i}) \approx p_{\sigma,i+1}$. (We actually choose ℓ first and then m to accommodate such a Rohlin partition.) We find a $v_2 \in \mathcal{U}(e(B_{m-1} \cap B'_\ell)e)$ such that $v_2 \approx 1$ and $\text{Ad } v_2 \tilde{\lambda}(p_{\sigma,i}) = p_{\sigma,i+1}$. Since the spectrum of $z(1 - e_1)p_{\sigma,i}$ is independent of i (since it is left invariant under $\text{Ad}(v_2v_1)\tilde{\lambda}$), it follows that the spectrum of $z(1 - e_1)p_0$ is almost dense in \mathbf{T} for $p_0 =$

$p_{10} + p_{20}$. We then find a partial isometry $w \in A \cap B'_\ell$ such that $w^*w = e_0$, $ww^* \leq (1 - e_1)p_0$, and $[z, w] \approx 0$ (see [5]). We define

$$W = n^{-1/2} \sum_{k=0}^{n-1} (L_{v_2 v_1} R_{v_0^*} \tilde{\lambda})^k(w),$$

which is a partial isometry in $A \cap B'_{\ell-n}$ such that $W^*W = e_0$, $WW^* \leq 1 - e_1$, $[z, W] \approx 0$, and $\tilde{\lambda}(W) \approx W$ (up to $n^{-1/2}$). Here L_x (resp. R_x) denotes the bounded operator on A defined by $L_x y = xy$ (resp. $R_x y = yx$.) Note that $e'_0 = WW^*$ is connected with e_0 by the partial isometry W which commutes with elements from a prescribed finite subset. Hence the pair e_0 and e'_0 (as well as e_1) should satisfy the same kind of conditions in the statement (if we start with stronger conditions imposed on the pair (e_0, e_1) .) Thus we are left with the two projections e'_0 and e_1 which are mutually orthogonal and can be chosen to have prescribed properties.

Now we assume that $e_0 e_1 = 0$. We choose $v \in \mathcal{U}(A \cap B'_{m-1})$ such that $v \approx 1$ and $\text{Ad } v \tilde{\lambda}(e_\sigma) = e_\sigma$. Note that we have chosen $w \in A \cap B'_{m-1}$ such that $w^*w = e_0$, $ww^* = e_1$, and $[w, z] \approx 0$. Then $x = wv\tilde{\lambda}(w^*)v^*$ is a unitary in $e_1(A \cap B'_{m-2})e_1$. Moreover since $\tilde{\lambda}(z) = z$, x almost commutes with ze_1 . We set $x_0 = e_1$, $x_1 = x$, and $x_k = x \text{Ad } v_1 \tilde{\lambda}(x_{k-1})$ for $k = 2, 3, \dots, n+1$. We may suppose that $[x_k, z] \approx 0$ for k up to $n+1$. By the following lemma 2.5 we have that $[x_k]_1 = 0$ in $K_1(e_1(A \cap B'_{m-n-2})e_1)$ and the Bott element $B(x_k, ze_1)$ is 0 in $K_0(e_1(A \cap B'_{m-n-2})e_1)$ for $k \leq n+1$ (see [13, 7]). By 8.1 of [1] we have a rectifiable path (of length less than $5\pi + 1$) from x_k to e_1 in $\mathcal{U}(e_1 A e_1 \cap B'_{m-n-1})$ almost commuting with ze_1 for $k = n, n+1$. By using these paths (applied by $\tilde{\lambda}^{-k}$ with k up to n) and the Rohlin partition in $e(B_{m-2n-2} \cap B'_N)e$ (with $m - 2n - 2 \gg N$), we will obtain $\zeta \in \mathcal{U}(A \cap B'_N)$ such that $x \approx \zeta \tilde{\lambda}(\zeta^*)$. Then ζ^*w will be the desired isometry just as in the proof of Lemma 2.4. See also [12] for a similar proof.

Lemma 2.5 *With w, e_0, e_1, z, v as above,*

$$[wv\tilde{\lambda}(w^*)v^*] = 0$$

in $K_1(e_1 A e_1 \cap (e_1 B_{m-3} e_1)')$ and

$$B(wv\tilde{\lambda}(w^*)v^*, ze_1) = 0$$

in $K_0(e_1 A e_1 \cap (e_1 B_{m-3} e_1)')$. Moreover, with $x_1 = wv\tilde{\lambda}(w^)v^*$, and $x_k, k = 2, 3, \dots, n+1$, as above, $[x_k] = 0$ in $K_1(e_1 A e_1 \cap (e_1 B_{m-2-k} e_1)')$ and $B(x_k, ze_1) = 0$ in $K_0(e_1 A e_1 \cap (e_1 B_{m-2-k} e_1)')$.*

To prove this lemma we prepare a couple of lemmas. We denote by $\mathcal{J}(A)$ the set of non-unitary isometries of A . When $z \in \mathcal{U}(A)$ and $p \in \mathcal{P}(A)$ almost commute, $[zp]$ is the equivalence class of a unitary close to $zp + 1 - p$ and $\text{Spec}(zp)$ is the spectrum of such a unitary and is defined only up to the order $\|[z, p]\|$ (if $[zp]_1 = 0$).

Lemma 2.6 *Let $s_0, s_1 \in \mathcal{J}(A)$ and $z \in \mathcal{U}(A)$ such that $[s_\sigma, z] \approx 0$ and $\text{Spec}(z(1 - s_\sigma s_\sigma^*))$ is almost dense in \mathbf{T} for $\sigma = 0, 1$. Then there is a rectifiable path s in $\mathcal{J}(A)$ such that $s(0) = s_0, s(1) = s_1$, and $[s(t), z] \approx 0$.*

Proof Since $[z(1 - s_\sigma s_\sigma^*)] = 0$, it follows that there is a partial isometry v such that $v^*v = 1 - s_0 s_0^*, v v^* = 1 - s_1 s_1^*$, and $[z, v] \approx 0$. Then the unitary $u_1 = s_1 s_0^* + v$ satisfies that $u_1 s_0 = s_1$ and $[u_1, z] \approx 0$. We may suppose that $[u_1] = 0$ and $B(u_1, z) = 0$ by modifying v if necessary. (There is a $v' \in \mathcal{U}(A)$ such that $v' = v'(1 - s_0 s_0^*) + s_0 s_0^*, (v' - 1)z \approx v' - 1$, and $[v']$ is an arbitrary element of $K_1(A)$. There is another $v'' \in \mathcal{U}(A)$ such that $v'' = v''(1 - s_0 s_0^*) + s_0 s_0^*, [v''] = 0, [v'', z] \approx 0$, and $B(v'', z)$ is an arbitrary element of $K_0(A)$.) Then there is a rectifiable path u such that $u(0) = 1, u(1) = u_1$, and $[u(t), z] \approx 0$ (see [1]). Hence the path $s(t) = u(t)s_0$ satisfies that $s(0) = s_0, s(1) = s_1$, and $[s(t), z] \approx 0$. ■

Lemma 2.7 *Let D be a finite-dimensional C^* -subalgebra of A and let $s_0, v_0 \in \mathcal{J}(A \cap D')$ and $z \in \mathcal{U}(A \cap D')$ such that $[s_0, z] \approx 0, [v_0, z] \approx 0, s_0 s_0^* + v_0 v_0^* \leq 1$, and $\text{Spec}(zp)$ is almost dense for each minimal central projection p of D . Then there is a continuous map s of $[0, \infty)$ into $\mathcal{J}(A \cap D')$ such that $s(0) = s_0, [s(t), z] \approx 0$, and $\lim_{t \rightarrow \infty} \|[s(t), x]\| = 0$ for $x \in A$. Moreover there is a continuous path v of $[0, \infty)$ into $\mathcal{J}(A \cap D')$ such that $v(0) = v_0, [v(t), z] \approx 0$, and $v(t)v(t)^* \leq 1 - s(t)s(t)^*$.*

Proof Let $s_1 \in \mathcal{J}(\mathcal{O}_\infty)$, where \mathcal{O}_∞ is the Cuntz algebra generated by infinitely many isometries. There is a continuous map f of $[0, 1]$ into $\mathcal{J}(\mathcal{O}_\infty \otimes \mathcal{O}_\infty)$ such that $f(0) = s_1 \otimes 1$ and $f(1) = 1 \otimes s_1$. We regard f as a map of $[0, 1]$ into $\mathcal{O}_\infty \otimes \mathcal{O}_\infty \otimes 1 \otimes 1 \cdots \subset \bigotimes_0^\infty \mathcal{O}_\infty$. Let γ denote the one-sided shift on $E = \bigotimes_0^\infty \mathcal{O}_\infty$ and define a continuous map s of $[0, \infty)$ into $\mathcal{J}(E)$ by

$$s(t) = \gamma^n(f(t - n)), \quad t \in [n, n + 1).$$

It follows that $s(0) = s_1 \otimes 1 \otimes 1 \cdots$ and $\lim \|[s(t), x]\| = 0$ for $x \in E$. Note, by the proof of the previous lemma, that there is a continuous path u in $\mathcal{U}(E)$ such that $s(t) = u(t)s_1$. Note by [8] that $E \cong \mathcal{O}_\infty$.

Since $A \cong A \otimes \mathcal{O}_\infty$, we may identify A with $A \otimes \mathcal{O}_\infty$ and assume that $s_0, z \in A \otimes 1$ and $D \subset A \otimes 1$ (by modifying them slightly). Since we have constructed a continuous map s of $[0, \infty)$ into $\mathcal{J}(1 \otimes \mathcal{O}_\infty)$ such that $\lim \|[s(t), x]\| = 0$ for $x \in 1 \otimes \mathcal{O}_\infty$, it suffices to find a path connecting s_0 and $s(0)$ in $\mathcal{J}(A \otimes \mathcal{O}_\infty \cap D')$ almost commuting with z . Since $A \otimes \mathcal{O}_\infty \cap D'$ is a finite direct sum of C^* -algebras like A , this follows from the previous lemma if the condition on the spectrum of $zp(1 - s_0 s_0^*)$ is met for each minimal central projection p of D . (The condition for $zp(1 - s(0)s(0)^*)$ is obviously satisfied.) Since $1 - s_0 s_0^* \geq v_0 v_0^*$ and $zpv_0 v_0^* \approx v_0 zp v_0^*$, we have that $\text{Spec}(zp(1 - s_0 s_0^*))$ almost contains $\text{Spec}(zp)$, which is almost dense. Thus we can apply the previous lemma as asserted.

Note that the path s is defined as $s(t) = u(t)s_0$ with a path u in $\mathcal{U}(A \cap D')$ such that $u(0) = 1$ and $[u(s), z] \approx 0$ uniformly in $s \in [0, \infty)$. Hence the last part follows by defining $v(t) = u(t)v_0$. ■

Lemma 2.8 *Let $u, v \in \mathcal{U}(A \otimes C[0, 1])$ be such that $u(0) = v(0)$ and $\text{Spec}(u(t)) = \mathbf{T} = \text{Spec}(v(t))$. Then for any $\epsilon > 0$ there is a $\zeta \in \mathcal{U}(A \otimes C[0, 1])$ such that $\zeta(0) = 1$ and $\|\zeta u \zeta^* - v\| < \epsilon$.*

Proof We take a large integer N such that $1/N < \epsilon$. We approximate u by a unitary $u_1 \oplus u'$ up to the order of ϵ , where the unitary u' has spectrum $\{\omega \in \mathbf{C} \mid \omega^N = 1\}$ and is given by

$$u' = \sum_{k=0}^{N-1} e^{2\pi i k/N} e_k.$$

We assume that $\sum_k [e_k] = 2[1]$ (and so $[u_1^* u_1] = -[1]$). We approximate v by a unitary $v' \oplus v_1$ up to the order of ϵ , where

$$v' = \sum_{k=0}^{N-1} e^{2\pi i k/N} p_k$$

with $p_k \neq 0$ and $[p_k] = 0$, which entails that $[v_1^* v_1] = [1]$. We then approximate u' by $s_1 v_1^* s_1^* \oplus s_2 v_1 s_2^*$, where s_1, s_2 are partial isometries such that $s_1 s_1^* + s_2 s_2^* = u' u'^*$ and $s_1^* s_1 = s_2^* s_2 = v_1 v_1^*$. Since $u_1 \oplus s_1 v_1^* s_1^*$ has trivial K_1 and spectrum \mathbf{T} , we can approximate it by a unitary u'' , which is given by

$$u'' = \sum_{k=0}^{N-1} e^{2\pi i k/N} q_k$$

with $q_k \neq 0$ and $[q_k] = 0$. We find a partial isometry $y \in A$ such that $y q_k y^* = p_k$ and $y^* y = \sum_k q_k$. Since $u \approx u_1 \oplus u' \approx u_1 \oplus s_1 v_1^* s_1^* \oplus s_2 v_1 s_2^* \approx u'' \oplus s_2 v_1 s_2^*$ and $v \approx v' \oplus v_1$, and since the unitary $\zeta = y + s_2^*$ satisfies that $\zeta(u'' \oplus s_2 v_1 s_2^*) \zeta = v' \oplus v_1$, it follows that that $\|\zeta u \zeta^* - v\|$ is of the order of ϵ .

Note that $\zeta(0)$ may not be 1. If the Bott element $B(\zeta(0), u(0))$ vanishes, there is a continuous path $z(t)$ such that $z(0) = 1, z(1) = \zeta(0)$, and $[z(t), u(0)] \approx 0$ (see [1]). Hence in this case we can modify $\zeta(t)$ around $t = 0$ so that $\zeta(0) = 1$, retaining the condition that $\zeta(t) u(t) \zeta(t)^* \approx v(t)$ for t near 0, where $u(t) \approx u(0) \approx v(t)$.

If $B(\zeta(0), u(0)) \neq 0$, then we find a $\eta \in \mathcal{U}(A \otimes C[0, 1])$ such that

$$[\eta, u] \approx 0 \quad \text{and} \quad B(\eta(t), u(t)) = -B(\zeta(0), u(0)).$$

Then it would follow that $(\zeta \eta) u (\zeta \eta)^* \approx v$ and $B(\zeta(0) \eta(0), u(0)) = 0$, which would produce the desired unitary by modifying $\zeta \eta$. We can get such an η as follows. We approximate u by $u_1 \oplus u'$ as above, where this time u' should be $\sum_k e^{2\pi i k/N} e_k$ with $[e_k] = B(\zeta(0), u(0))$. Then we find an $\eta \in \mathcal{U}(A \otimes C[0, 1])$ such that $\eta e_k \eta^* = e_{k+1}$ with $e_N = e_0$ and $\eta(1 - \sum_k e_k) = 1 - \sum_k e_k$. This η satisfies the required condition (see 4.1 and 8.1 of [1]). ■

Proof of Lemma 2.5 We have supposed that $e_0, e_1 \in A \cap B'_m (= A \cap (e B_m e)')$ more precisely) and $e_0 e_1 = 0$ and chosen a $v \in \mathcal{U}(A \cap B'_{m-1})$ such that $v \approx 1$ and

Ad $v\tilde{\lambda}(e_\sigma) = e_\sigma$, i.e., $S \approx vS \in A \cap \{e_0, e_1\}'$. Note that $(vS)(vS)^* = \alpha(e)$. By the assumption there is a $u \in \mathcal{U}(eB_{m-2}e)$ such that $uvS \in A \cap B'_{m-3} \cap \{e_0, e_1\}'$ and $p = uvS(uvS)^* \in e(B_{m-2} \cap B'_{m-3})e$. We have chosen $w \in A \cap B'_{m-1}$ such that $w^*w = e_0$, $ww^* = e_1$, and $[w, z] \approx 0$. Since $x = wv\tilde{\lambda}(w^*)v^*$ is a unitary in $e_1(A \cap B'_{m-2})e_1$ and $[u, e_1] = 0$, we have that $x = uxu^*$.

Let $s_0 = uvS$ and note that $[s_0, z] \approx 0$. We may suppose that $2[\alpha(e)] < [e]$ in $K_0(B_1)$ in the first place and that $2[p] < [e]$ in $K_0(e(B_{m-2} \cap B'_{m-3})e)$. Thus we may suppose that there is an isometry $b_0 \in A$ (of the form bs_0 with some $b \in e(B_{m-2} \cap B'_{m-3})e$) such that $b_0b_0^* \in e(B_{m-2} \cap B'_{m-3})e$ such that $[b_0, z] \approx 0$, $[b_0, e_\sigma] = 0$, and $s_0s_0^* + b_0b_0^* \leq e$.

Let s be a continuous path in $\mathcal{J}(A \cap B'_{m-3} \cap \{e_0, e_1\}')$ such that $s(0) = s_0 = uvS$, $[s(t), z] \approx 0$, and $\lim_{t \rightarrow \infty} \| [s(t), x] \| = 0$ for $x \in A$. Note that there is another path b in $\mathcal{J}(A \cap B'_{m-3} \cap \{e_0, e_1\}')$ such that $[b(t), z] \approx 0$, $b(0) = b_0$, and $s(t)s(t)^* + b(t)b(t)^* \leq 1$. Let $p(t) = s(t)s(t)^*$ and $q(t) = wp(t)w^*$, which are continuous paths in $\mathcal{P}(A \cap B'_{m-3} \cap \{e_0, e_1\}')$. Note that $\|q(t) - p(t)e_1\| \rightarrow 0$ as $t \rightarrow \infty$. We will assert that there is a continuous path v in $\mathcal{U}(e_1(A \cap B'_{m-3})e_1)$ such that

$$v(0) = e_1, \quad v(t)q(t)v(t)^* = p(t)e_1,$$

$$\lim_{t \rightarrow \infty} v(t) \text{ exists, } [v(t), z] \approx 0.$$

If this is shown, then $U(t) = s(t)^*v(t)ws(t)w^*v(t)^*$ is a unitary in $e_1(A \cap B'_{m-3})e_1$, because $ws(t)w^*v(t)^* \cdot v(t)ws(t)w^* = q(t)$ and

$$U(t)U(t)^* = s(t)^*v(t)q(t)v(t)^*s(t) = e_1,$$

etc. Note also that $U(0) = (uvS)^*w(uvS)w$, $\lim_{t \rightarrow \infty} U(t) = e_1$, and $[U(t), z] \approx 0$. Hence $t \mapsto s(t)^*v(t)ws(t)w^*v(t)^*$ is a continuous path in $\mathcal{U}(e_1(A \cap B'_{m-3})e_1)$, almost commuting with z , from $(uvS)^*w(uvS)w$ to e_1 . Since $xp = w(uvS)w^*(uvS)^*$, $[uvS, z] \approx 0$, and $[xp + e_1(1 - p)] = [(uvS)^*w(uvS)w^*]$ in $K_1(e_1(A \cap B'_{m-3})e_1)$, this implies the assertions for $xp + e_1(1 - p)$.

We shall show the above assertion on v . Let f be a minimal central projection of $eB_{m-3}e$. Since $zfs(t)s(t)^*e_\sigma \approx s(t)zfe_\sigma s(t)^*$, we have that $[z, fp(t)e_\sigma] \approx 0$ and $\text{Spec}(zfp(t)e_\sigma)$ is almost dense in \mathbf{T} . Since $zfw p(t)w^* \approx wzf p(t)e_0w^*$, we have that $[z, fq(t)] \approx 0$ and $\text{Spec}(zfq(t))$ is almost dense in \mathbf{T} . Since $1 - p(t) \geq b(t)b(t)^*$ and $zfe_\sigma b(t)b(t)^* \approx b(t)zfe_\sigma b(t)^*$, we have that $\text{Spec}(zfe_\sigma(1 - p(t)))$ is almost dense. Since $zf(e_1 - q(t)) = zfw(1 - p(t))w^* \approx wzf(1 - p(t))w^*$, we have that $\text{Spec}(zf(e_1 - q(t)))$ is almost dense.

Since $q(0) = wp(0)w^* = p(0)ww^* = p(0)e_1$ and $q(t) \leq e_1$, there is a path y in $\mathcal{U}(e_1(A \cap B'_{m-3})e_1)$ such that $y(0) = 1$ and

$$y(t)q(t)y(t)^* = p(t)e_1.$$

There is again a path η in $\mathcal{U}(e_1(A \cap B'_{m-3})e_1)$ such that $\eta(0) = 1$ and

$$\eta(t)p(t)e_1\eta(t)^* = p(0)e_1.$$

Then we compare the paths

$$t \mapsto \text{Ad}(\eta(t)y(t))(zq(t)) \text{ and } t \mapsto \text{Ad}(\eta(t))(zp(t)e_1)$$

in the unitary group of $p(0)e_1(A \cap B'_{m-3})p(0)e_1$ and also the paths

$$t \mapsto \text{Ad}(\eta(t)y(t))(ze_1 - q(t)) \text{ and } t \mapsto \text{Ad}(\eta(t))(ze_1(1 - p(t)))$$

in the unitary group of $(1 - p(0))e_1(A \cap B'_{m-3})e_1(1 - p(0))$. Let T be so large that $q(t) \approx p(t)e_1$ for all $t \geq T$. By using the density of the spectra of these unitaries in each direct summands, we apply the previous lemma to find a path ζ in $\mathcal{U}(e_1(A \cap B'_{m-3})e_1)$ such that $[\zeta(t), p(0)e_1] = 0$ and

$$\text{Ad}(\zeta(t)\eta(t)y(t))(ze_1) \approx \text{Ad} \eta(t)(ze_1) \text{ for } t \in [0, T].$$

Let $v(t) = \eta(t)^* \zeta(t) \eta(t) y(t)$ for $t \in [0, T]$. Then $v(t), t \in [0, T]$ is a path in $\mathcal{U}(e_1(A \cap B'_{m-3})e_1)$ satisfying that $v(t)q(t)v(t)^* = p(t)e_1$ and $[v(t), z] \approx 0$. We can extend $v(t)$ for $t \geq T$ in a small vicinity of $v(T)$ retaining these conditions. (For example we can use the polar decomposition of

$$p(t)e_1v(T)q(t)v(T)^* + e_1(1 - p(t))v(T)(e_1 - q(t))v(T)^*,$$

which is close to e_1 for $t \geq T$, to modify $v(T)$.) We may further suppose that $\lim_{t \rightarrow \infty} v(t)$ exists (e.g., by repeating the above modifications for larger T). This concludes the proof of the assertion on v .

There are a finite number of partial isometries $\{y_i \mid i = 1, \dots, K\}$ in $e(B_{m-2} \cap B'_{m-3})e$ such that $y_i = (e - p)y_i p$ and $\sum_k y_i y_i^* = e - p$. Let $y_0 = p$. Then $x = \sum_{i=0}^K y_i x y_i^* = \sum_{i=0}^K y_i w(uvS)w^*(uvS)^* y_i^*$. With $p_i = y_i^* y_i \in eB_{m-2}e \cap (eB_{m-3}e)'$, we have that

$$\begin{aligned} [y_i w(uvS)w^*(uvS)^* y_i^*] &= [p_i w(uvS)w^*(uvS)^* p_i] \\ &= [(uvS)^* p_i w(uvS)w^*(uvS)^* p_i (uvS)] \end{aligned}$$

in $K_1(A \cap B'_{m-3})$. Since $q_i = (uvS)^* p_i (uvS) \in eB_{m-1}e \cap (eB_{m-3}e)'$ and $[q_i] \geq [p_i]$ in $K_0(eB_{m-1}e \cap (eB_{m-3}e)')$, we may suppose that $q_i \geq p_i$ by modifying u using a unitary in $eB_{m-1}e \cap (eB_{m-3}e)'$. There is a continuous path s_i in $\mathcal{J}(q_i(A \cap B'_{m-3} \cap \{e_0, e_1\}')q_i)$ such that $s_i(0) = p_i uvS$, $[s_i(t), zq_i] \approx 0$, and $\lim_{t \rightarrow \infty} \|[s_i(t), x]\| = 0$ for $x \in q_i A q_i$. Comparing the paths $t \mapsto s_i(t)s_i(t)^* e_1$ and $t \mapsto w s_i(t)s_i(t)^* w^*$ in $\mathcal{P}(e_1 q_i(A \cap B'_{m-3})q_i e_1)$ with $s_i(0)s_i(0)^* e_1 = p_i \alpha(e) e_1 = w s_i(0)s_i(0)^* w^*$, we assert, as before, that there is a continuous path v_i in $\mathcal{U}(e_1 q_i(A \cap B'_{m-3})q_i e_1)$ such that $v_i(0) = e_1 q_i$, $v_i(t)w s_i(t)s_i(t)^* w^* v_i(t)^* = s_i(t)s_i(t)^* e_1$, $\lim_{t \rightarrow \infty} v_i(t)$ exists, and $[v_i(t), ze_1 q_i] \approx 0$.

Let $U_i(t) = s_i(t)^* v_i(t) w s_i(t) w^* v_i(t)^*$, which is a unitary in $e_1 q_i(A \cap B'_{m-3})q_i e_1$. This is because $w^* v_i(t)^* s_i(t) \cdot s_i(t)^* v_i(t) w = s_i(t)s_i(t)^* e_0$ and

$$U_i(t)^* U_i(t) = v_i(t) w s_i(t)^* (s_i(t)s_i(t)^* e_0) s_i(t) w^* v_i(t)^* = e_1 q_i,$$

etc. Since $U_i(0) = (uvS)^* p_i w p_i (uvS) w^*$, $\lim_{t \rightarrow \infty} U_i(t) = e_1 q_i$, and $[U_i(t), z e_1 q_i] \approx 0$, we have a continuous path in $\mathcal{U}(e_1 q_i (A \cap B'_{m-3}) q_i e_1)$, almost commuting with $z e_1 q_i$, from $(uvS)^* p_i w p_i (uvS) w^*$ to $e_1 q_i$. This implies the assertion for the unitary $y_i x y_i^* + e_1 (1 - y_i y_i^*) = x y_i y_i^* + e_1 (1 - y_i y_i^*)$. By combining these we have completed the proof.

Thus we have shown that $[x] = 0$ and $B(x, z e_1) = 0$ in the K theory of $e_1 A e_1 \cap (e_1 B_{m-3} e_1)'$. Since $\text{Ad } v \tilde{\lambda}(z e_1) \approx z e_1$ and $\text{Ad } v \tilde{\lambda}(x) \in e_1 A e_1 \cap (e_1 B_{m-4} e_1)'$, we have that $[\text{Ad } v \tilde{\lambda}(x)] = 0$ and $B(\text{Ad } v \tilde{\lambda}(x), z e_1) = 0$ in the K theory of $e_1 A e_1 \cap (e_1 B_{m-4} e_1)'$. Since $x_2 = x \text{Ad } v \tilde{\lambda}(x)$, this concludes the proof for x_2 . In this way we can conclude the proof.

Remark 2.9 Theorem 2.1 could hold for a wide class of C^* -algebras, e.g., this is certainly true for a simple AT C^* -algebra of real rank zero (which is obtained as the inductive limit of finite direct sums of matrix algebras over $C^*(z)$ with z a unitary. (The proof of this fact would be simpler than of 2.1 with some modification for the choice of f_{ij}^k, v_k in the beginning of the proof of Theorem 2.1. Any two unitaries in such a C^* -algebra with the same non-trivial class in K_1 are approximately unitarily equivalent [5].)

3 Unitaries

The following result is a generalization of Proposition 2.1 of [12], where the spectrum of $u(t)$ is assumed to be finite.

Proposition 3.1 *Let A be a unital separable nuclear purely infinite simple C^* -algebra satisfying the Universal Coefficient Theorem.*

For any finite subset \mathcal{F} of A and $\epsilon > 0$, there exists a finite subset \mathcal{G} of A and $\delta > 0$ satisfying: For any $u \in \mathcal{U}(C[0, 1] \otimes A)$ such that $\text{Spec}(u(t))$ is independent of t and $\|[u(t), x]\| < \delta$ for $x \in \mathcal{G}$ and $t \in [0, 1]$, there is a $v \in \mathcal{U}(C[0, 1] \otimes A)$ such that $v(0) = 1$, $\|[\text{Ad } v(t)(u(0)) - u(t)]\| < \epsilon$, and $\|[v(t), x]\| < \epsilon$, $x \in \mathcal{F}$.

If $\delta > 0$ and if two subsets A and B of \mathbf{T} satisfy that for any $a \in A$ there is a $b \in B$ with $|a - b| < \delta$, then we say that A is δ -contained in B . If A is δ -contained in B and B is also δ -contained in A , we say that A and B are δ -equal and write $A \overset{\delta}{\approx} B$.

Lemma 3.2 *For any $\epsilon > 0$ there is a $\delta > 0$ satisfying: If $z \in \mathcal{U}(C[0, 1] \otimes A)$ satisfies that $\text{Spec}(z(t)) \overset{\delta}{\approx} \text{Spec}(z(0))$ for any t , then there is a $\zeta \in \mathcal{U}(C[0, 1] \otimes A)$ such that $\zeta(0) = 1$ and $\|\text{Ad } \zeta(t)(z(0)) - z(t)\| < \epsilon$, $t \in [0, 1]$.*

Proof If $\text{Spec}(z(t)) = \mathbf{T}$, then this is 2.4 of [12]. If $\text{Spec}(z(t)) \neq \mathbf{T}$, this will follow from, e.g., 2.5 of [12]. ■

Lemma 3.3 *The above proposition is valid for a corner of a Cuntz algebra.*

Proof We will repeat the proof of Lemma 2.4 up to a certain point.

We may assume that A is given as $e(B \times_\alpha \mathbf{Z})e$, where B is a stable AF C^* -algebra with $K_0(B) \subset \mathbf{R}$, e is a projection in B , and α is a trace-scaling automorphism of B : $\tau\alpha = \lambda\tau$ with $0 < \lambda < 1$, where τ is the trace on B defined by $\tau(p) = [p]$ for any projection $p \in \mathcal{P}(B)$ (see [15]). We may further assume that there is an increasing sequence (B_n) of finite-dimensional C^* -subalgebras of B such that $B = \overline{\bigcup_n B_n}$, $\alpha(B_n) \subset B_{n+1}$, $B_n \subset \alpha(B_{n+1})$, $e \in B_1$, $\alpha(e) \in B_1$, $\alpha(e) \leq e$, and $\alpha(e)$ has central support e in eB_1e . Note that α has the Rohlin property and is unique up to cocycle-conjugacy [6, 3].

Let U denote the canonical unitary in $M(B \times_\alpha \mathbf{Z})$ implementing α and let $S = Ue \in A = e(B \times_\alpha \mathbf{Z})e$. Then S is an isometry in A and generates A together with eBe . We define an endomorphism λ of A by $\lambda(x) = SxS^*$, $x \in A$, whose range is $\alpha(e)A\alpha(e)$. By using the fact that the multiplication by $\alpha(e)$ on $A \cap (eB_1e)'$ is an isomorphism and the inclusion $B_n \subset \alpha(B_{n+1})$, we define a unital endomorphism $\tilde{\lambda}_n$ of $A \cap B'_{n+1}$ into $A \cap B'_n$ by $\tilde{\lambda}_n(x)\alpha(e) = \lambda(x)$ for any $n = 1, 2, \dots$, where the notation $A \cap B'_n$ is used for $A \cap (eB_ne)'$. Since $\alpha(B_{n+1}) \subset B_{n+2}$, the range of $\tilde{\lambda}_n$ includes $A \cap B'_{n+2}$. We will simply denote $\tilde{\lambda}_n$ by $\tilde{\lambda}$ because $\tilde{\lambda}_{n+1}|_{A \cap B'_{n+1}} = \tilde{\lambda}_n$.

In this situation we may specify $N, \epsilon > 0$, in place of \mathcal{F}, ϵ in the statement of the lemma, in the sense that $\nu \in \mathcal{U}(C[0, 1] \otimes A)$ should be chosen from $C[0, 1] \otimes (A \cap B'_N)$ and should satisfy $\|\tilde{\lambda}(\nu(t)) - \nu(t)\| < \epsilon$, $t \in [0, 1]$.

Suppose that we fix N as above and $n \in \mathbf{N}$ such that $3\pi/n < \epsilon$. By the Rohlin property of α we have a Rohlin partition $\{e_{10}, e_{11}, \dots, e_{1,n-1}; e_{20}, \dots, e_{2,n}\}$ of e with $e_{\sigma,i} \in \mathcal{P}(e(B_M \cap B'_N)e)$ for some $M > N$ such that

$$\sum_{\sigma=1,2} \sum_i e_{\sigma,i} = e, \quad \max_{\sigma,i} \|\tilde{\lambda}(e_{\sigma,i}) - e_{\sigma,i+1}\| \approx 0.$$

(We will not be very specific about the estimates; if something is ≈ 0 , then this should be appropriately close to zero.)

Note that we have fixed N, n, M as above. Let $\{E_i\}$ be the set of minimal central projections in $eB_{M+2n+2}e$ and let T_i be an isometry in A such that $T_i T_i^* \leq E_i$. Let $u \in \mathcal{U}(C[0, 1] \otimes A \cap B'_{M+2n+2})$ be such that $\|\tilde{\lambda}(u(t)) - u(t)\| \approx 0$ and $\|[u(t), T_i]\| \approx 0$. Thus \mathcal{G} is the union of a family of matrix units for $eB_{M+2n+2}e$ and $\{S\} \cup \{T_i\}$ with a suitable choice of $\delta > 0$.

The last condition implies that $\text{Spec}(u(t)E_i)$ is almost independent of t . Hence, by the previous lemma, there is a $\nu \in \mathcal{U}(C[0, 1] \otimes A \cap B'_{M+2n+2})$ such that $\nu(0) = 1$ and $\text{Ad } \nu(t)(u(0)) \approx u(t)$. Let $w(t) = \nu(t)^* \tilde{\lambda}(\nu(t)) \in \mathcal{U}(A \cap B'_{M+2n+1})$. Then $[w(t), u(0)] \approx 0$ and $w(0) = 1$. Let $(w_s)_{s \in [0,1]}$ denote the path in $\mathcal{U}(C[0, 1] \otimes A \cap B'_{M+2n+1})$ defined by $w_s(t) = w(st)$ and note that $[w_s, 1 \otimes u(0)] \approx 0$. Let $w_0 = 1$ and $w_1 = w$ and let $w_k = w \tilde{\lambda}(w_{k-1})$ for $k = 2, 3, \dots, n+1$. We can construct a rectifiable path of length at most 6π in the unitary group of

$$\{x \in C[0, 1] \otimes A \cap B'_{M+n+1} \mid x(0) = 1\}$$

from w_k to 1 by using (w_s) for $k = n, n+1$ (see [14, 12]). In particular $u(0)$ almost commutes with the unitaries along the paths. By using these paths applied with $\tilde{\lambda}^{-k}$ for $k = 0, 1, \dots, n$ and the Rohlin partition in $e(B_M \cap B'_N)e$, we get a $y \in \mathcal{U}(C[0, 1] \otimes A)$

$A \cap B'_N$) such that $w \approx y\tilde{\lambda}(y^*)$, $y(0) = 1$, and $[y, 1 \otimes u(0)] \approx 0$ (see the proof of 2.4). Then $vy \in \mathcal{U}(C[0, 1] \otimes (A \cap B'_N))$ satisfies that $v(0)y(0) = 1$, $\text{Ad}(v(t)y(t))(u(0)) \approx u(t)$, and $\tilde{\lambda}(v(t)y(t)) \approx v(t)y(t)$. This completes the proof. ■

Lemma 3.4 *Let z be a unitary in A with $\text{Spec}(z) = \mathbf{T}$ and $m \in \mathbf{N}$. Then for any $\epsilon > 0$ there is a unital C^* -subalgebra $D = D_1 \oplus D_2$ of A such that $D_1 \cong M_m$, $D_2 \cong M_{m+1}$, $\|(\text{Ad } z - \text{Ad } U_\sigma)|_{D_\sigma}\| < \epsilon$, where U_1 (resp. U_2) is a diagonal unitary with the eigenvalues $\{\omega \in \mathbf{C} \mid \omega^m = 1\}$ (resp. $\{\omega \in \mathbf{C} \mid \omega^{m+1} = 1\}$).*

Proof Let $e, f \in \mathcal{P}(A)$ be such that $e \neq 0$, $f \neq 0$, and $[1] = m[e] + (m + 1)[f]$ and let $v \in \mathcal{U}(eAe)$ and $w \in \mathcal{U}(fAf)$ be such that $[z] = m[v] + (m + 1)[w]$ and $\text{Spec}(v) = \text{Spec}(w) = \mathbf{T}$. We then find a family $\{s_i, t_j\}$ of partial isometries such that $s_k^*s_k = e$ for $k = 1, \dots, m$ and $t_\ell^*t_\ell = f$ for $\ell = 1, \dots, m + 1$, $\sum_k s_i s_i^* + \sum_\ell t_j t_j^* = 1$, and $z \approx \sum_k s_k e^{2\pi i k/m} v s_k^* + \sum_\ell t_\ell e^{2\pi i \ell/(m+1)} w t_\ell^*$ (see [5, 12]). Then we define D to be the C^* -subalgebra generated by $s_i s_j^*$ and $t_i t_j^*$, which is a unital C^* -subalgebra isomorphic to $M_m \oplus M_{m+1}$. Since $z s_k s_\ell^* \approx e^{2\pi i k/m} s_k v s_\ell^*$ and $s_\ell^* z^* \approx e^{-2\pi i \ell/m} v^* s_\ell^*$, we have that $\text{Ad } z(s_k s_\ell^*) \approx e^{2\pi i(k-\ell)/m} s_k s_\ell^*$. In the same way we have that $\text{Ad } z(t_k t_\ell^*) \approx e^{2\pi i(k-\ell)/(m+1)} t_k t_\ell^*$. Since the approximation can be made arbitrarily precise, this completes the proof. ■

Proof of Proposition 3.1 By the classification result by Kirchberg and Phillips [8, 9] there is an increasing sequence (A_n) of unital C^* -subalgebras of A with dense union such that $A_n = \bigoplus_{k=1}^{K_n} A_{nk}$ and $A_{nk} = D_{nk} \otimes C^*(z_{nk})$, where D_{nk} is of the form $e(B \times_\alpha \mathbf{Z})e$ as in the proof of 4.9 and $C^*(z_{nk})$ is the universal C^* -algebra generated by a single unitary z_{nk} . We may suppose that each $C^*(z_{nk})$ is mapped into each $A_{n+1, \ell}$ isomorphically (see [2]).

Let \mathcal{F} be a finite subset of A and $\epsilon > 0$. We may suppose that \mathcal{F} equals

$$\bigcup_{k=1}^{K_n} (\mathcal{F}_{nk} \cup \{z_{nk}\})$$

for some n , where $\mathcal{F}_{nk} \subset D_{nk}$. We choose $\mathcal{G}_{nk}(\subset D_{nk})$ and $\delta_{nk} > 0$ for $(\mathcal{F}_{nk}, \epsilon)$ as in Lemma 3.3. In particular \mathcal{G}_{nk} contains a family of matrix units for some finite-dimensional C^* -subalgebra B_{nk} .

Let E_{nk} denote the identity of A_{nk} . We choose a unital C^* -subalgebra $D_k = D_{k1} \oplus D_{k2}$ (with $D_{k1} \cong M_m$ and $D_{k2} \cong M_{m+1}$) of $E_{nk} A E_{nk}$ for z_{nk} , for a large m as in the previous lemma. We may suppose that D_k commutes with the above B_{nk} . Let C_{nk} denote the set of matrix units of D_k and let T_k be an isometry in A such that $T_k T_k^* \leq E_{nk}$. Let also T_{ki} be an isometry in $E_{nk} A \cap B'_{nk} E_{nk}$ for $i = 1, 2$ such that $T_{k1} T_{k1}^* \leq 1_{D_{k1}}$ and $T_{k2} T_{k2}^* \leq 1_{D_{k2}}$. We set

$$\mathcal{G} = \bigcup_{k=1}^{K_n} (\mathcal{G}_{nk} \cup C_{nk} \cup \{z_{nk}, T_k, T_{k1}, T_{k2}\}).$$

We will take a sufficiently small $\delta > 0$.

Let $u \in \mathcal{U}(C[0, 1] \otimes A)$ be such that $\|[u(t), x]\| < \delta$, $x \in \mathcal{G}$ and $\text{Spec}(u(t))$ is independent of t . Since $u(t)$ almost commutes with E_{nk} and T_k , we may suppose that $[u(t), E_{nk}] = 0$ and that $\text{Spec}(u(t)E_{nk})$ is almost independent of t and discuss each $uE_{nk} \in \mathcal{U}(C[0, 1] \otimes E_{nk}AE_{nk})$ separately. Denoting $E_{nk}AE_{nk}$ by A , we have reached the following situation:

$$e(B \times_{\alpha} \mathbf{Z})e \subset A, \quad B = \overline{\cup_m B_m}, \quad u(t) \in A \cap (eB_{M+2n+2}e)' \cap D',$$

$$\tilde{\lambda}(u(t)) \approx u(t), \quad \text{Spec}(u(t)f) = \text{Spec}(u(0)f), \quad [u(t), z] \approx 0,$$

for each minimal central projection f in $eB_{M+2n+2}e \vee D$, where $e \in B$ is the identity of A and $D (\cong M_m \oplus M_{m+1})$ denotes the unital finite-dimensional C^* -subalgebra of $A \cap (eB_{M+2n+2}e)'$ associated with z .

We then find a $v \in \mathcal{U}(C[0, 1] \otimes (eB_{M+2n+2}e)' \cap D')$ such that $v(0) = 1$ and $\text{Ad } v(t)(u(0)) \approx u(t)$. If $w(t) = v(t)^*zv(t)z^*$, it follows that $w(0) = 1$ and $[w(t), u(0)] \approx 0$. By using the Rohlin property for $\text{Ad } z|_D$, we obtain a $y \in C[0, 1] \otimes A \cap (eB_{M+2n+2}e)'$ such that $w = v^*zvz^* \approx yzy^*z^*$, $y(0) = 1$, and $[y(t), u(0)] \approx 0$. Then $\text{Ad } z(vy) \approx vy$, $v(0)y(0) = 1$, and $\text{Ad}(v(t)y(t))(u(0)) \approx u(t)$. We shall denote vy by v .

We thus have $v \in \mathcal{U}(C[0, 1] \otimes A \cap (eB_{M+2n+2}e)')$ such that $v(0) = 1$, $\text{Ad } v(t)(u(0)) \approx u(t)$, and $[v(t), z] \approx 0$. Note that $[v(t)^*\tilde{\lambda}(v(t)), u(0)] \approx 0$. By using the Rohlin property for $\tilde{\lambda}$ we obtain a $y \in \mathcal{U}(C[0, 1] \otimes A \cap (eB_Ne)')$ such that $v^*\tilde{\lambda}(v) \approx y\tilde{\lambda}(y^*)$, $y(0) = 1$, and $[y(t), u(0)] \approx 0$. Then vy satisfies the desired conditions. ■

4 Rohlin Flows

We recall the definition of the Rohlin property for flows [10], where $M(A)$ denotes the multiplier algebra of A .

Definition 4.1 Let A be a C^* -algebra and α a flow on A . The flow α is said to have the *Rohlin property* if for any $p \in \mathbf{R}$ there is a sequence (u_n) in $\mathcal{U}(M(A))$ such that $\|\alpha_t(u_n) - e^{ipt}u_n\| \rightarrow 0$ uniformly in t on every compact subset of \mathbf{R} and $\|[u_n, x]\| \rightarrow 0$ for any $x \in A$.

In the following ω denotes a free ultrafilter on \mathbf{N} and A^ω is the quotient of $\ell^\infty(A)$ divided by the ideal $\mathcal{c}^\omega(A) = \{x = (x_n) \mid \lim_{n \rightarrow \omega} \|x_n\| = 0\}$. See Section 1 for details including the definition of A^ω_α when α is a flow on A . The K_0 version of the following result is shown in [12].

Lemma 4.2 Let α be a Rohlin flow on A . Then for any unitary $u \in A' \cap A^\omega$ there is a unitary $v \in (A' \cap A^\omega_\alpha)^\alpha$ such that $[u] = [v]$ in $K_1(A' \cap A^\omega)$.

Proof Let $u \in \mathcal{U}(A' \cap A^\omega)$ and let (u_n) be a sequence in $\mathcal{U}(A)$ which represents u . Fix a large $T > 0$. By 3.1 there is a sequence (V_n) in $\mathcal{U}(C[0, T] \otimes A)$ such that $\max_t \|\text{Ad } V_n(t)(u_n) - \alpha_t(u_n)\|$ converges to zero as $n \rightarrow \omega$ and $\max_t \|[V_n(t), x]\| \rightarrow 0$ as $n \rightarrow \omega$ for any $x \in A$. By [14] (or 2.7 of [12]) there is a sequence (v_n) in

$\mathcal{U}(C[0, T] \otimes A)$ such that $v_n(0) = 1, v_n(T) = V_n(T)^*$, $(v_n) \in A' \cap (C[0, T] \otimes A)^\omega$, and the length of $(v_n(t))_{t \in [s_1, s_2]}$ is less than $6\pi|s_2 - s_1|/T$ for any $0 \leq s_1 < s_2 \leq T$.

We define a unitary $U_n \in C(\mathbf{R}/T\mathbf{Z}) \otimes A$ by setting

$$U_n(t) = \alpha_{t-T}(v_n(t))\alpha_t(u_n)\alpha_{t-T}(v_n(t))^*$$

for $t \in [0, T]$ except for t close to T . Since $U_n(T) \approx u_n = U_n(0)$, this indeed defines a unitary in $C(\mathbf{R}/T\mathbf{Z}) \otimes A$ by suitably defining $U(t) \approx u_n$ for $t \approx T$ and it follows that $(U_n) \in A' \cap (C(\mathbf{R}/T\mathbf{Z}) \otimes A)^\omega$.

Define a unitary w_n in $C(\mathbf{R}/T\mathbf{Z}) \otimes A$ by $w_n(t) = \alpha_{t-T}(v_n(t))V_n(t)$, where $w_n(T) = 1 = w_n(0)$. Then it follows that $\|U_n - w_n(1 \otimes u_n)w_n^*\| \rightarrow 0$ as $n \rightarrow \infty$.

If γ denotes the flow on $C(\mathbf{R}/T\mathbf{Z})$ defined by $(\gamma_t f)(s) = f(s - t)$, it follows, as in the proof of 3.1 of [12], that

$$\|\gamma_t \otimes \alpha_t(U_n) - U_n\| \leq 12\pi|t|/T + \epsilon_n,$$

where $\epsilon_n \rightarrow 0$ as $n \rightarrow \infty$.

Let (u_j) be a central sequence in $\mathcal{U}(A)$ such that $\|\alpha_t(u_j) - e^{2\pi it/T}u_j\| \rightarrow 0$ uniformly in t on every compact subset. We define a linear map ϕ_j from the algebraic tensor product $C(\mathbf{R}/T\mathbf{Z}) \otimes A$ into A by $\phi_j(z^\ell \otimes a) = u_j^\ell a$, where z is the canonical unitary in $C(\mathbf{R}/T\mathbf{Z})$. Then (ϕ_j) is an approximate homomorphism of $C(\mathbf{R}/T\mathbf{Z}) \otimes A$ into A in the sense that $\|\phi_j(xy) - \phi_j(x)\phi_j(y)\| \rightarrow 0, \|\phi_j(x)^* - \phi_j(x^*)\| \rightarrow 0$, and $\|\phi_j(x)\| \rightarrow \|x\|$ for any $x, y \in C(\mathbf{R}/T\mathbf{Z}) \otimes A$. It also follows that (ϕ_j) intertwines $\gamma_t \otimes \alpha_t$ and α_t : $\|\phi_j(\gamma_t \otimes \alpha_t)(x) - \alpha_t \phi_j(x)\| \rightarrow 0$ for $x \in C(\mathbf{R}/T\mathbf{Z}) \otimes A$. By using these facts we can define a unitary u'_n as a kind of $\phi_j(U_n)$ for a large j . At the same time we may suppose that we can define a unitary w'_n as a kind of $\phi_j(w_n)$; we then have that $u'_n \approx \text{Ad } w'_n(u_n)$ as $\phi_j(1 \otimes u_n) = u_n$. In this way we get a sequence (u'_n) in $C(\mathbf{R}/T\mathbf{Z}) \otimes A$ such that $\lim_{n \rightarrow \infty} \|\alpha_t(u'_n) - u'_n\| \leq 12\pi|t|/T, \lim_{t \rightarrow \infty} \|[u'_n, x]\| = 0$ for $x \in A$, and $[(u'_n)] = [(u_n)]$ in $K_1(A' \cap A^\omega)$. By taking a larger and larger T we can obtain the desired sequence which belongs to $\mathcal{U}((A' \cap A^\omega)^\alpha)$. (See [12] for details.) ■

Lemma 4.3 *Let α be a Rohlin flow on A . Then for any unitary $u \in A$ there are sequences (u'_n) and (v_n) in $\mathcal{U}(A)$ such that $\|\alpha_t(u'_n) - u'_n\| \rightarrow 0$ uniformly in t on every compact subset of \mathbf{R} and $\|v_n u v_n^* - u'_n\| \rightarrow 0$.*

Proof This follows from the proof of 4.2. ■

Lemma 4.4 *Let $u, v \in \mathcal{U}((A' \cap A^\omega)^\alpha)$. If $[u] = [v]$ in $K_1(A' \cap A^\omega)$, then $[u] = [v]$ in $K_1((A' \cap A^\omega)^\alpha)$.*

Proof Suppose that $[u] = 0$ in $K_1(A' \cap A^\omega)$ and let (u_n) be a sequence in $\mathcal{U}(A)$ representing u . Since $A' \cap A^\omega$ is purely infinite and simple [8], we can approximate u by a unitary with finite spectrum in $A' \cap A^\omega$ [17]. Then we can argue as in 3.2 of [12] using 3.6 there. That is, we can approximate each u_n by a unitary with finite spectrum whose spectral projections are almost α -invariant. Thus each u_n is connected to 1 by a rectifiable path in $\mathcal{U}(A)$ of length about π which is almost α -invariant. In this way we can find a path in $\mathcal{U}((A' \cap A^\omega)^\alpha)$ which connects u and 1.

The previous paragraph is sufficient for the conclusion. But supposing that $[u] = [v] \neq 0$ in $K_1(A' \cap A^\omega)$, we shall give a detailed proof using 3.1 and [10]. Since $A' \cap A^\omega$ is a unital purely infinite simple C^* -algebra, u and v are in the same connected component in $\mathcal{U}(A' \cap A^\omega)$. Let $(U(t))_{t \in [0,1]}$ be a continuous path in $\mathcal{U}(A' \cap A^\omega)$ such that $U(0) = u$ and $U(1) = v$. Let (U_n) be a sequence in $\mathcal{U}(C[0, 1] \otimes A)$ representing U . Then by 3.1 there is a sequence (V_n) in $\mathcal{U}(A)$ such that $\max_t \|V_n(t)U_n(0)V_n(t)^* - U_n(t)\| \rightarrow 0$ as $n \rightarrow \infty$ and $\max_t \|[V_n(t), x]\| \rightarrow 0$ as $n \rightarrow \infty$ for all $x \in A$. Let $z_n = V_n(1)$. Then $(z_n) \in \mathcal{U}(A' \cap A^\omega)$ and $\|z_n u_n z_n^* - v_n\| \rightarrow 0$ as $n \rightarrow \infty$. Let $w_n(t) = z_n^* \alpha_t(z_n)$. Then (w_n) is a sequence of α -cocycles such that $\|[w_n(t), x]\| \rightarrow 0$ as $n \rightarrow \infty$ uniformly in t on every compact subset and $\|[w_n(t), u_n]\| \rightarrow 0$ as $n \rightarrow \infty$. Then there is a sequence (y_n) in $\mathcal{U}(A)$ such that $(y_n) \in \mathcal{U}(A' \cap A^\omega)$, $\|[y_n, u_n]\| \rightarrow 0$ as $n \rightarrow \infty$, and $\sup_{t \in [0,1]} \|w_n(t) - y_n \alpha_t(y_n^*)\| \rightarrow 0$ as $n \rightarrow \infty$ [12, 10]. Since $(z_n y_n) \in \mathcal{U}((A' \cap A^\omega)^\alpha)$ and $\|(z_n y_n) u_n (z_n y_n)^* - v_n\| \rightarrow 0$ as $n \rightarrow \infty$, this implies that $[u] = [v]$ in $K_1((A' \cap A^\omega)^\alpha)$. ■

If α is a flow, then α_t is homotopic to the identity and so often is approximately inner for each $t \in \mathbf{R}$. The following is defined in [12].

Definition 4.5 Let A be a C^* -algebra and α a flow on A . Then α_t is said to be α -invariantly approximately inner if there is a sequence (u_n) in $\mathcal{U}(A)$ such that $\alpha_t = \lim \text{Ad } u_n$ and $\|\alpha_s(u_n) - u_n\|$ converges to zero uniformly in s on every compact subset.

Theorem 4.6 Let A be a unital separable nuclear purely infinite simple C^* -algebra satisfying UCT and let α be a flow on A . Then the following conditions are equivalent.

- (1) α has the Rohlin property.
- (2) $(A' \cap A^\omega)^\alpha$ is purely infinite and simple, $K_0((A' \cap A^\omega)^\alpha) \cong K_0(A' \cap A^\omega)$ induced by the embedding, and $\text{Spec}(\alpha|_{A' \cap A^\omega}) = \mathbf{R}$.
- (3) The crossed product $A \rtimes_\alpha \mathbf{R}$ is purely infinite and simple and the dual action $\hat{\alpha}$ has the Rohlin property.
- (4) The crossed product $A \rtimes_\alpha \mathbf{R}$ is purely infinite and simple and each α_t is α -invariantly approximately inner.

If the above conditions are satisfied, it also follows that $K_1((A' \cap A^\omega)^\alpha) \cong K_1(A' \cap A^\omega)$, which is induced by the embedding.

When α is a flow on A , we denote by δ_α the infinitesimal generator of α , which is a closed derivation in A . If $h \in A_{sa}$, then $\text{ad } ih$ is a bounded derivation. We denote by $\alpha^{(h)}$ the flow generated by $\delta_\alpha + \text{ad } ih$. See [4, 16] for details.

Proposition 4.7 Let A be a non-unital separable nuclear purely infinite simple C^* -algebra satisfying the UCT. Then the following conditions are equivalent.

- (1) α has the Rohlin property.
- (2) For any $\epsilon > 0$ there exists an $h \in A_{sa}$ and an increasing sequence (e_n) in $\mathcal{P}(A)$ such that $\|h\| < \epsilon$, $\alpha_t^{(h)}(e_n) = e_n$, $\alpha^{(h)}|_{e_n A e_n}$ has the Rohlin property, and (e_n) is an approximate identity for A .

Proof Suppose (2). Then it follows that $\alpha^{(h)}|(e_n - e_{n-1})A(e_n - e_{n-1})$ has the Rohlin property for all n with $e_0 = 0$. We choose, for any $p \in \mathbf{R}$, a central sequence $(u_{n,m})$ in $\mathcal{U}((e_n - e_{n-1})A(e_n - e_{n-1}))$ such that $\|\alpha_t(u_{n,m}) - e^{ipt}u_{n,m}\|$ converges to zero, as $m \rightarrow \infty$, uniformly in t on every compact subset of \mathbf{R} . By passing to a subsequence we may suppose that $\|\alpha_t(u_{n,m}) - e^{ipt}u_{n,m}\| < 1/m$ for $|t| \leq 1$. Let $u_m = \sum_{n=1}^\infty u_{n,m}$, which converges in the multiplier algebra $M(A)$. Then (u_m) is the desired sequence in $\mathcal{U}(M(A))$ for $p \in \mathbf{R}$.

Suppose (1). Let $p \in \mathcal{P}(A)$ and fix a large $T > 0$. Then there exists a projection $f \in A$ such that $\alpha_{-t}(f)p \approx p$ for any $t \in [0, T]$. Again there exists a projection $e \in A$ such that $\alpha_t(e)f \approx f$ for any $t \in [0, T]$. Let f_t be the support projection of $\alpha_t(e)f\alpha_t(e)$. Then $t \mapsto f_t$ is continuous and $f_t \leq \alpha_t(e)$ and $f_t \approx f$ for $t \in [0, T]$. Let u_t denote the unitary part of the polar decomposition of $f_t f_0 + (1 - f_t)(1 - f_0)$; then $u_t \approx 1$ and $\text{Ad } u_t^*(f_t) = f_0$ for $t \in [0, T]$. We find a continuous function $t \mapsto v_t \in \mathcal{U}(A)$ such that $\text{Ad } v_t(e - f_0) = \text{Ad } u_t^*(\alpha_t(e)) - f_0$ and $v_t f_0 = f_0$. Let $w_t = u_t v_t$. Then $w_t f \approx f$ and $\text{Ad } w_t(e) = \alpha_t(e)$ for $t \in [0, T]$.

We find a rectifiable path $(y_t)_{t \in [0, T]}$ in $\mathcal{U}(A)$ such that $y_0 = 1, y_T = w_T^*, y_t f \approx f$, and the length of $(y_t)_{t \in [s_1, s_2]}$ is dominated by $6\pi(s_2 - s_1)/T$, because we can construct such a path in terms of (w_t) (see [14, 12]). We then define a projection E in $C(\mathbf{R}/T\mathbf{Z}) \otimes A$ by

$$E(t) = \alpha_{t-T}(y_t)\alpha_t(e)\alpha_{t-T}(y_t)^*,$$

which satisfies that $E(0) = e = E(T)$. Since $p\alpha_{t-T}(y_t) \approx p\alpha_{t-T}(f y_t) \approx p$, we obtain that $E(t)p \approx p$. By using the Rohlin property for α we have an approximate homomorphism (ϕ_j) of $C(\mathbf{R}/T\mathbf{Z}) \odot A$ into A such that $\alpha_t \phi_j \approx \phi_j(\gamma_t \otimes \alpha_t)$, where γ is the flow on $C(\mathbf{R}/T\mathbf{Z})$ induced by translations (see the proof of 4.2). Applying ϕ_j to E , we get a projection e' in A such that $\|\alpha_t(e') - e'\| < 6\pi/T + \epsilon$ for $t \in [0, 1]$ and $e'p \approx p$. By perturbing e' slightly we may assume that $\|\delta_\alpha(e')\|$ is small (depending on $1/T$) (see [4, 16]). In this way we can construct an approximate identity (e_n) consisting projections such that $\|\delta_\alpha(e_n)\| \rightarrow 0$ and $\|e_n p - p\| \rightarrow 0$. It is then easy to show the conclusion. ■

Proof of Theorem 4.6

The last statement follows from 4.2 and 4.4.

We have shown that (1)⇔(2)⇒(4) in [12].

It is easy to show that (4) implies (3). Let $t \in \mathbf{R}$ and let (u_n) be a sequence in $\mathcal{U}(A)$ such that $\alpha_t = \lim \text{Ad } u_n$ and $\|\alpha_s(u_n) - u_n\| \rightarrow 0$ uniformly in s on every compact subset of \mathbf{R} . If we denote by $\lambda(\cdot)$ the canonical unitary flow in $M(A \times_\alpha \mathbf{R})$ implementing α , then we have that $\hat{\alpha}_p(u_n^* \lambda(t)) = e^{ipt}u_n^* \lambda(t)$ and $\|[u_n^* \lambda(t), x]\| \rightarrow 0$ for any $x \in A \times_\alpha \mathbf{R}$.

Suppose (3). By the previous proposition we have an $h = h^* \in A \times_\alpha \mathbf{R}$ and an increasing sequence (e_n) in $\mathcal{P}(A \otimes_\alpha \mathbf{R})$ such that (e_n) is an approximate identity and $\hat{\alpha}_p^{(h)}(e_n) = (e_n)$ and $\beta = \hat{\alpha}^{(h)}|_{e_n(A \times_\alpha \mathbf{R})e_n}$ has the Rohlin property. Then from (1)⇒(3), we obtain that the dual flow of β has the Rohlin property. Since $e_n(A \times_\alpha \mathbf{R})e_n \times_\beta \mathbf{R} = e_n(A \times_\alpha \mathbf{R} \times_{\hat{\alpha}} \mathbf{R})e_n$ with the dual flow $\hat{\beta}$ being a restriction of $\hat{\alpha}$ and (e_n) is a sequence in $M(A \times_\alpha \mathbf{R} \times_{\hat{\alpha}} \mathbf{R})$, we can conclude that $\hat{\alpha}$ has the Rohlin property. By the Takesaki-Takai duality we have that $A \times_\alpha \mathbf{R} \times_{\hat{\alpha}} \mathbf{R} \cong A \otimes K(L^2(\mathbf{R}))$

and $\hat{\alpha}_t = \alpha_t \otimes \text{Ad } \lambda(-t)$, where $K(L^2(\mathbf{R}))$ denotes the compact operators on $L^2(\mathbf{R})$. Then it follows that α has the Rohlin property. ■

Let α and β be flows on a unital C^* -algebra A . We say that α is an approximate cocycle perturbation of β if there is a sequence (u_n) of β -cocycles such that

$$\alpha_t(x) = \lim_{n \rightarrow \infty} \text{Ad } u_n(t)\beta_t(x)$$

uniformly in t on every compact subset of \mathbf{R} for any $x \in A$ [11]. If α is an approximate cocycle perturbation of the trivial flow id , then α is approximately inner, i.e., $\alpha_t = \lim \text{Ad } e^{ith_n}$ for some sequence (h_n) in A_{sa} . A Rohlin flow is never approximately inner. The following result generalizes 4.4 of [11].

Proposition 4.8 *Let A be a unital separable nuclear purely infinite simple C^* -algebra satisfying the Universal Coefficient Theorem and let α be a Rohlin flow on A . Then the trivial flow id is an approximate cocycle perturbation of α . In particular there is a unital approximately inner endomorphism ϕ of A such that $\phi = \text{Ad } u_t \alpha_t \phi$ for some α -cocycle u .*

Lemma 4.9 *Let D be a finite-dimensional C^* -subalgebra of A . Then there is a α -cocycle u such that $\text{Ad } u_t \alpha_t(x) = x$ for any $x \in D$.*

Proof See [4, 16] for example. We do not need the Rohlin property for this. ■

Lemma 4.10 *Let z be a unitary. Then for any $\epsilon > 0$ there is an α -cocycle u such that $\|\text{Ad } u_t \alpha_t(z) - z\| < \epsilon$ for $t \in [0, 1]$.*

Proof By 4.3 for any $\epsilon > 0$ there are $Z, v \in \mathcal{U}(A)$ such that $\|\alpha_t(Z) - Z\| < \epsilon$ for $t \in [0, 1]$ and $\|vzv^* - Z\| < \epsilon$. Let $u_t = v^* \alpha_t(v)$, which is an α -cocycle. Then it follows that $\|\text{Ad } u_t \alpha_t(z) - z\| < 3\epsilon$ for $t \in [0, 1]$. ■

Proof of Proposition 4.8 The last statement follows from 4.6 of [11].

We may suppose that there is an increasing sequence (A_n) of C^* -subalgebras of A with dense union such that each A_n is a finite direct sum of C^* -algebras of the form $\mathcal{O} \otimes C^*(z)$, where \mathcal{O} is a corner of a Cuntz algebra and $C^*(z)$ is the C^* -algebra generated by a unitary with full spectrum. We assume that \mathcal{O} is given as $e(B \times_\gamma \mathbf{Z})e$, where B is a stable AF C^* -algebra with $K_0(A) \subset \mathbf{R}$, γ is a trace-scaling automorphism of B , and $e \in \mathcal{P}(B)$, as in the proof of 2.1.

It suffices to show that there is a sequence (u_n) of α -cocycles such that $\|\text{Ad } u_n(t)\alpha_t(x) - x\| \rightarrow 0$ uniformly in $t \in [0, 1]$ for any $x \in A_1$. It again suffices to show this assuming that $A_1 = e(B \times_\gamma \mathbf{Z})e \otimes C^*(z)$.

Suppose that B is the completion of the union of an increasing sequence (B_n) of finite-dimensional C^* -algebras such that $e, \gamma(e) \in B_1$, $\gamma(e) \leq e$, and the central support of $\gamma(e)$ in eB_1e is e . Moreover we assume that $\gamma^\pm(B_n) \subset B_{n+1}$. We denote by U the canonical unitary in $M(B \times_\gamma \mathbf{Z})$ implementing γ and set $S = Ue$, which is an isometry in $e(B \times_\gamma \mathbf{Z})e$. By Lemmas 4.9 and 4.10 we may assume, for a large n and

a sufficiently small $\epsilon > 0$, that $\alpha_t|_{B_{n+1}} = \text{id}$ and $\|\alpha_t(z) - z\| < \epsilon$ for $t \in [0, 1]$. We shall show that there is an α -cocycle u in $A \cap B'_1$ such that $\|\text{Ad } u_t \alpha_t(S) - S\| \approx 0$ and $\|[u_t, z]\| \approx 0$ for $t \in [0, 1]$.

Let $w_t = S^* \alpha_t(S)$. Since $\alpha_t(SS^*) = SS^* \in B_1$, (w_t) is an α -cocycle. If $x \in B_n$, then $xw_t = xS^* \alpha_t(S) = S^* \lambda(x) \alpha_t(S) = S^* \alpha_t(\lambda(x)S) = S \alpha_t(S)x$, where $\lambda(x) = SxS^* \in B_{n+1}$. Thus $w_t \in A \cap B'_n$. We also have that $\|[w_t, z]\| < 2\epsilon$ for $t \in [0, 1]$. Then we find a $v \in \mathcal{U}(A \cap B'_n)$ such that $\|w_t - v\alpha(v^*)\| \approx 0$ and $\|[v, z]\| \approx 0$ (but in general is much bigger than ϵ). Then it follows that $\alpha_t(Sv) \approx Sv$ for $t \in [0, 1]$.

The above v is obtained as follows [10]. Take a large T such that both $1/T$ and $T\epsilon$ are small and define a unitary $V \in C(\mathbf{R}/T\mathbf{Z}) \otimes A$ by

$$V(t) = w_t \alpha_{t-T}(x(t)^*),$$

where $(x(t))_{t \in [0, T]}$ is a path in $\mathcal{U}(A)$ such that $x(0) = 1$, $x(T) = w_T$, and $\|x(s) - x(t)\| < 6\pi|s - t|$ for $s, t \in [0, T]$. Since such a path is obtained in terms of w_t and sufficiently central elements in A , we may suppose that $x(t) \in A \cap B'_n$ and $[x(t), z] \approx 0$ (of the order ϵT). Moreover it follows that $[V] = 0$ in $K_1(C(\mathbf{R}/T\mathbf{Z}) \otimes A)$. (We can see this by making T decrease to zero; the construction of $(x(t))_{t \in [0, T]}$ from $(w_t)_{t \in [0, T]}$ is canonical.) Then we get v as an image of an approximate homomorphism of $C(\mathbf{R}/T\mathbf{Z}) \otimes A$ into A as in the proof of 4.2. Since the Bott element $B(V, 1 \otimes z)$ is zero in $K_0(A \cap B'_n)$, which follows from $V(0) = 1$, the same follows for the pair v and z in $A \cap B'_n$. It also follows that $[v] = 0$ in $K_1(A \cap B'_n)$.

By using the above facts and the Rohlin property for $\tilde{\lambda}$ as in the proof of 2.1, we find a $y \in \mathcal{U}(A \cap B'_1)$ such that $\tilde{\lambda}(v) \approx y\tilde{\lambda}(y^*)$ and $[y, z] \approx 0$. We define $u_t = y^* \alpha_t(y)$. Since $Sv \approx ySy^*$, we have that $\text{Ad } u_t \alpha_t(S) \approx S$ and $[u_t, z] \approx 0$ for $t \in [0, 1]$. This concludes the proof.

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